

# Improved Project Delivery Using GIS and Advanced Survey Techniques Webinar



# Zoom Meeting Platform User Information



- Participants are currently muted.
- A Question and Answer Session will follow presentations.
- Use Zoom Q & A button to ask questions at any time during the presentations.
- The meeting is being recorded and will be shared on the AII website at [aii.transportation.org](http://aii.transportation.org).

# Agenda

1. Overview of All Program
2. Introduction and Overview of Improved Project Delivery Using GIS and Advanced Survey
3. Speaker Introductions
4. Caltrans Perspective
5. Colorado Department of Transportation Perspective
6. Michigan Department of Transportation Perspective
7. Key Takeaways and National Survey Results
8. Question and Answer Session with Panel

# AASHTO

Technical Service Programs

Guidelines

Management

Solutions

AASHTO  
**STRUCTURES**  
GUIDELINES

AASHTO  
**MATERIALS**  
GUIDELINES

AASHTO  
**DESIGN**  
GUIDELINES

AASHTO **INNOVATION** MANAGEMENT  
AASHTO **WINTER WEATHER** MANAGEMENT  
AASHTO **PRESERVATION** MANAGEMENT  
AASHTO **EQUIPMENT** MANAGEMENT  
AASHTO **RESILIENCE & SUSTAINABILITY** MANAGEMENT  
AASHTO **SAFETY** MANAGEMENT  
AASHTO **PERFORMANCE** MANAGEMENT  
AASHTO **SAFETY HARDWARE** MANAGEMENT  
AASHTO **TRANSIT** MANAGEMENT  
AASHTO **ENVIRONMENTAL** MANAGEMENT  
AASHTO **RAIL** MANAGEMENT

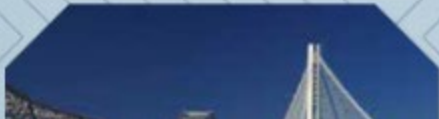
AASHTO **AASHTOWare** SOLUTIONS  
AASHTO **RADIO FREQUENCY** SOLUTIONS  
AASHTO **PRODUCT EVALUATION & AUDIT** SOLUTIONS  
AASHTO **TECHNICAL TRAINING** SOLUTIONS  
AASHTO **STEM OUTREACH** SOLUTIONS  
AASHTO **CENSUS TRANSPORTATION** SOLUTIONS

Innovation • Performance • Leadership  
Communication • Service • Quality

## Guide to AASHTO's Technical Service Programs and Products



July 2018



AASHTO Innovation Initiative (A.I.I.)

AASHTO Re:source

AASHTOWare

National Transportation Product  
Evaluation Program  
(NTPEP)

Development AASHTO Materials  
Specifications  
(DAMS)

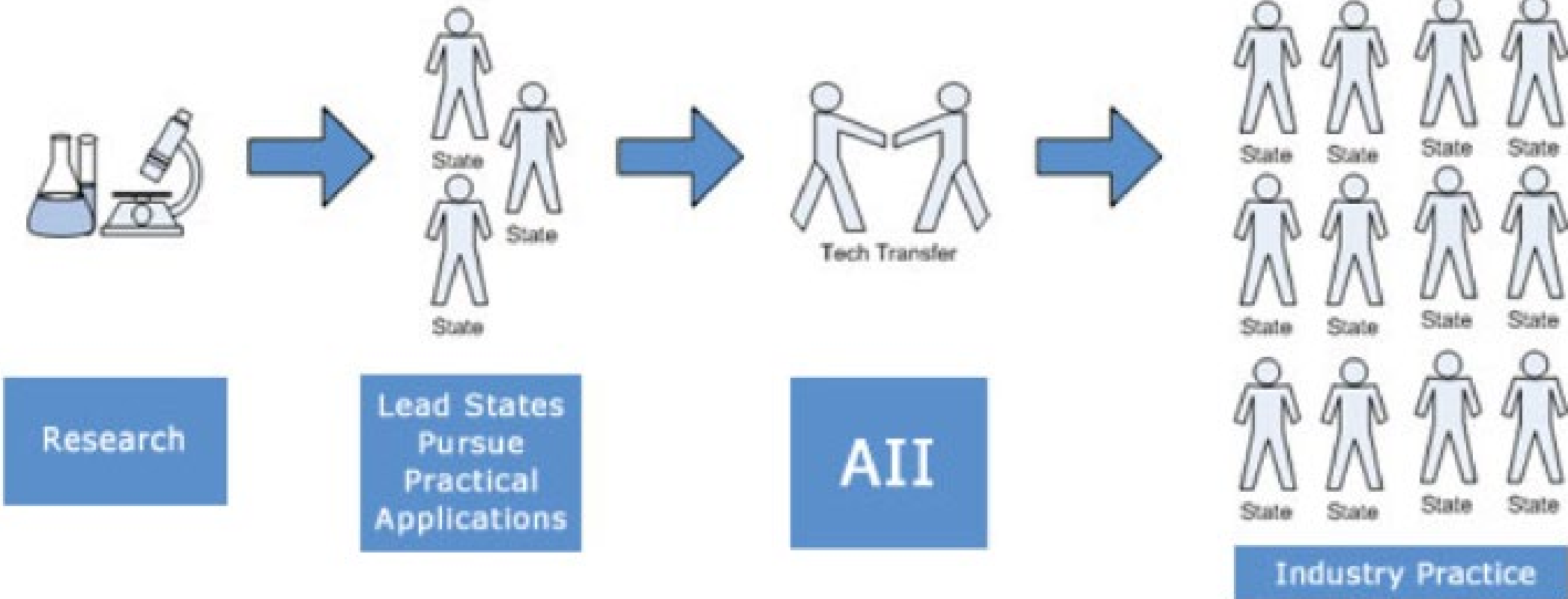
# All about All – *The AASHTO Innovation Initiative*

- Established in 1999 & Operating since 2000
- Previously called the *Technology Implementation Group (TIG)*
- Facilitate the implementation of **high-payoff, ready-to-use, innovative technologies**
  - Focus Technologies
  - Additionally Selected Technologies



*Support the implementation of  
100+ technologies since 2001*

# AII's Role in the Technology Lifecycle



# Current Active Focus Technologies

Saw Cut Vertical Curb

Freight Operations  
eXchange

Hydrogen Fuel Cell  
Technology

Electrically Conductive  
Concrete Heated  
Pavement System

Steel Press Brake  
Formed Tub Girder

Improved Project  
Delivery Using GIS

Wrong Way Driving  
Systemic Approach

Laser Ablation  
Coating Remove

Beam End Repair with  
Ultra High  
Performance Concrete



# AASHTO Innovation Initiative (AII)

## What is AII?

Formerly the AASHTO Technology Implementation Group, AII advances innovation from the grassroots up: by agencies, for agencies, peer-to-peer. [More >>](#)

**Active Focus Technologies**  
[Nominate a Technology](#)

**Previous Focus Technologies**  
[Contact Us](#)

**Additional Technologies**

**Submit Your Nomination Today!**

## Active Lead States Teams Focus Technologies

- Saw Cut Vertical Curb
- Steel Press-Brake-Formed Tub Girder
- Beam End Repair Using Ultra-High Performance Concrete
- Improved Project Delivery with GIS & Surveying
- Laser Ablation Coating Removal
- Systemic Approach to Wrong Way Driver Safety
- Electrically Conductive Concrete (ECON) Heated

### Resources

- [Caltrans Process Improvement Project Report](#) (pdf)
- [Caltrans Process Improvement Project Presentation](#) (pdf)
- [Caltrans Improved Project Delivery Memo](#) (pdf)
- [Base Mapping and Preliminary Design Process Flow Chart](#) (pdf)
- [Activities in Environmental Document Phase](#) (pdf)
- [Caltrans District 3 Development Policy Document](#) (pdf)
- [Caltrans 3D Utility Database Memo](#) (pdf)
- [CDOT Mapping the Underground Presentation](#) (pdf)

### Contacts

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[aia.transportation.org](http://aia.transportation.org)

# Expert Panel



Lance Parve, WSP  
Improved Project Delivery Webinar Facilitator



Anand Maganti



Aaron Ott



Rob Martindale



Andrew Block

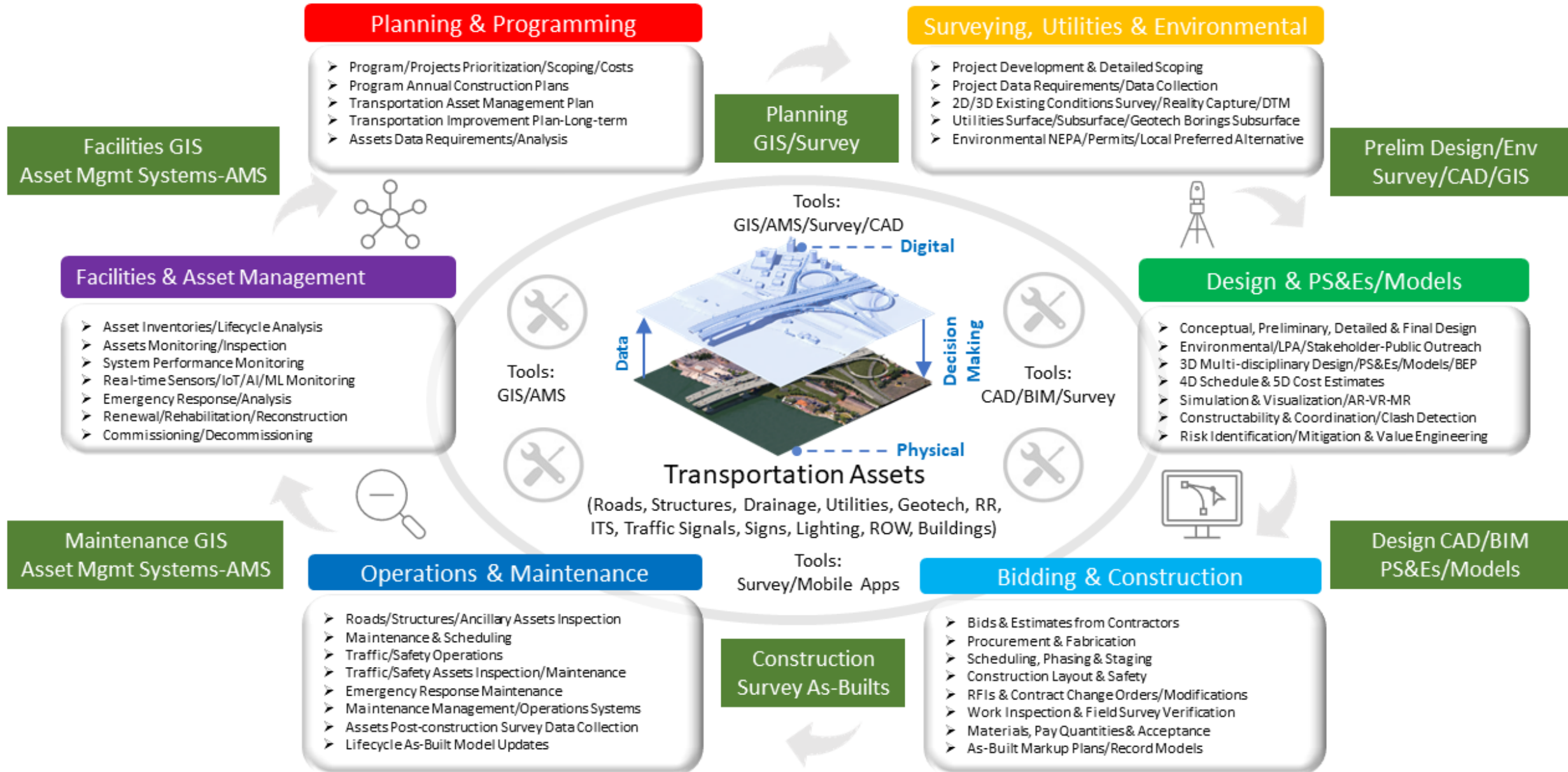
# Participant Poll #1

The background features a series of overlapping, semi-transparent blue geometric shapes, primarily triangles and polygons, in various shades of blue, creating a modern, abstract design on the right side of the slide.

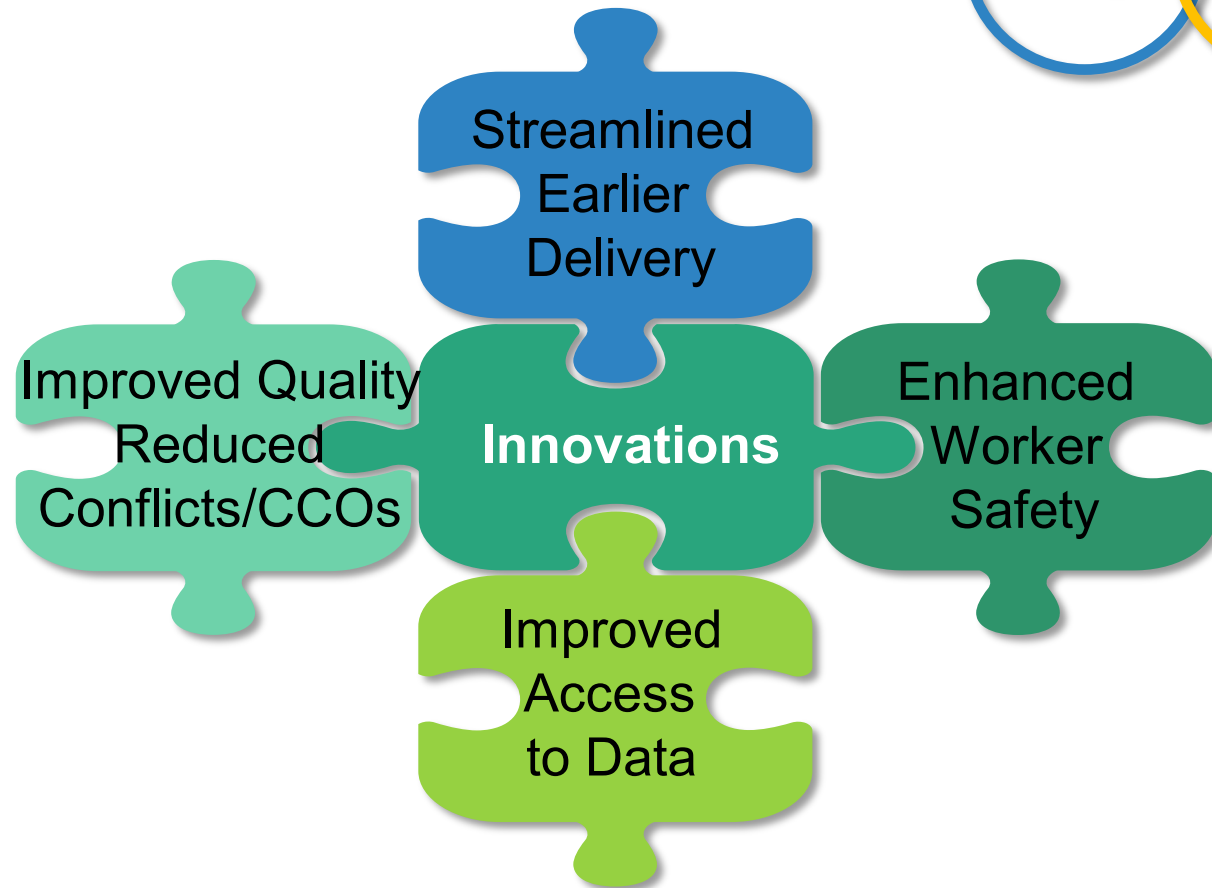
# General Overview of Project Delivery Using GIS and Advanced Survey Techniques

Lance Parve, WSP

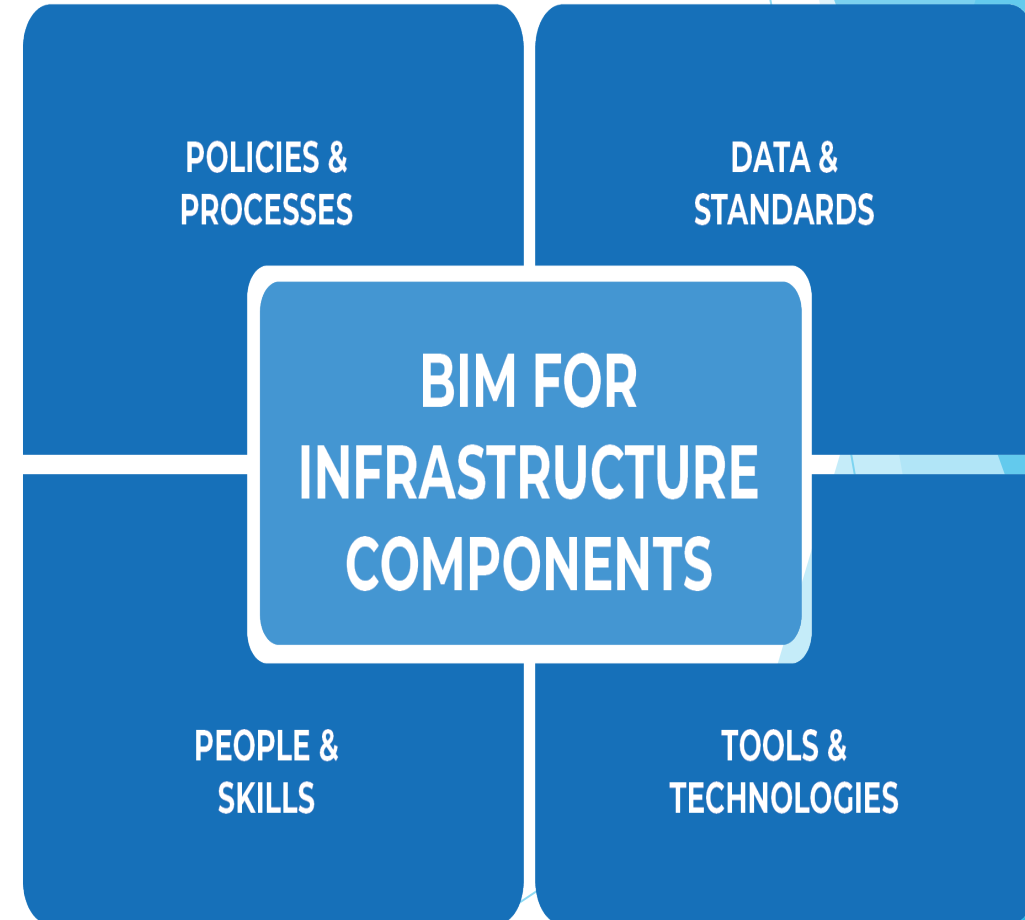
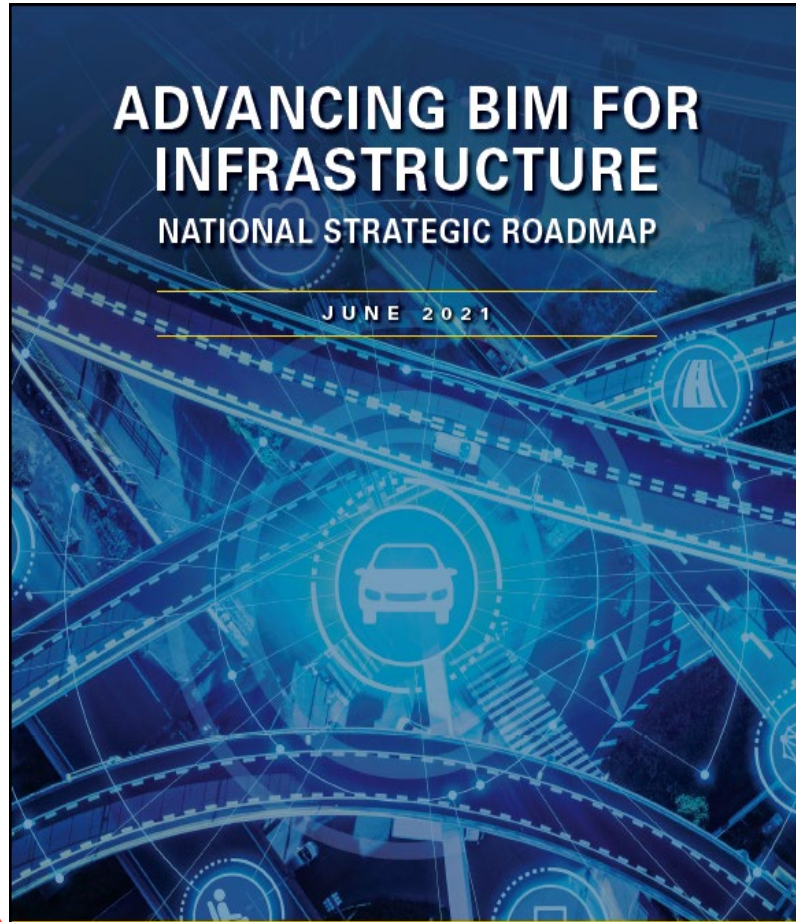
# Transportation Facilities Lifecycle Digital Delivery



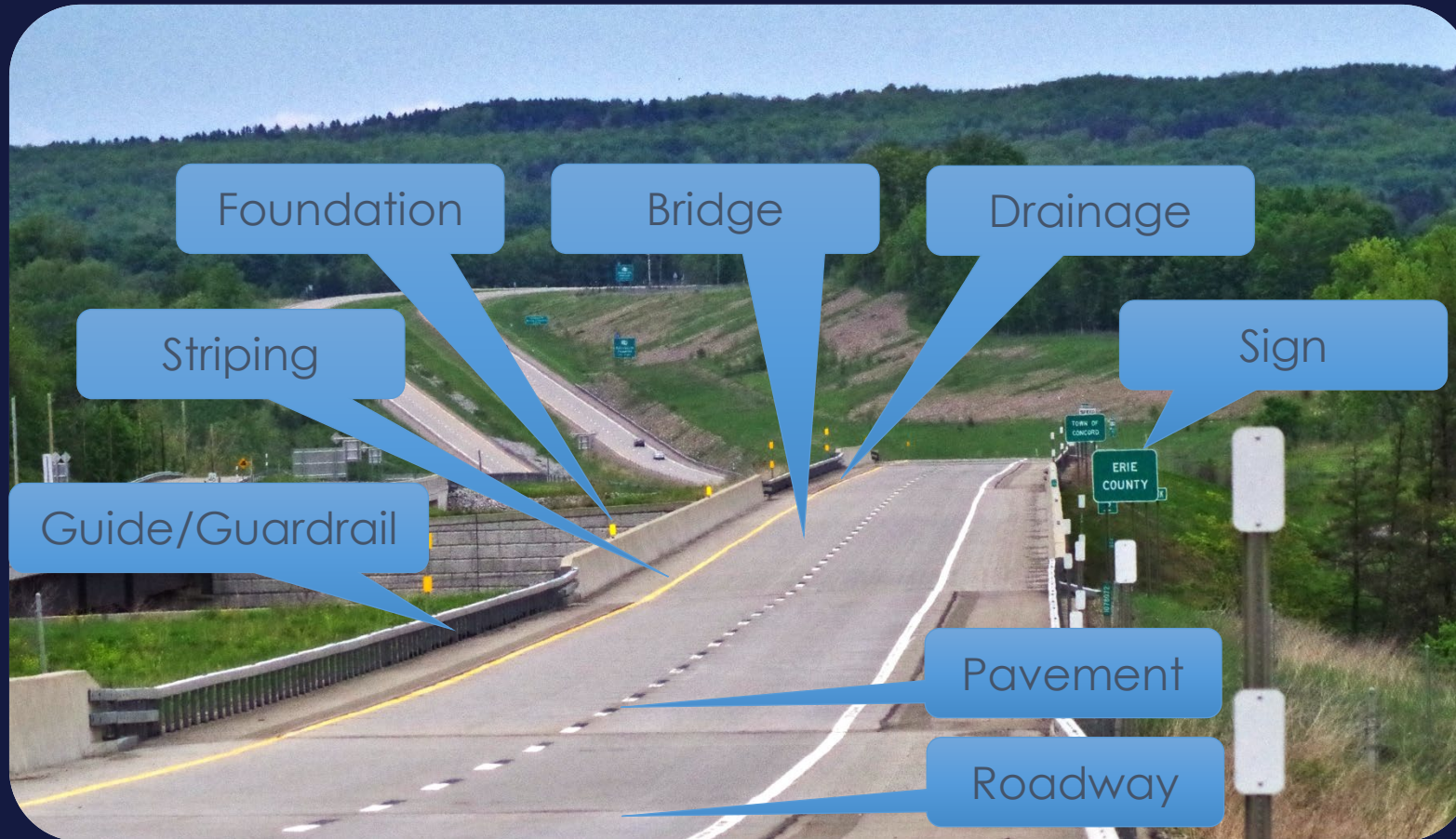
# Benefits



# BIM4I National Strategic Roadmap and Key Components



# DABs: DOT Priority Assets



Images Courtesy of and Adapted from: Utah DOT

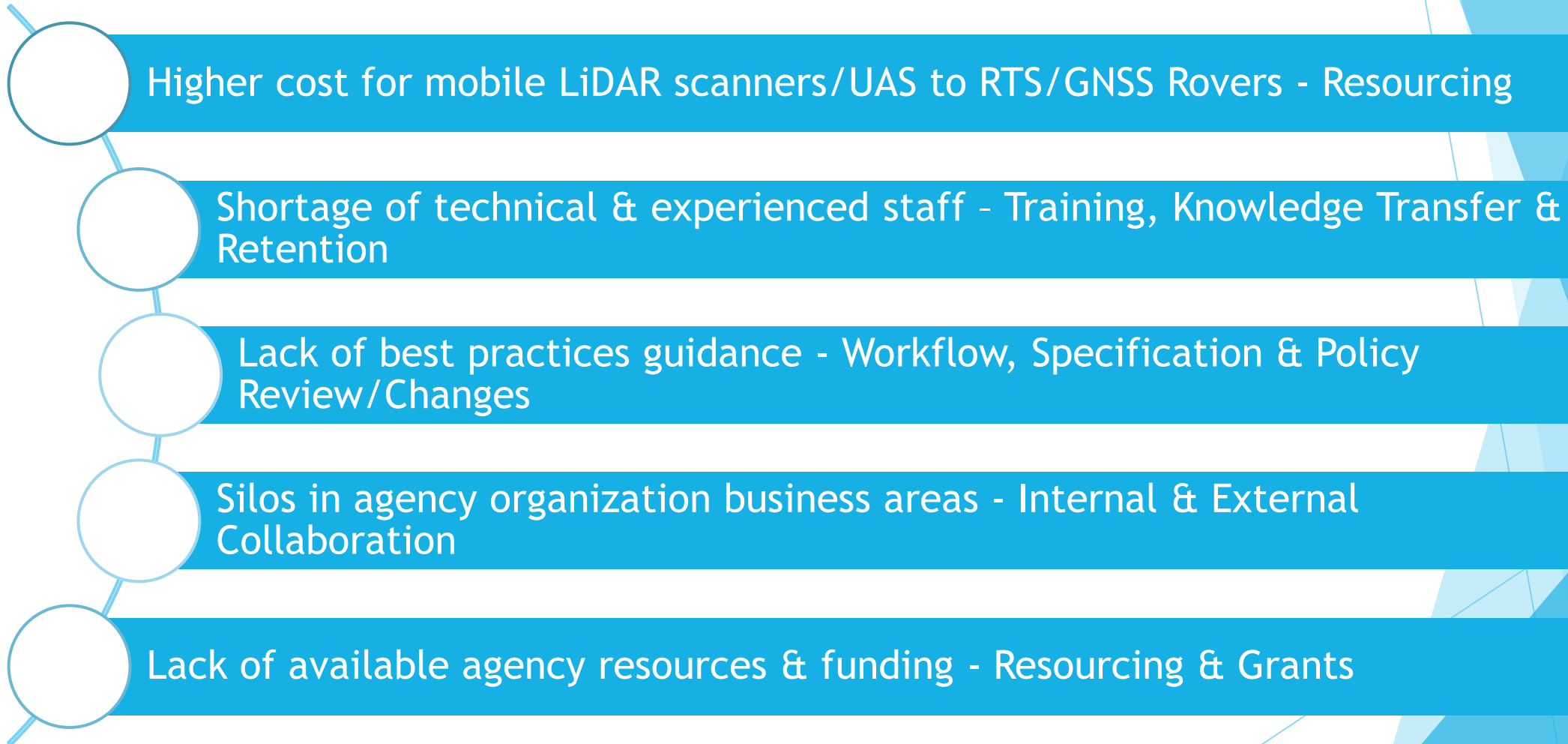


# Utilities/GIS/Survey Use Cases

## Primary Use Cases

- Geospatially locate utility/ROW/assets earlier for improved project delivery
- Use Advanced Survey & Model/Cloud-based Tools for Improved Accuracies
- Use 2D/3D visual models & AR/VR/MR in field to streamline e-construction
- Record Data to Systems of Record & Common Data Environment Repositories
- Extract model-based asset data for lifecycle uses to GIS, CAD & AMS

# Key Barriers & Overcoming Strategies

- 
- Higher cost for mobile LiDAR scanners/UAS to RTK/GNSS Rovers - Resourcing
  - Shortage of technical & experienced staff - Training, Knowledge Transfer & Retention
  - Lack of best practices guidance - Workflow, Specification & Policy Review/Changes
  - Silos in agency organization business areas - Internal & External Collaboration
  - Lack of available agency resources & funding - Resourcing & Grants



# CALTRANS PERSPECTIVE


1) Advancement in Surveys

```
graph TD; A[1) Advancement in Surveys] --> B[2) GIS Repository]; B --> C[3) Process Improvements]; C --> D[4) Vision];
```

2) GIS Repository

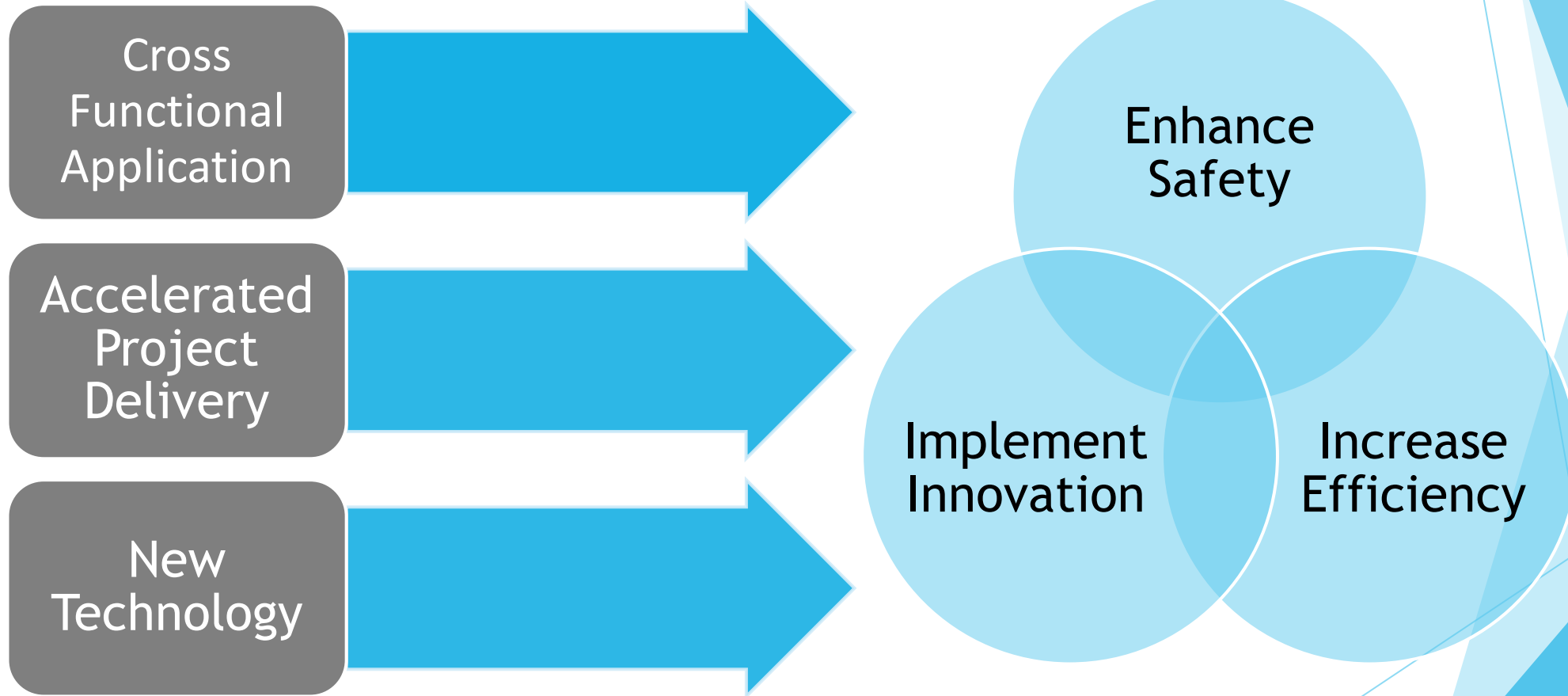
3) Process Improvements

4) Vision

The background features a large, irregular orange watercolor splash centered on a white background. The splash has a gradient from light orange at the top to a darker, almost blackish-orange at the bottom. It is surrounded by smaller, lighter orange and white splatters and dots, creating a textured, artistic effect. The overall composition is set against a solid blue background with some geometric shapes in the corners.

# Advancements in Surveys

# Why UAS?



# UAS Accomplishments

- ▶ Unmanned Aerial Systems (UAS)
  - ▶ \$498,218 savings FY 20/21 for D3
  - ▶ \$438,708 savings FY 21/22 for D3
  - ▶ \$321,332 savings FY 22/23 for D3
  - ▶ Increased Efficiencies
  - ▶ Improved Safety
  - ▶ Rapid Response to Emergency Work

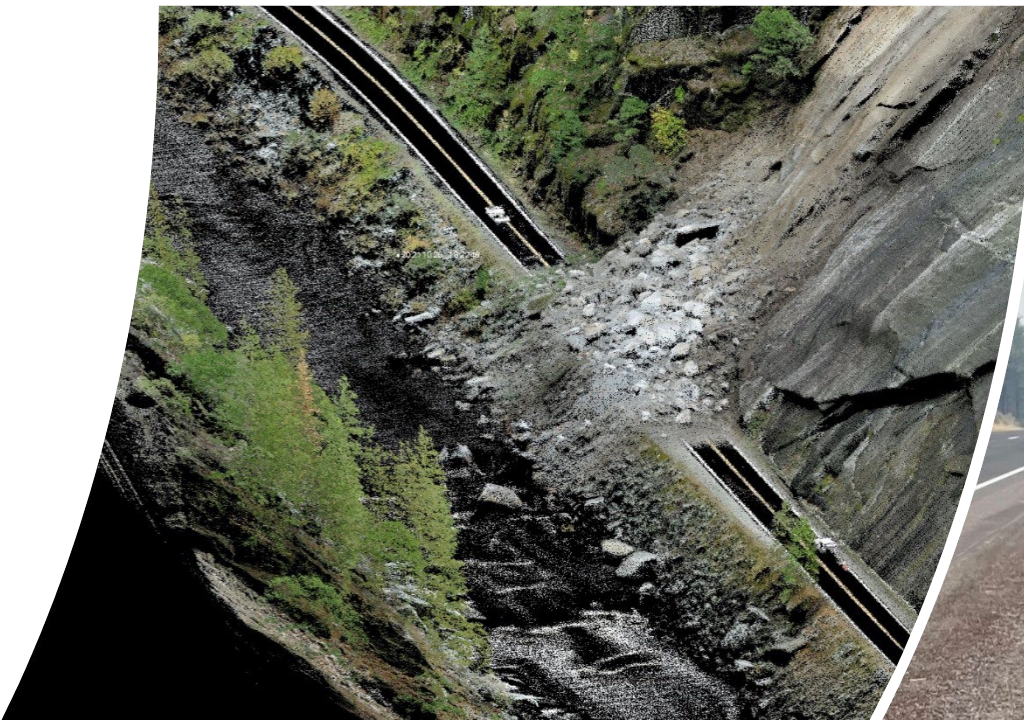
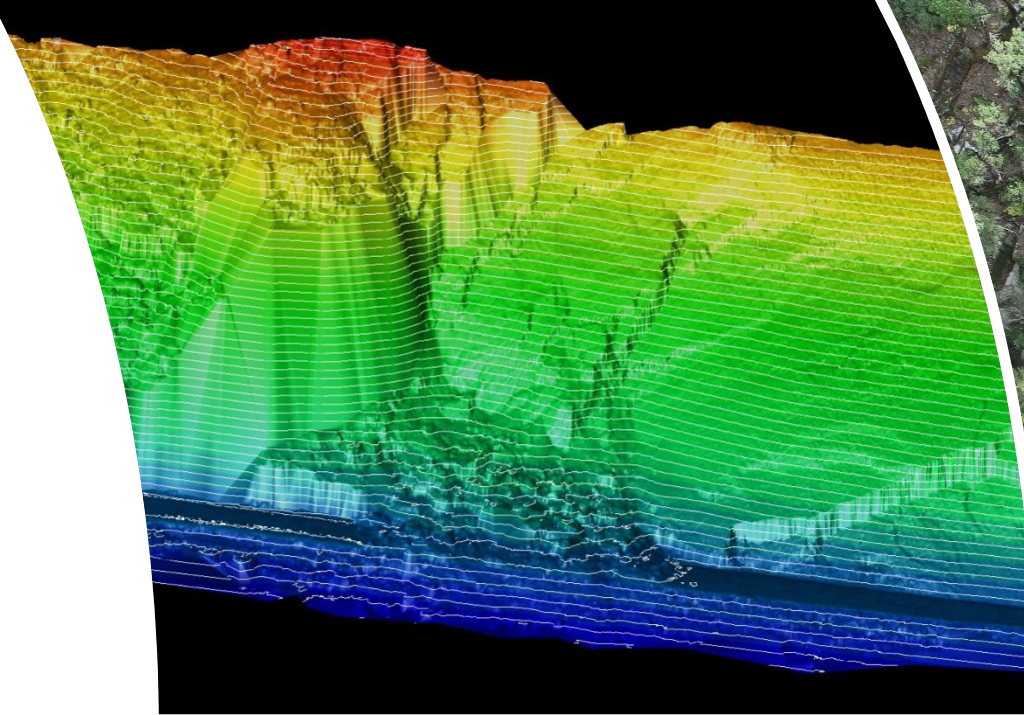


*Pre & Complete Earthwork*



# UAS Technology at Work

- 10/24/2021 Slide Event Highway 70 in Plumas County
- Initial request to Point Cloud Contour Surface Took 2 Days
- Earthwork Volume Report 10/27/2021



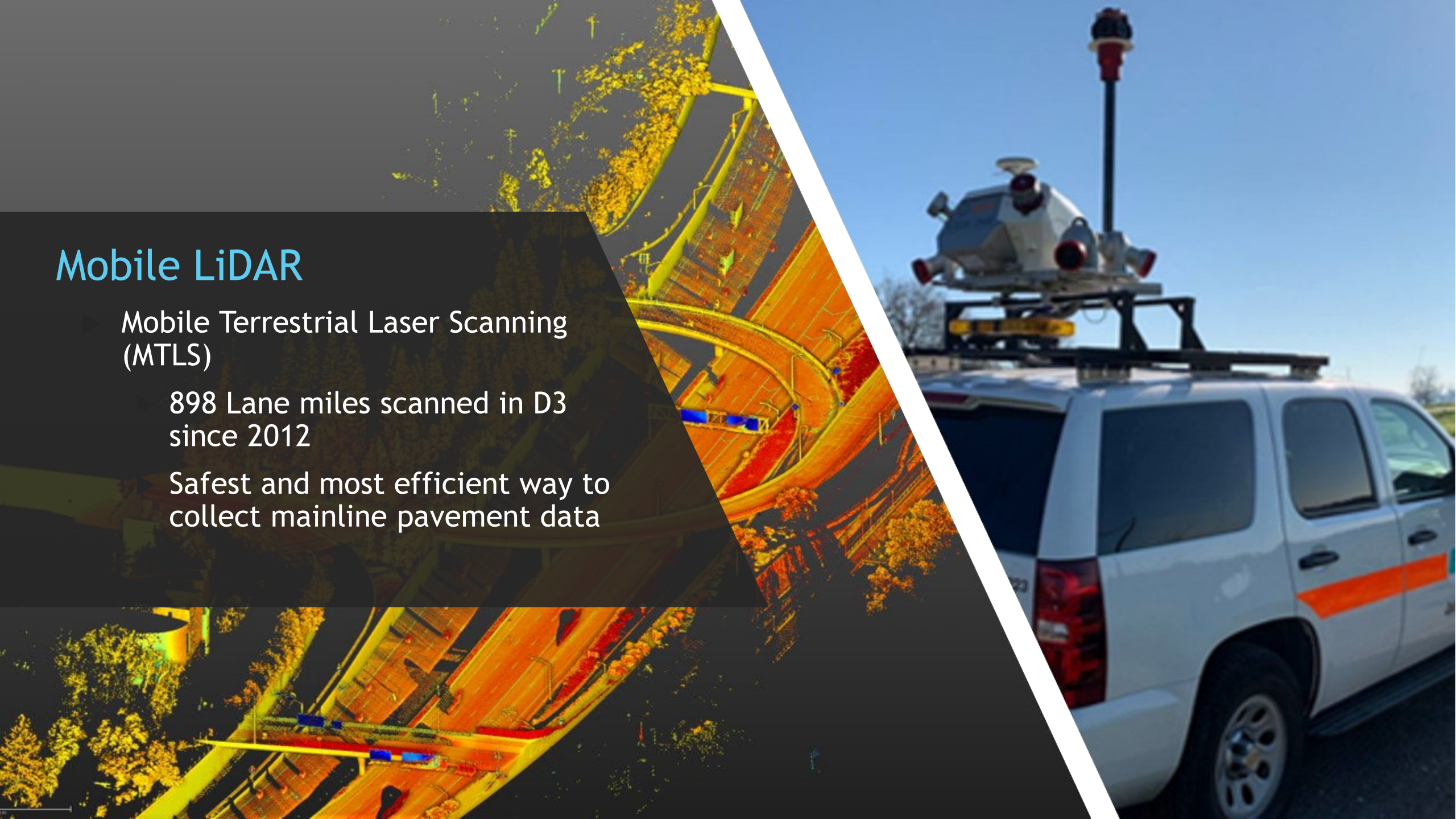


# Mobile LiDAR

Mobile Terrestrial Laser Scanning  
(MTLS)

898 Lane miles scanned in D3  
since 2012

Safest and most efficient way to  
collect mainline pavement data

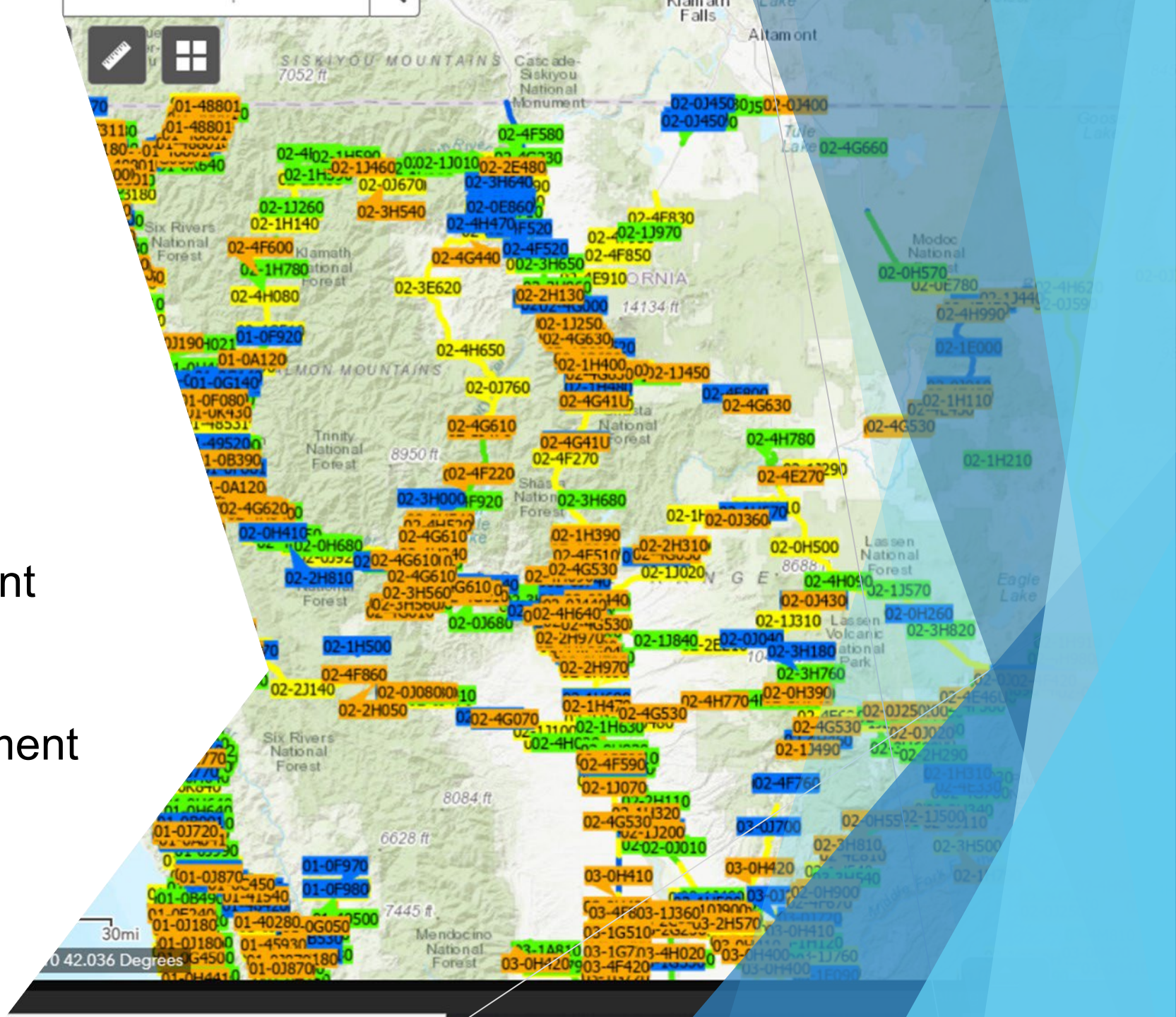


A large, irregular watercolor splash in shades of orange, red, and purple is centered on a white background. The splash has a textured, painterly appearance with various tones and some darker spots. The text 'GIS Repository' is centered within this splash in a white, sans-serif font.

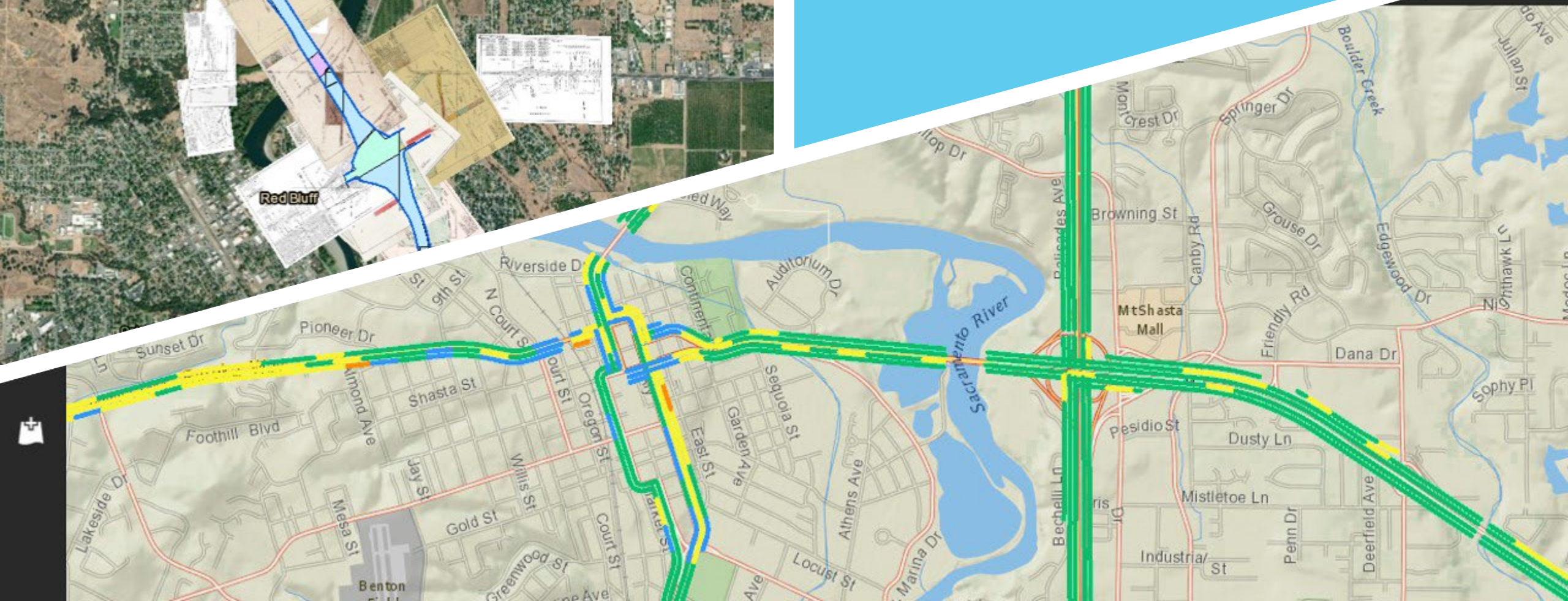
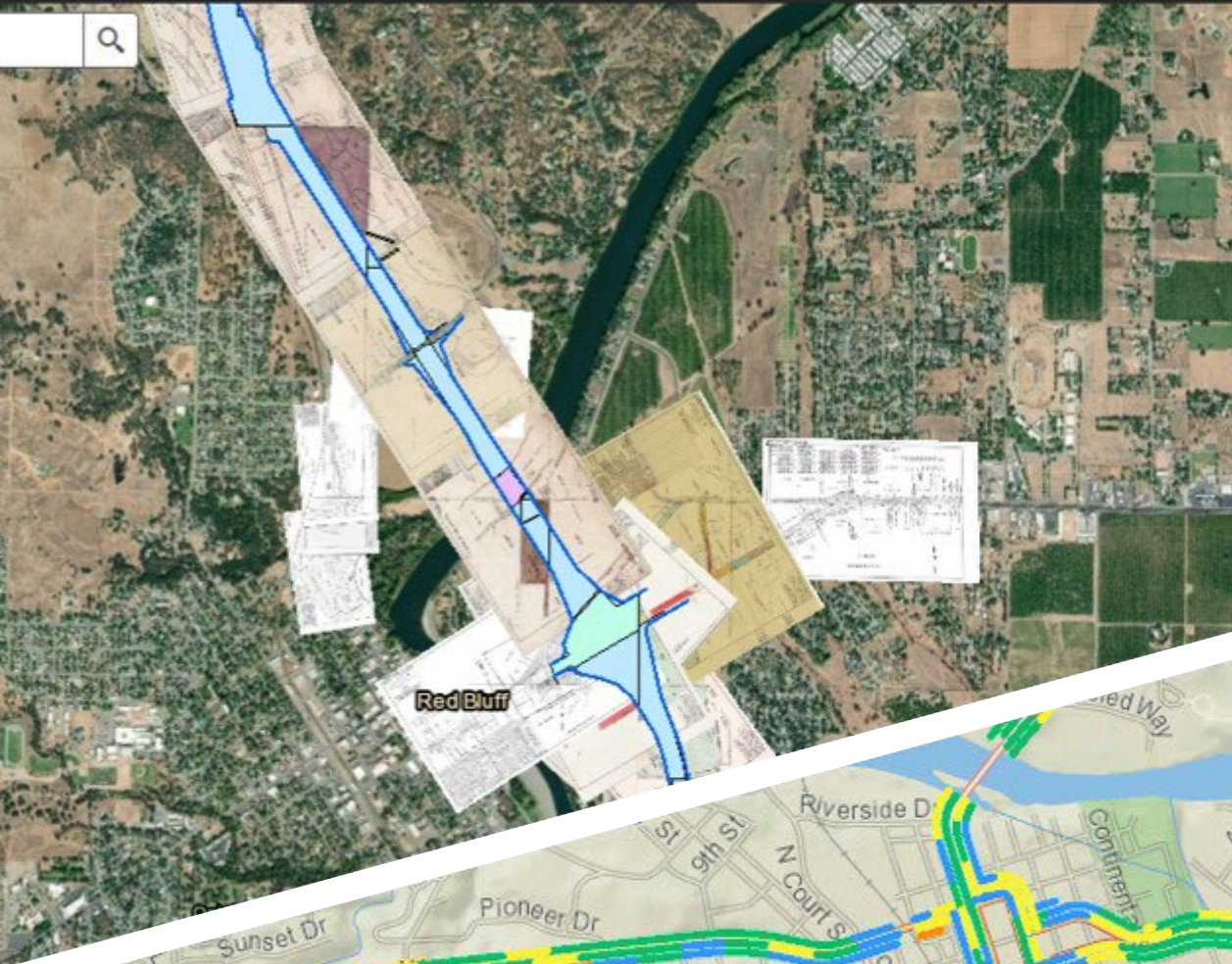
# GIS Repository

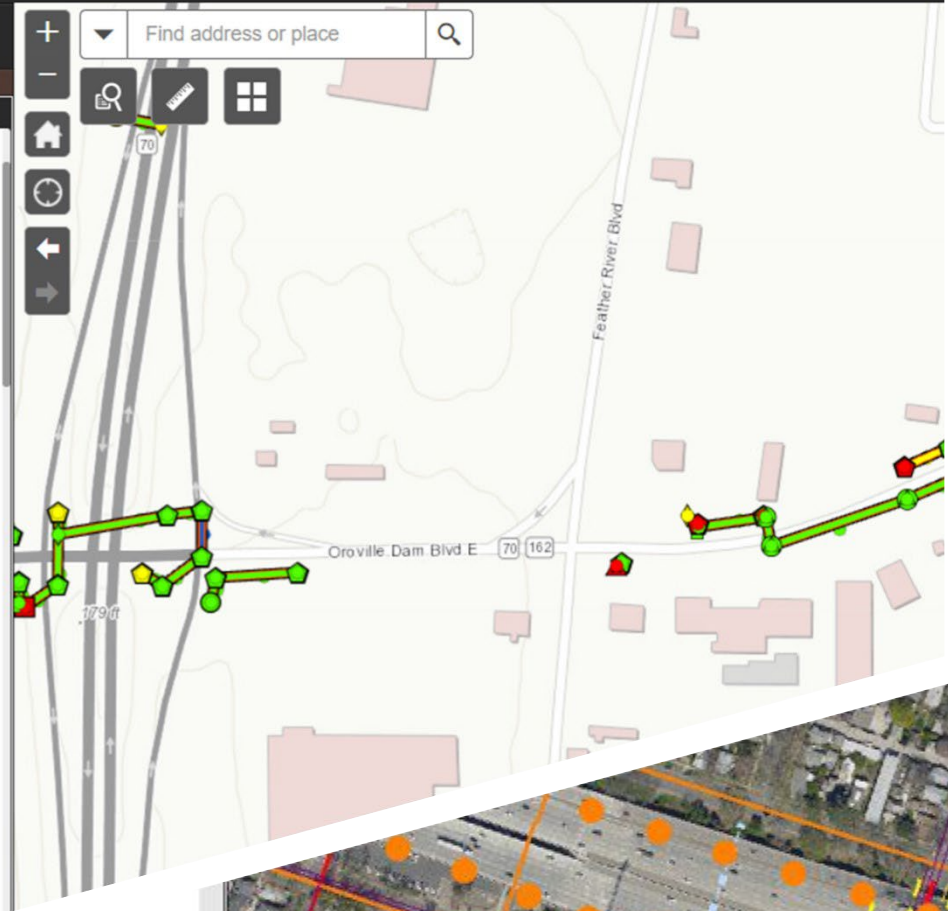
# Ongoing GIS Efforts

- Project As-builts
- Right of Way lines
- Record Maps
- Topographic Footprint
- Utilities
- Culverts
- Pavement Management
- ADA ramps
- Guardrail
- ITS elements



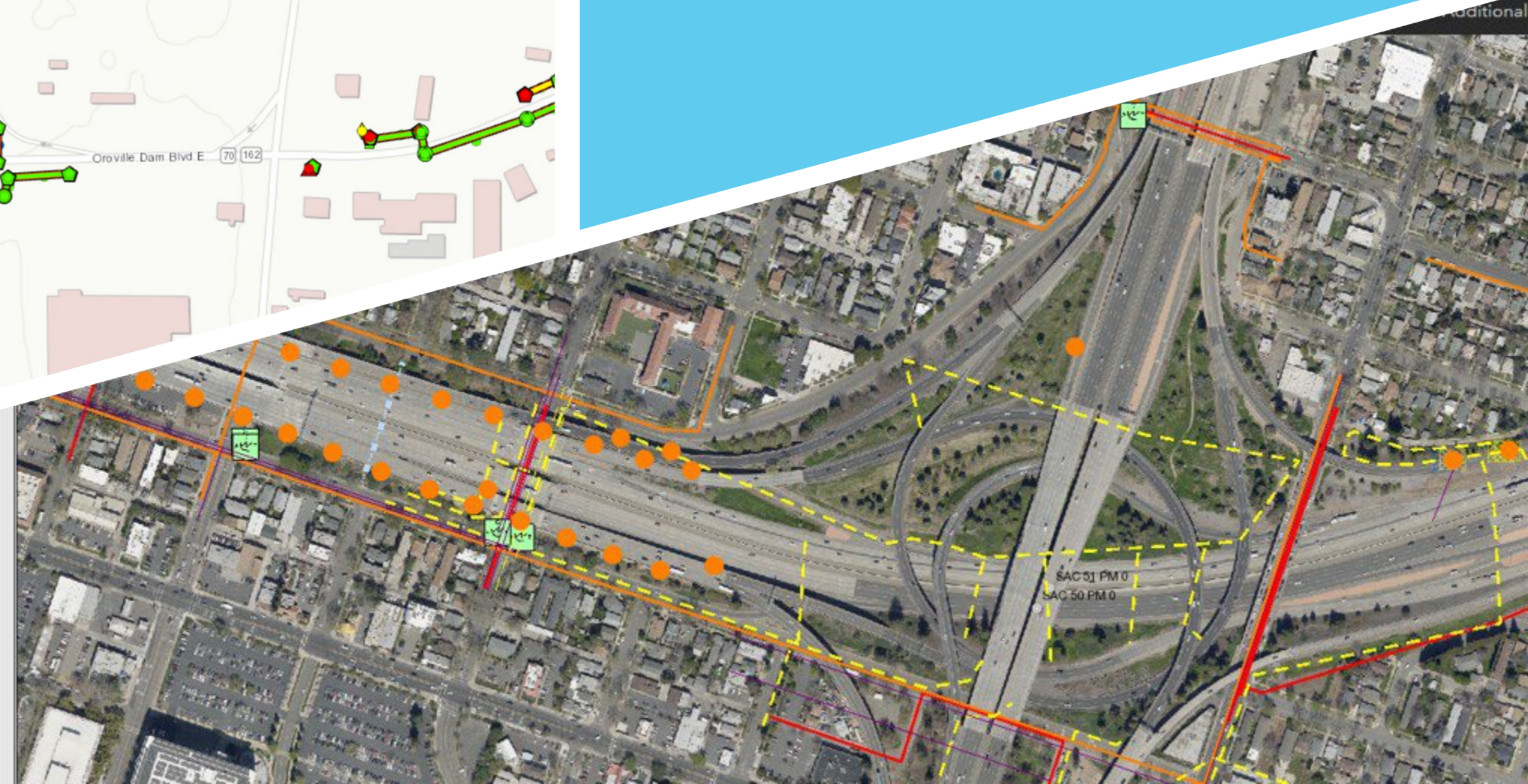
# GIS REPOSITORY

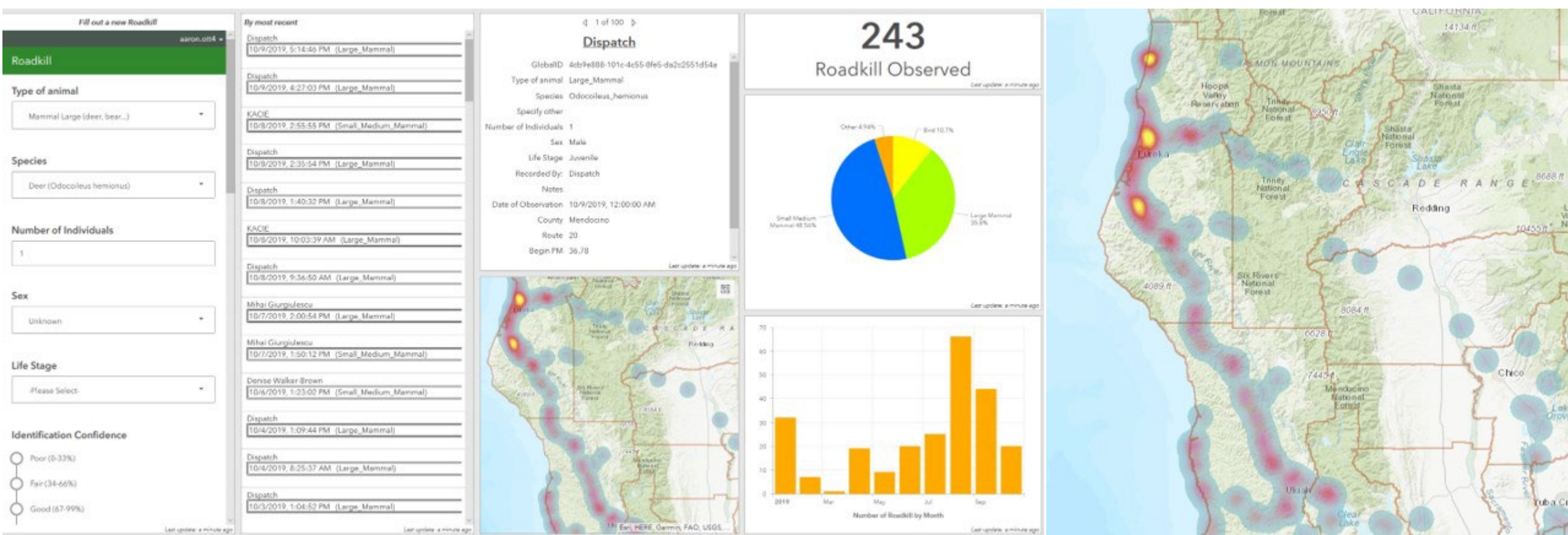




# GIS REPOSITORY

- Telecomm
- Telephone Line
- Television Line
- Fiber Optic
- Electricity
- Traffic Signal





Dashboard Gives an Overall View

Hotspot Map Highlights Areas of Concern

# Roadkill

- Roadkill Application and Dashboard
- Data can be entered in the Field through Application
- Dispatch can enter data when reported on radio
- No more paper forms or record on chalkboard
- Updated as incidents are encountered

# Benefits of a Robust GIS Repository

Start with existing data - *No more groundhog day*


3D Archival of project As-builts including utilities

One Stop Shop for All Data Needs

Time savings

Risk reduction

Preservation of Information for Future Projects



# Process Improvements



# Programming Document-Planning Document: 30/60/90% Project Initiation Report (PIR)

## @30% PIR

- Purpose & Need
- Scope
- Alternatives
- Assets to be delivered.

## @60% PIR

- Workplan is confirmed.
- Resources and schedule are loaded for review by all.
- PDT reviews and comments

## @90% PIR

- PDT final review and comments on the 90% PIR.
- The Risk Management Plan is reviewed and certified by executive staff.

# Need for Data Collection

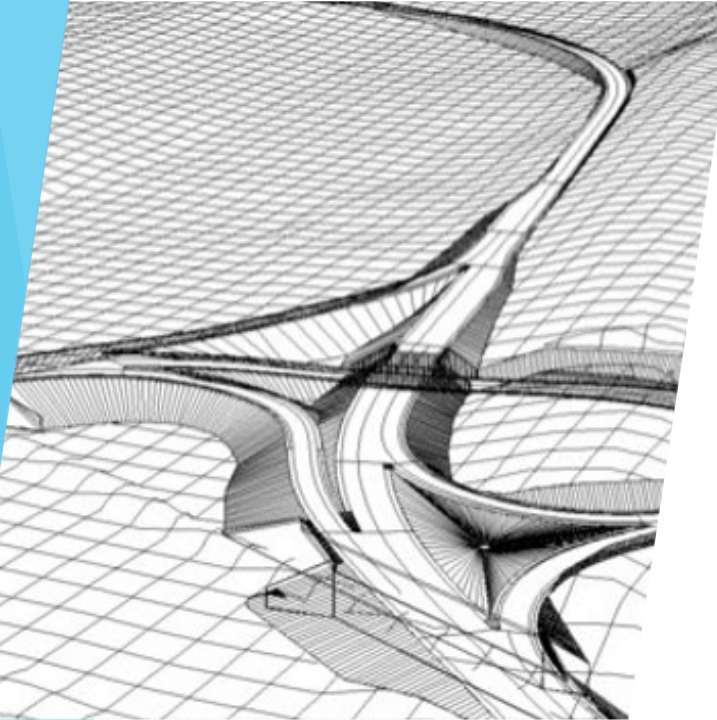


Having stored reusable data ready to go will help future projects to define better scope, be delivered more efficiently and on schedule while having a better understanding of the risks.

A close-up photograph of interlocking gears. One gear is yellow and has the word 'stakeholder' written on it. Another gear is grey and has the word 'engagement' written on it. The gears are set against a dark background.

## Improved Project Delivery Process

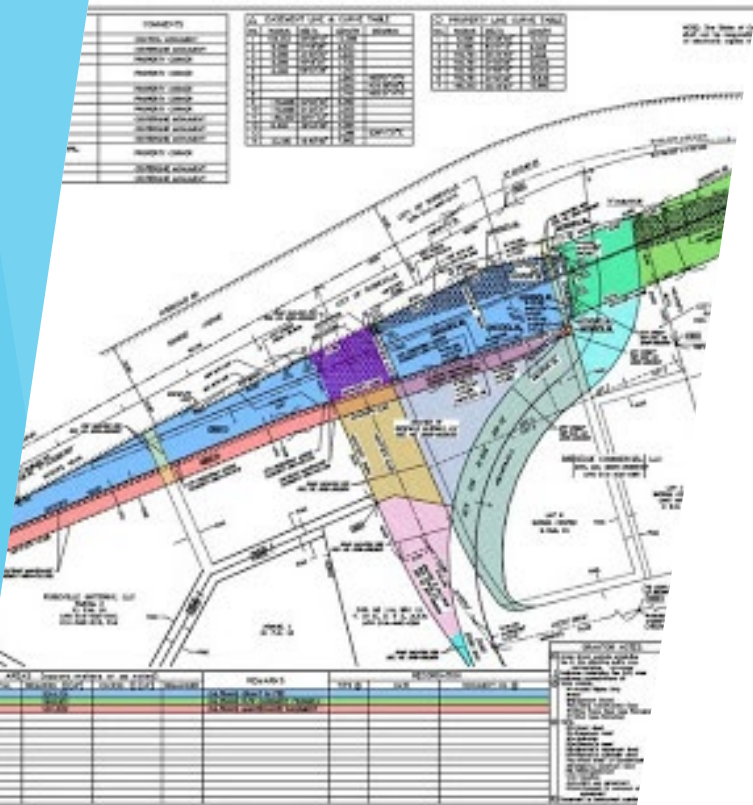
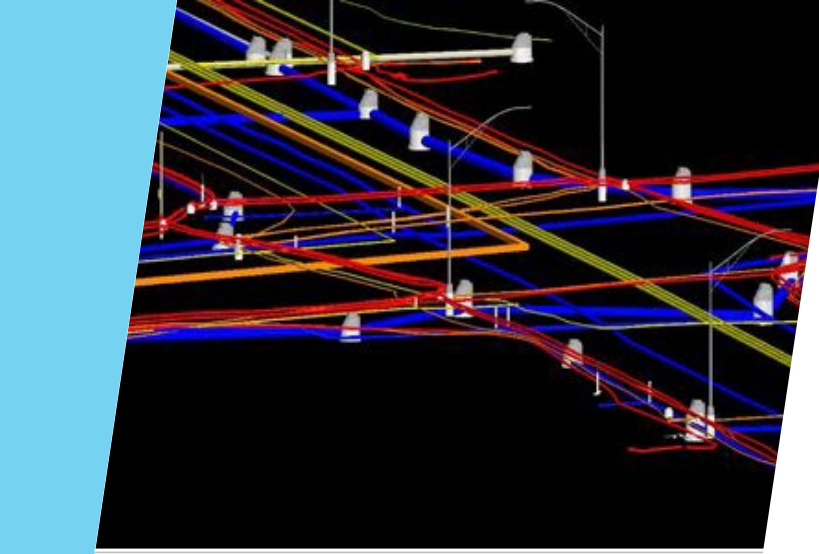
### Environmental Phase

- ▶ Early Surveys
  - ▶ Early Design Work
  - ▶ Stake Holder Engagement
  - ▶ Well Developed Design 3D Model
- 
- A detailed 3D wireframe model of a complex structure, possibly a bridge or a large building. The model is rendered in black lines on a white background, showing the intricate geometry and structural elements of the design.

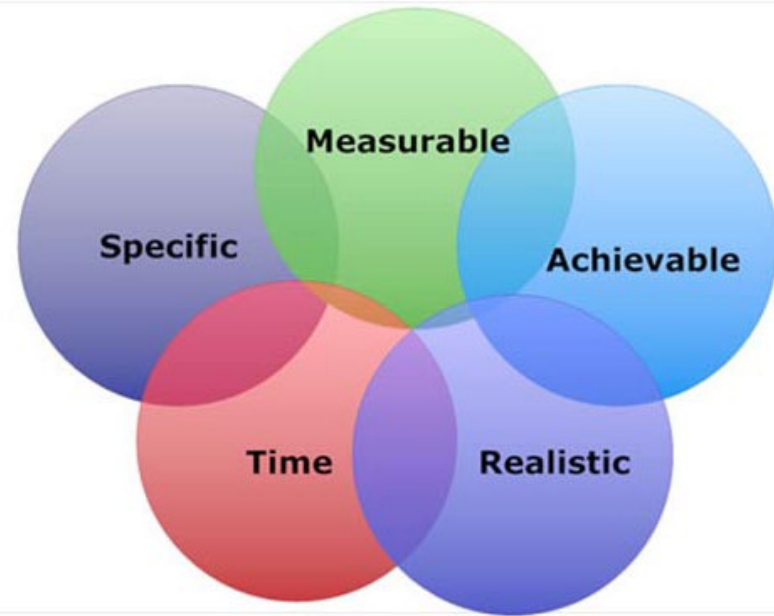
# Improved Project Delivery Process

## Environmental Phase

- ▶ Identify Utility Conflicts
- ▶ Develop Utility Relocation plans and utility easements
- ▶ Identify RW needs



# Achievable Targets with the Improved Project Delivery Process:



Minimizes or eliminates changes to project scope, cost and schedule.

Identification of all Right of Way (R/W) requirements during Environmental Phase

Utility relocation plans and easements during environmental phase.

Better assessment of environmental impacts with early designs.

Complete relocation of utilities that are in conflict prior to construction.

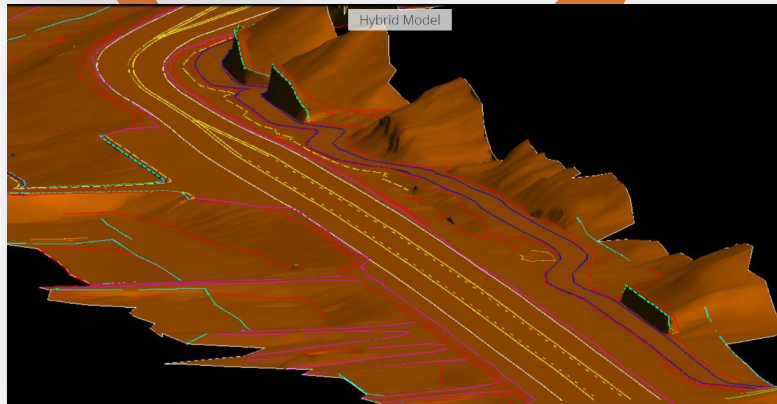
The image features a large, dark orange watercolor splash on a white background. The splash is irregular and textured, with some darker spots and a gradient from light orange to dark brown. The word "VISION" is centered within the splash in a white, sans-serif font. The entire composition is set against a white background, which is itself on a blue gradient background with geometric shapes.

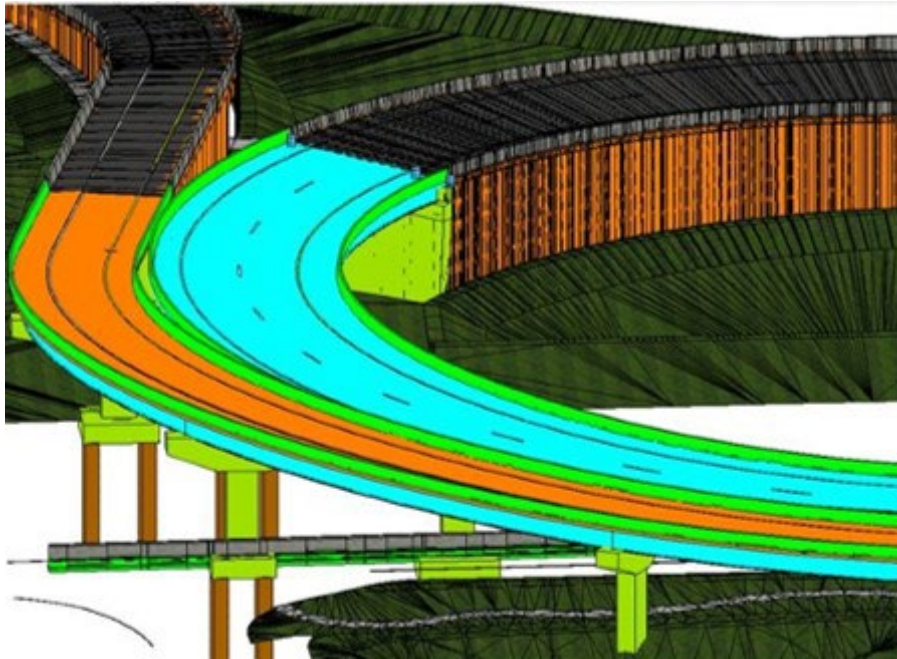
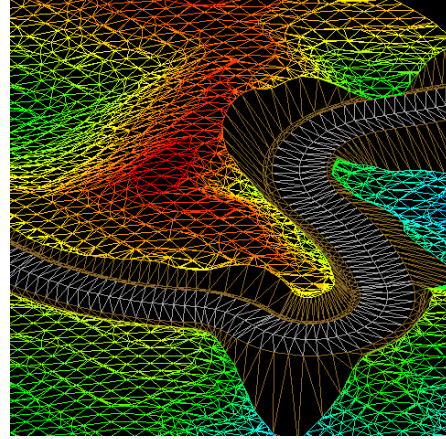
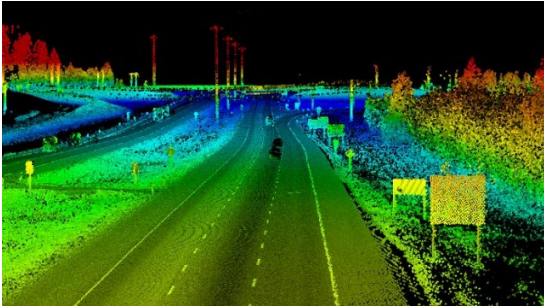
VISION

# Building Information Modeling for Infrastructure (BIM4I)



**CALTRANS Project  
Information Modelling**





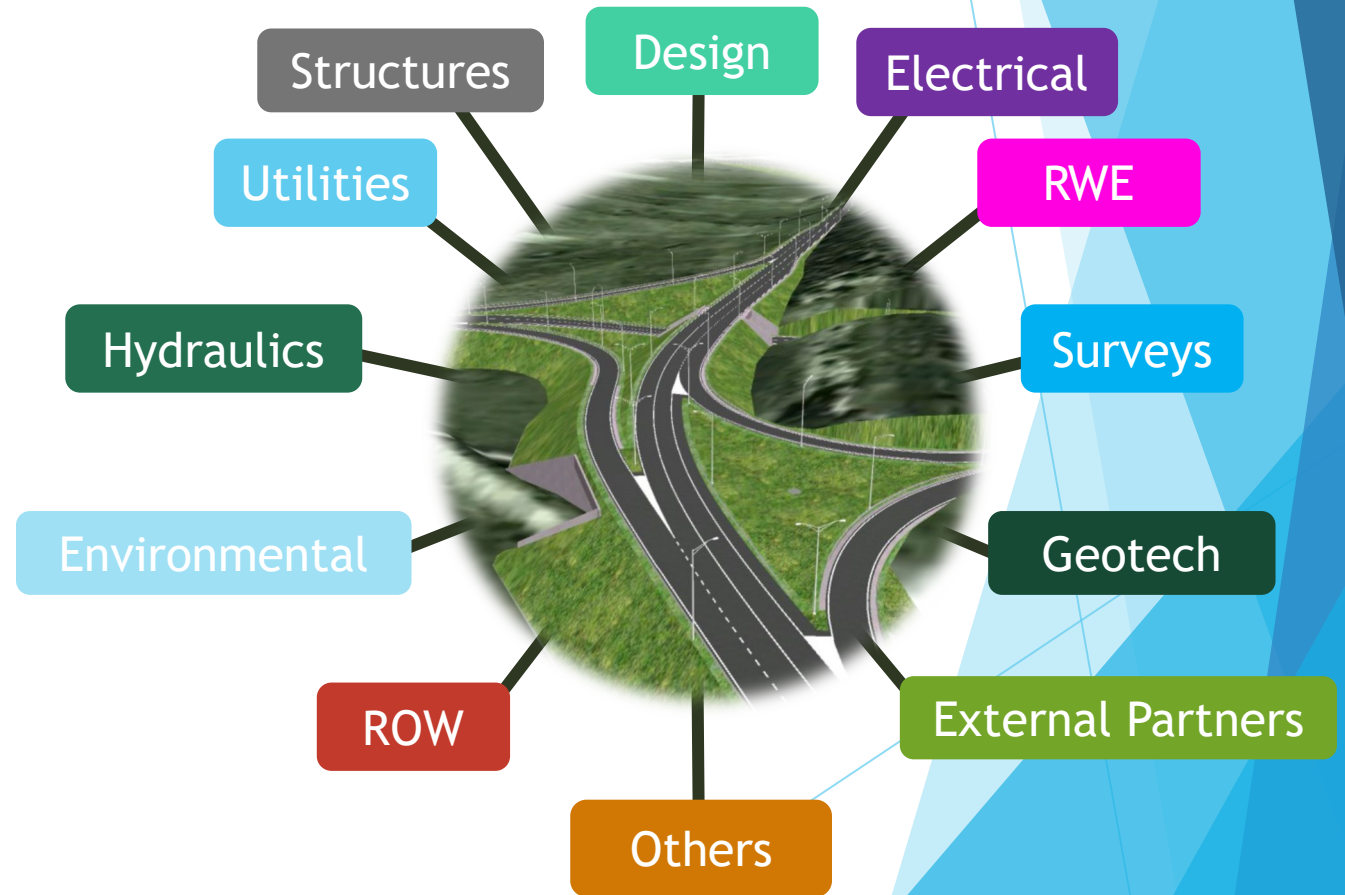
## Early Surveys

- ▶ Innovation
- ▶ Reduce Risk
- ▶ “Living“ Asset Information Model (AIM).



# DATA IS AN ASSET

- ▶ System Source of Record for All Project Data
- ▶ Enterprise Data Available for Everyone Through Web Applications



# Improved Project Delivery Using GIS and Advanced Survey Techniques Webinar



**COLORADO**

Department of Transportation

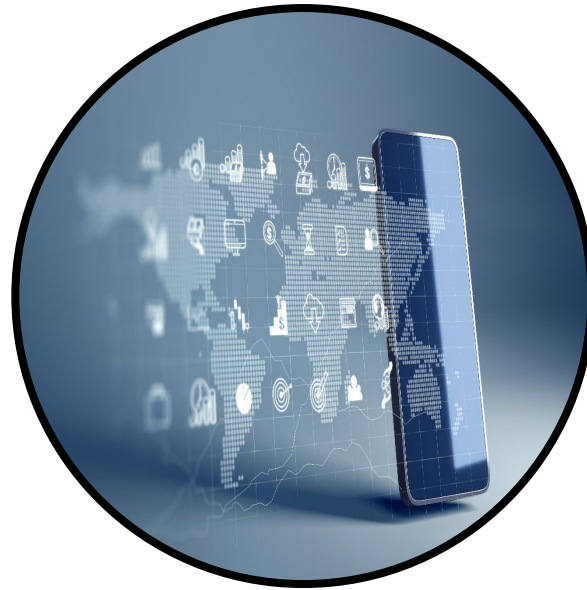
Presented by  
Rob Martindale, PLS



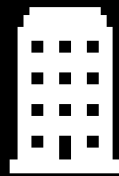
**AASHTO**  
Innovation Initiative

The background features a white space with abstract blue geometric shapes on the right side, including overlapping triangles and polygons in various shades of blue.

# What *is* Utilities Management & Asset GIS data?



Asset Type



Ownership



Maintenance



Dates



Notes

But GIS data doesn't  
come *pre-packaged*

GIS data is  
*designed*



# Timeline

Utilities Management & Asset Mapping GIS



Before 2017

Background  
The need to  
improve utility  
delays



2019

Evaluating and  
Designing software



2021

New Standards  
And Data Capture  
Requirements

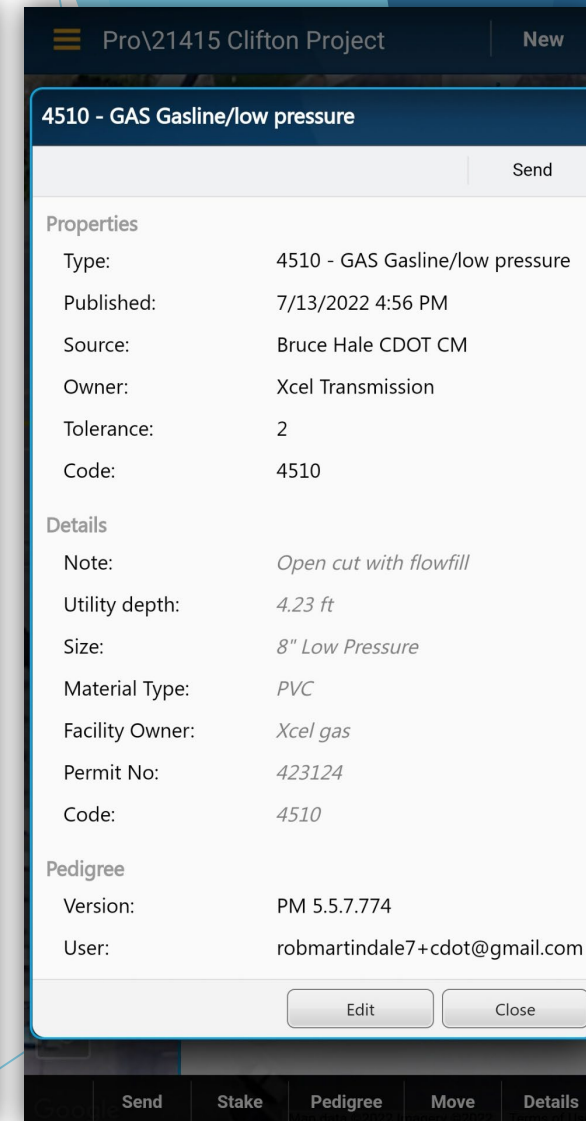
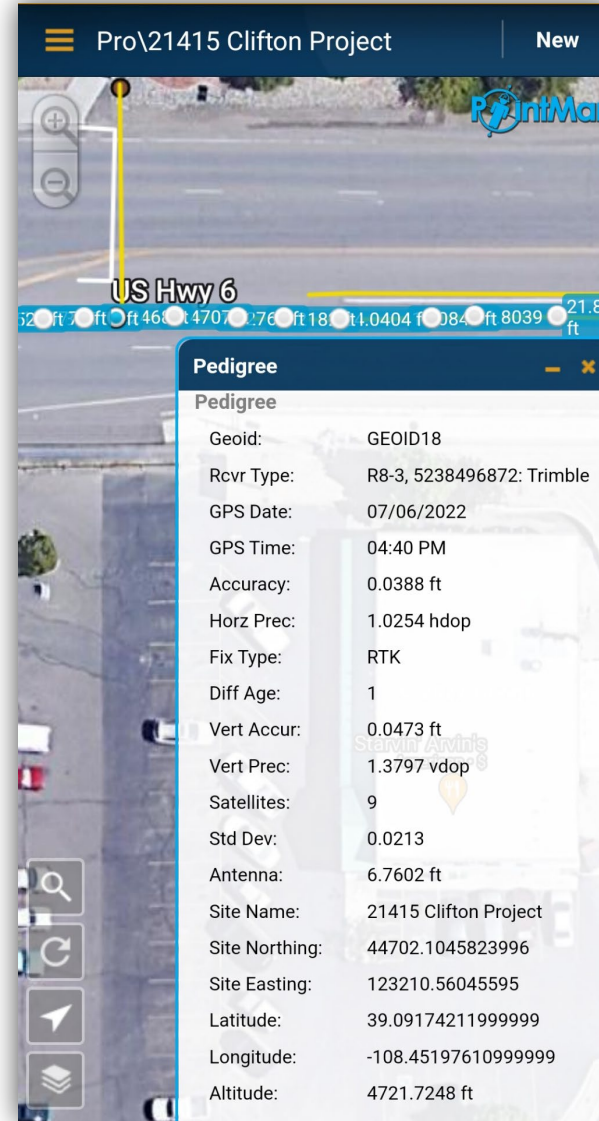


After 2023

Future Aspirations

# Program Goals

- *Having attribute data about each utility enables more efficient and productive coordination with utility owners.*
- *Knowing the location and depth of utilities enables designers to change designs to avoid costly utility relocation and delays in project delivery.*
- *During construction, contractors can pull up mapping systems that accurately display the location and depth of the utilities, so the utilities can be avoided and delays prevented.*
- *The ability to store data in a single platform can minimize the cost of data collection on future projects as well.*



➤ *Example of PointMan Data from R3 Project, SA 21415*



# Subsurface Utility Engineering (SUE) – ASCE 38



# Permitted Utility Installations



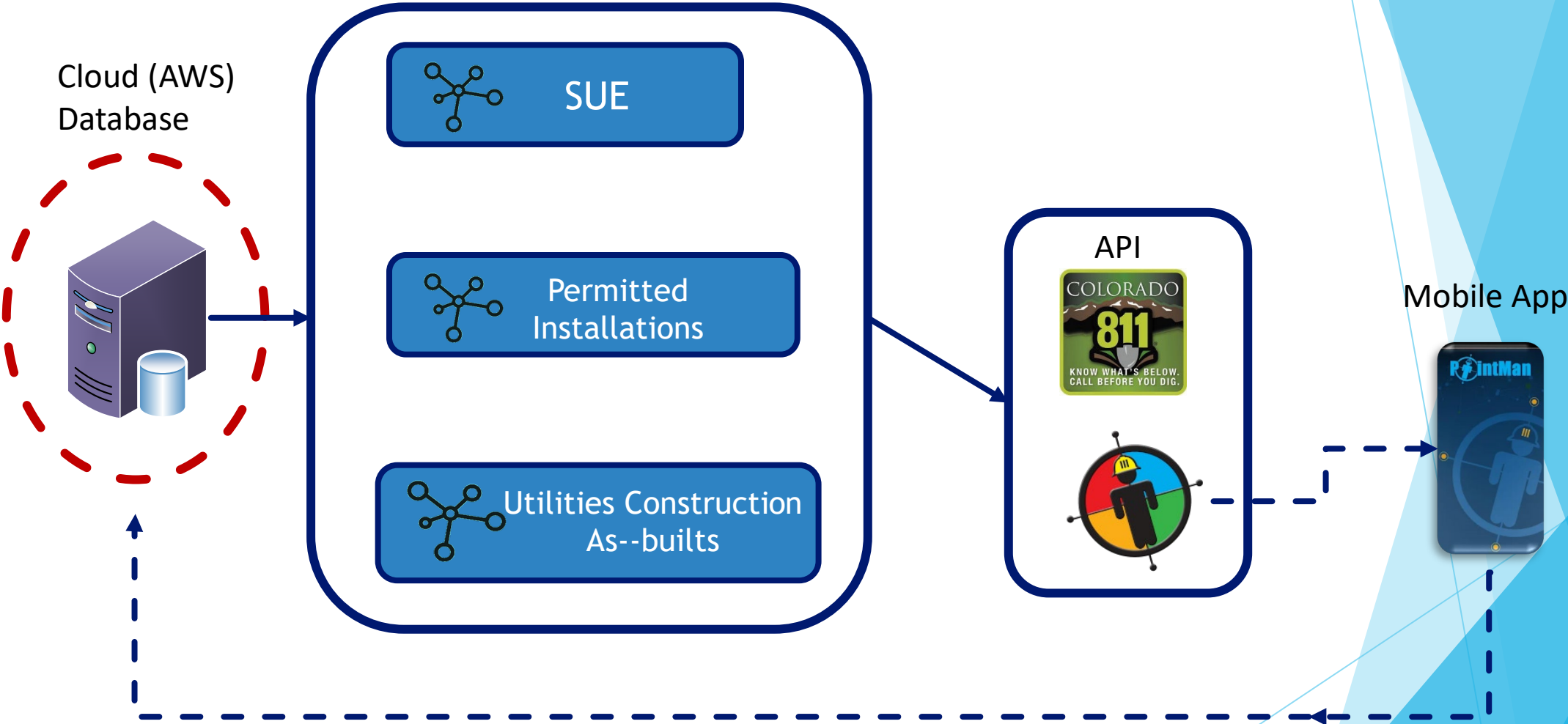
# Construction Management ASCE 75



# Technology and Data Collection

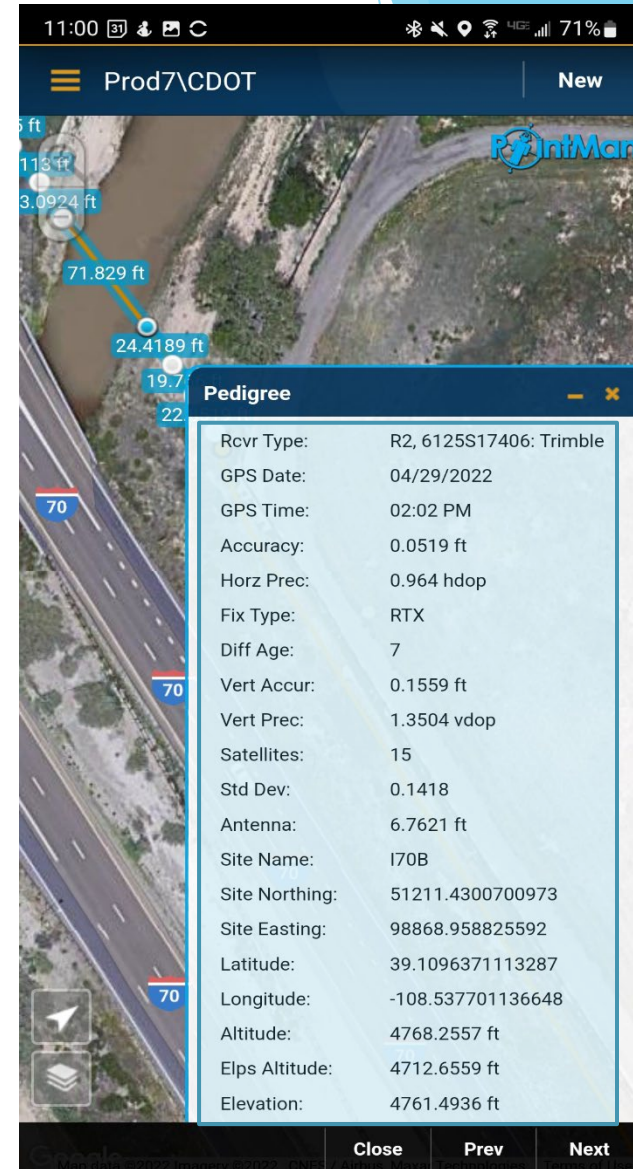
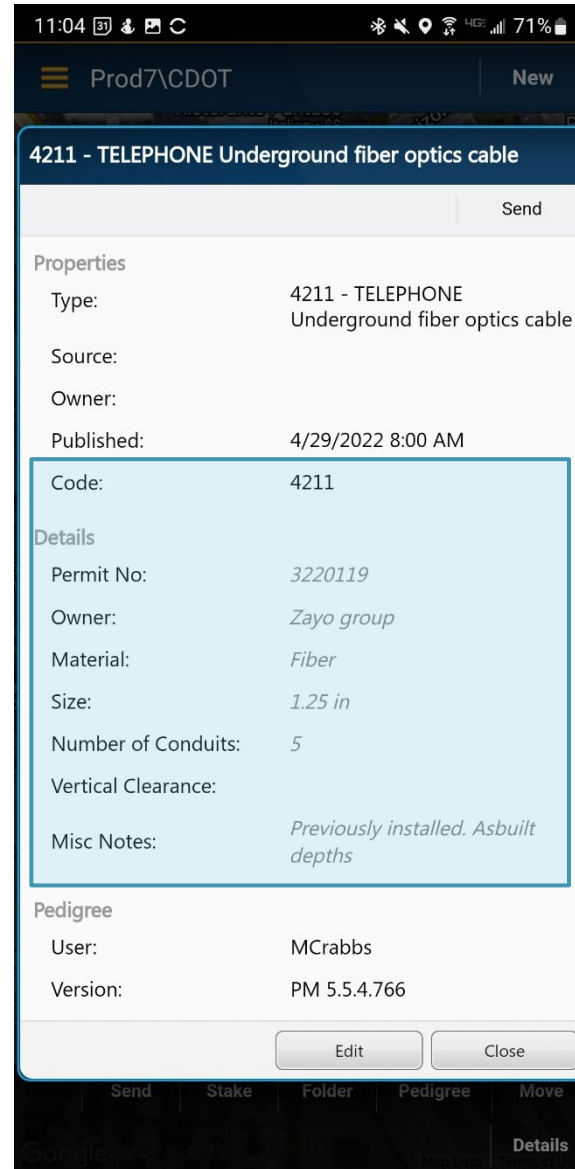
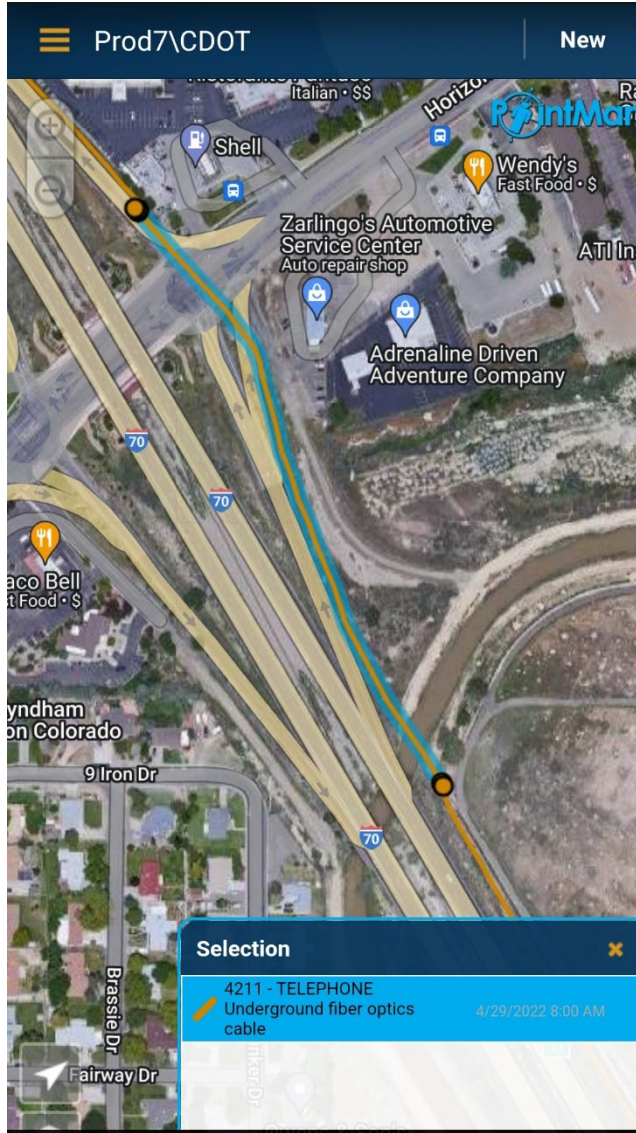


# Technology and Data Collection



# Subsurface Utility Engineering (SUE)

# SUE Deliverables



# SUE QL-A per ASCE 38 Standards



Pro\21415 Clifton Project New

5950 - GEOLOGY Test hole Send

**Properties**

Type: 5950 - GEOLOGY Test hole

Comment:

Msrd Depth: 4.83 ft

Top Of Utility: 4712.4645 ft

Code: 5950

Photo: [IMG\\_1669924328.jpg](#)

**Details**

Utility Size: 8

Depth measured to: *Top center of conduit*

Observation location: *Ground Surface shot plus measure down*

Utility depth: 4.83

Pavement thickness: 6"

sub grade material:

Test hole No:

Permit No:

Facility Owner: CLIFTON WATER

Material Type: PVC

Note:

Edit Close

Pro\21415 Clifton Project New

A satellite map view showing the location of the test hole. The map is overlaid with green lines representing utility lines. A blue circle highlights the specific location of the test hole. The map is titled '5950 - GEOLOGY Test hole' and includes a 'Send' button. The map also shows 'US Hwy 6' and a 'Selection' dialog box with options for 'Style14' and 'Style15'. The bottom of the screen has a navigation bar with 'Send', 'Stake', 'Move', and 'Details' buttons.

5950 - GEOLOGY Test hole Send

**Selection**

- 5950 - GEOLOGY Test hole 12/1/2022 12:50 PM
- Style14
- Style15

Send Stake Move Details

Pro\21415 Clifton Project New

5950 - GEOLOGY Test hole Send

Version: PM 5.6.6.804

Created: 12/1/2022 12:50 PM

User: bellriott@highdesertgj.com

Device: Tsc5 Trimble

Rcvr Type: R12i 6232F00111 Trimble

GPS Date: 12/01/2022

GPS Time: 12:52 PM

Accuracy: 0.0352 ft

Horz Prec: 0.8313 hdop

Vert Accur: 0.0522 ft

Vert Prec: 1.1288 vdop

Std Dev: 0.5417

Geoid: GEOID18

Satellites: 12

Fix Type: HD RTK

Diff Age: 1.8

Antenna: 7.04 ft

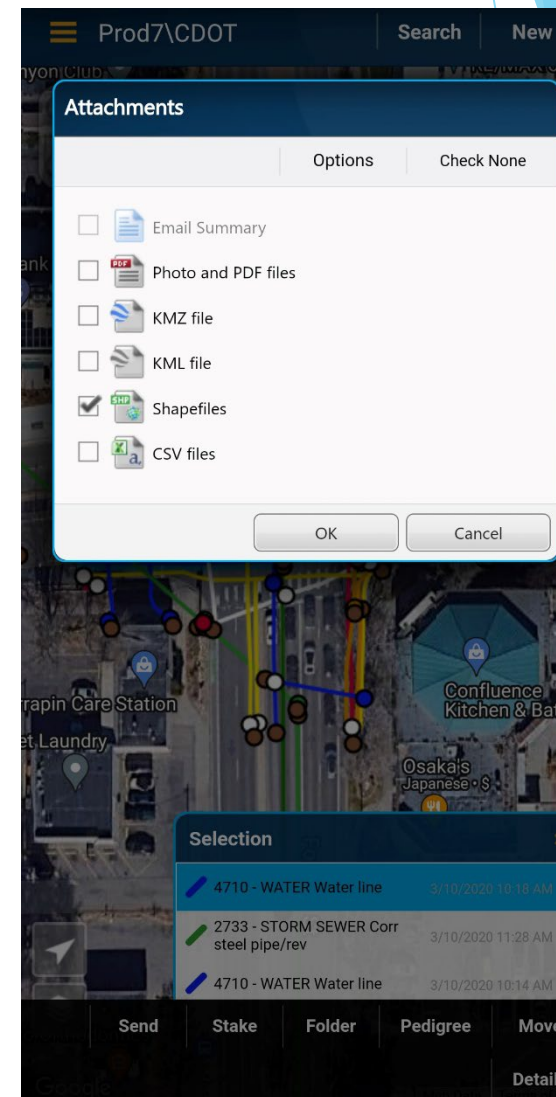
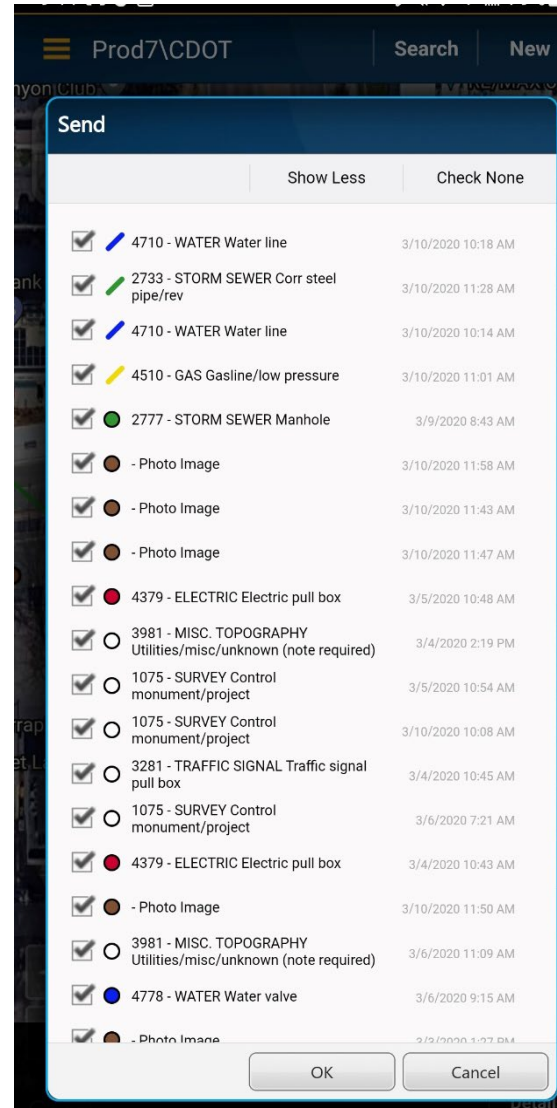
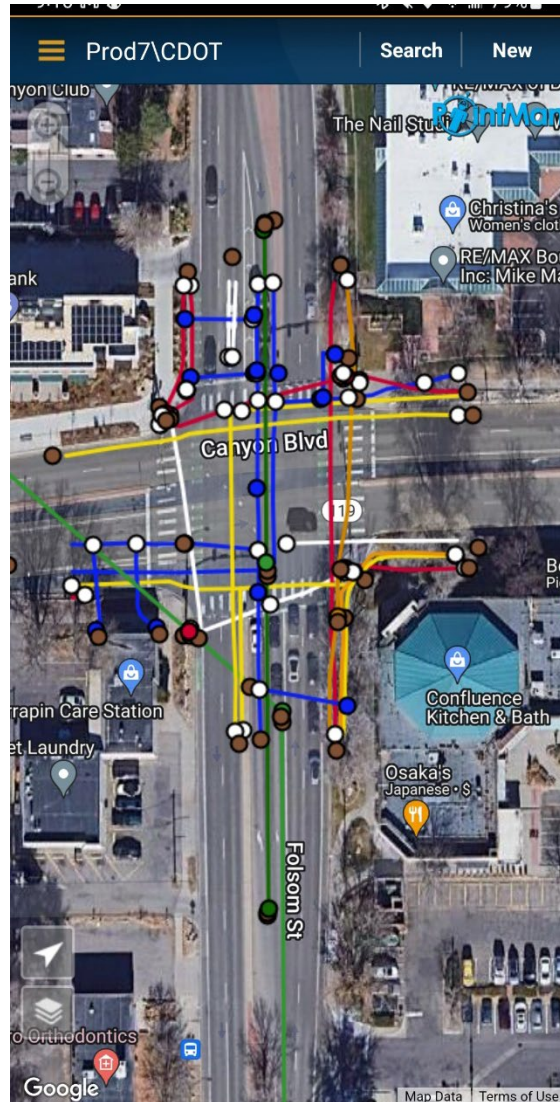
Site Name: 21415 Clifton Project

Site Northing: 44686.2932303909

Site Easting: 123571.166540064

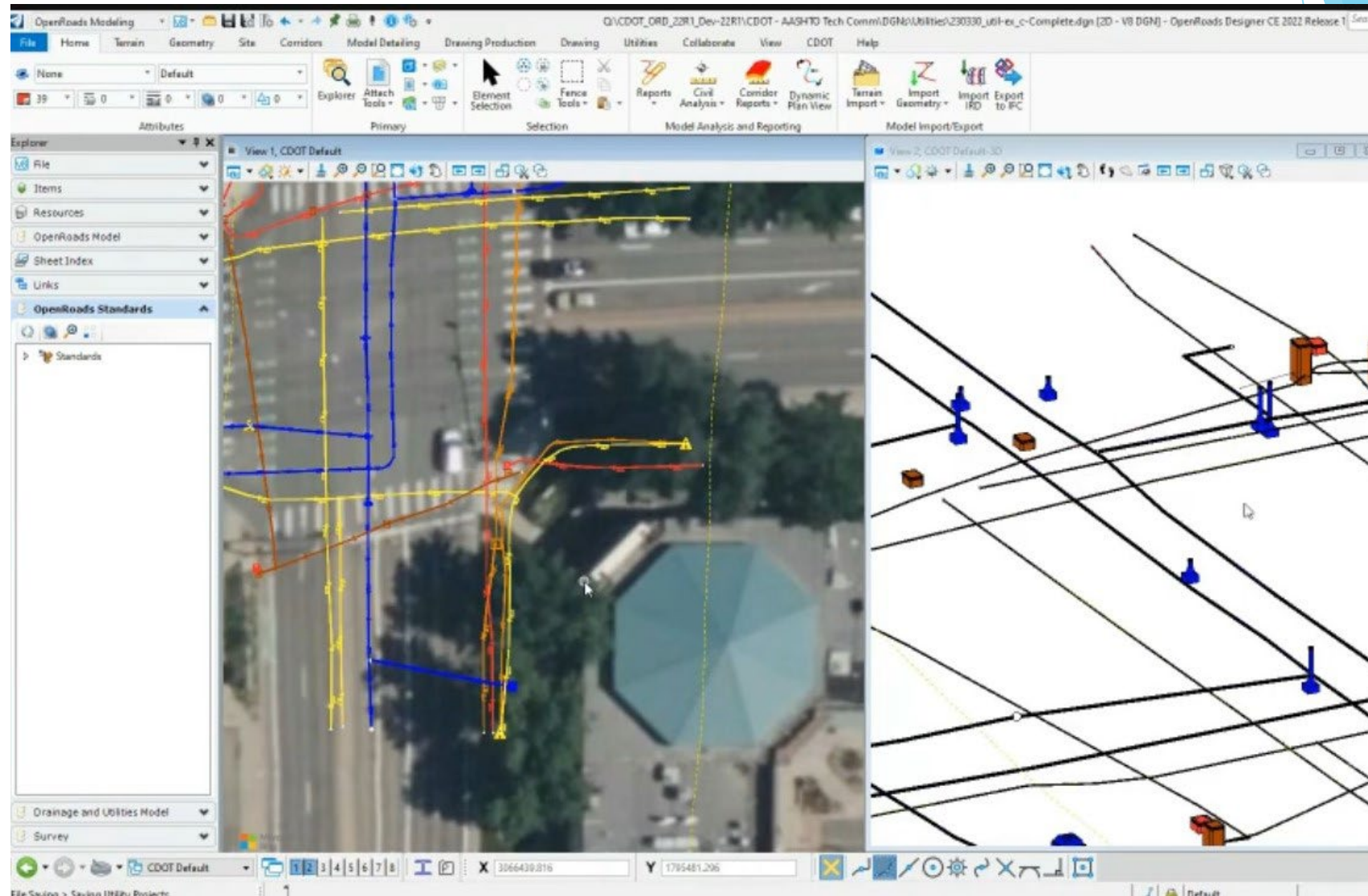
Edit Close

# GIS export to CADD



# Data for Pre-construction Design

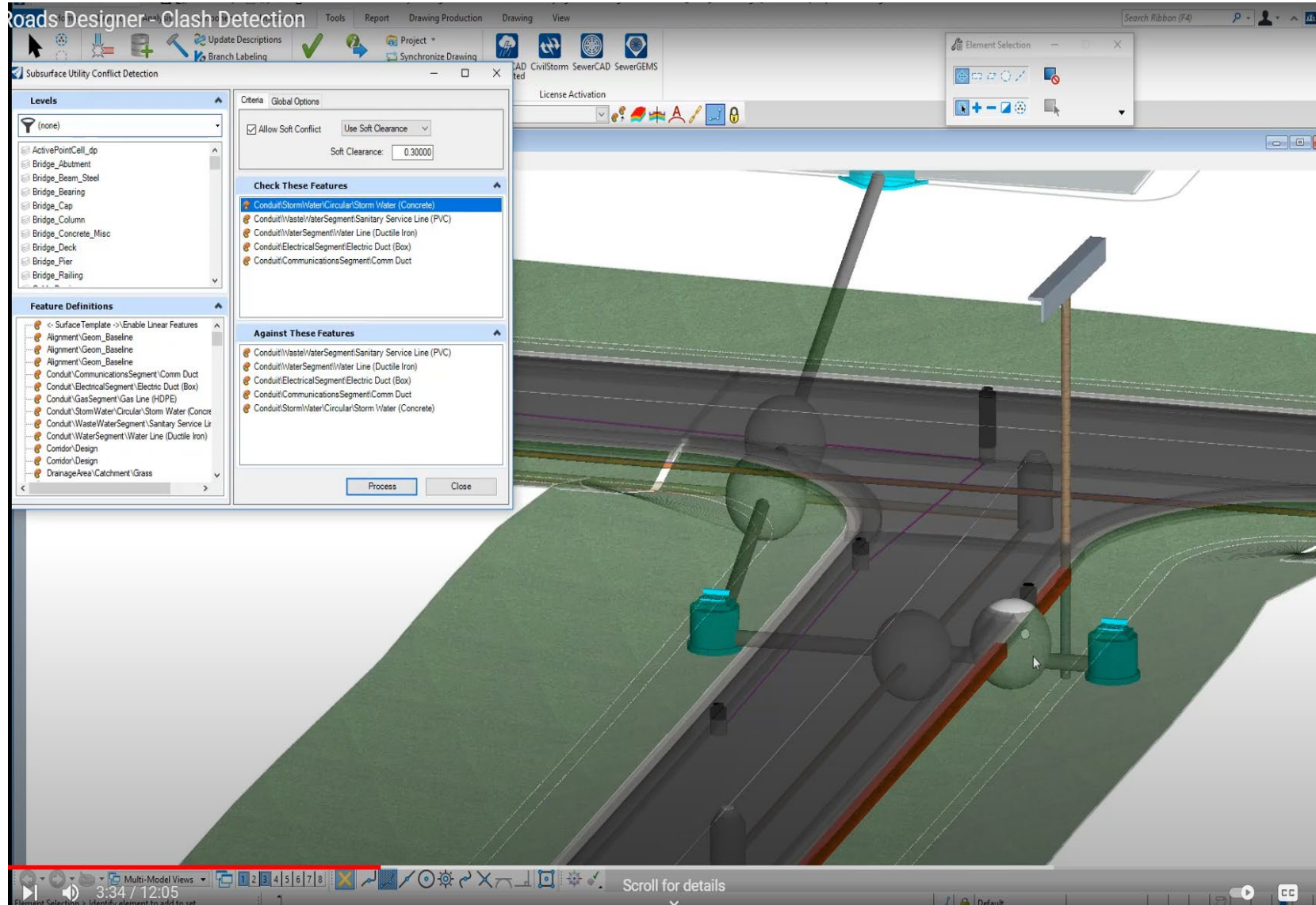
Plan View



3-D Modeling



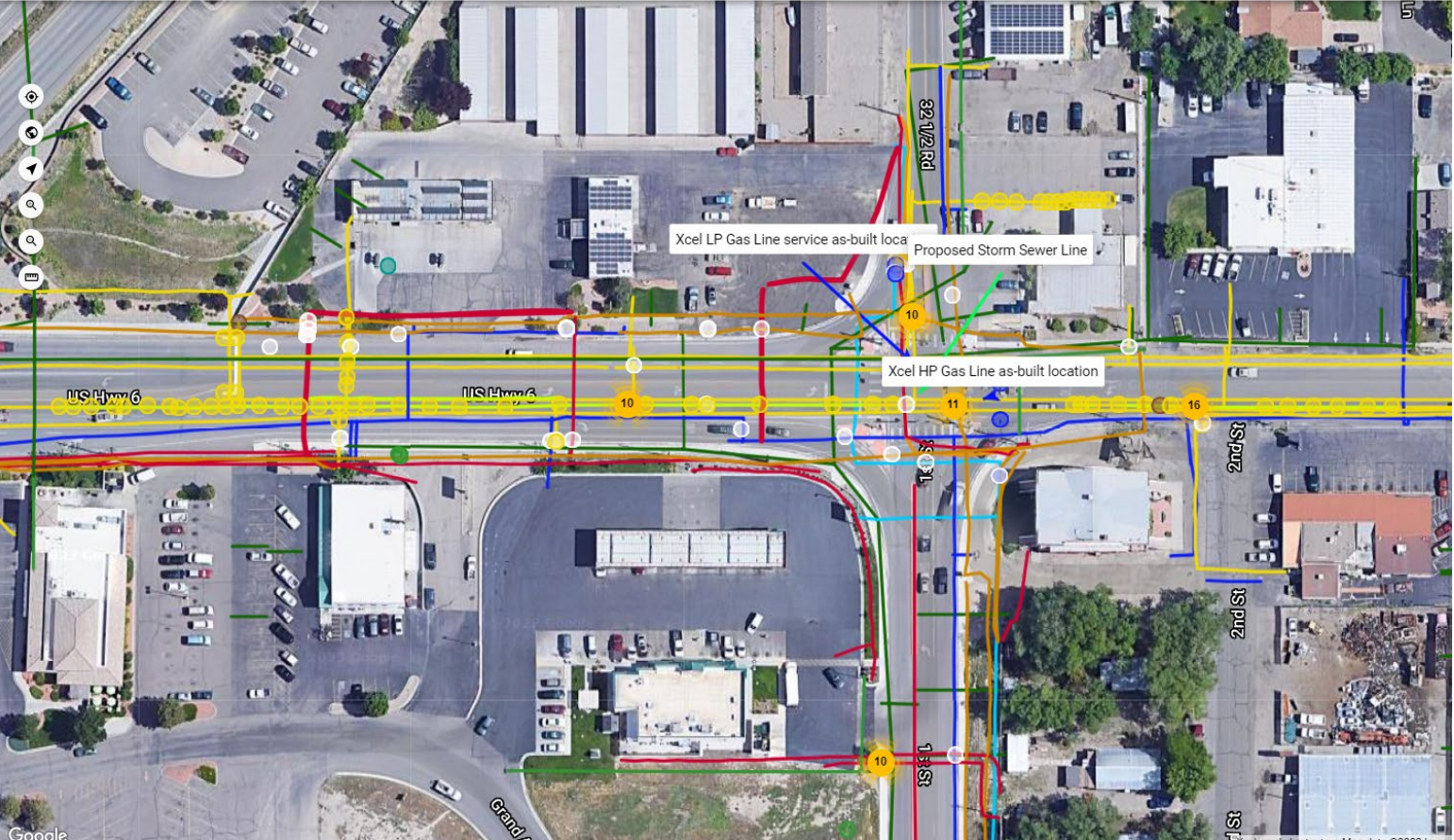
# Data for Pre-construction Design



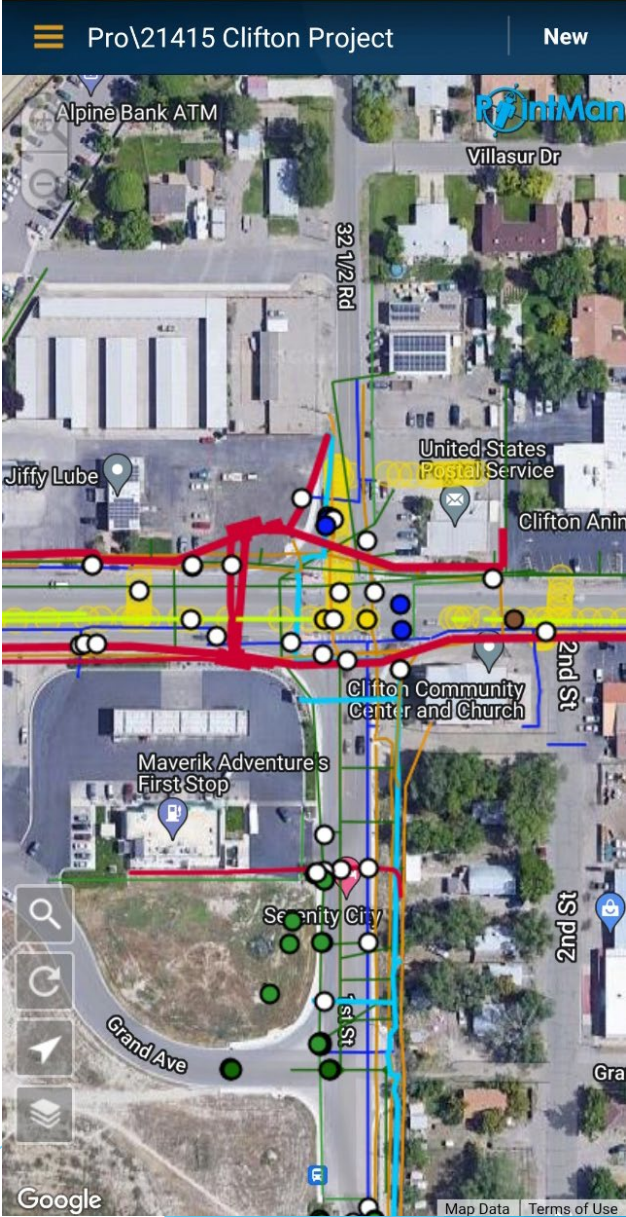
# Construction

# SUE CAD Data Available for Construction

GIS Database




Mobile Application



# Construction Observation Reporting

Highway Construction Observation Report Form

 **COLORADO**  
Department of Transportation *eFORM*

**Highway Information**

Permit Number:  Highway Milepost:

Highway:

Permit Manager:


Utility Inspector:

**Location**

Lat:  Long:

City:  State:

**Map**



**Project Description**

Xcel gas line relocation

Highway Construction Observation Report Form

**Photo 3**



**Notes**

**Photo 4**



**Notes**

New line 90 degree bends will go under old gas line in black

Pro\22992 Rogers Mesa New

**Pedigree**

Rcvr Type: R10, 5824470209: Trimble  
 GPS Date: 07/18/2023  
 GPS Time: 10:22 AM  
 Accuracy: 0.0732 ft  
 Horz Prec: 0.5862 hdop  
 Fix Type: RTX  
 Diff Age: 6  
 Vert Accur: 0.167 ft  
 Vert Prec: 1.2073 vdop  
 Satellites: 21  
 Std Dev: 0.2777  
 Antenna: 7.2149 ft  
 Site Name: 22992 Rogers Mesa  
 Site Northing: 360378.905427774  
 Site Easting: 348450.954652444  
 Latitude: 38.8003352081833  
 Longitude: -107.786482196612  
 Altitude: 5650.3308 ft  
 Elps Altitude: 5596.9613 ft  
 Elevation: 5643.1159 ft

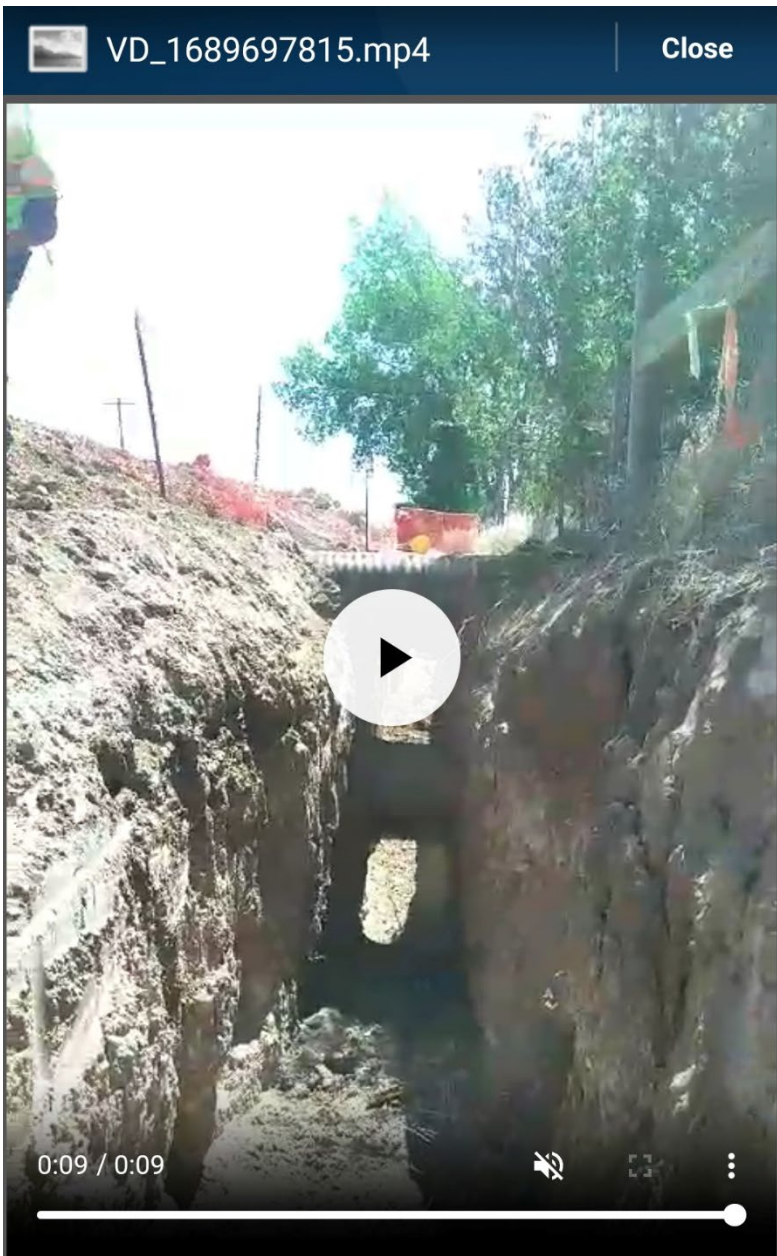
Close Prev Next

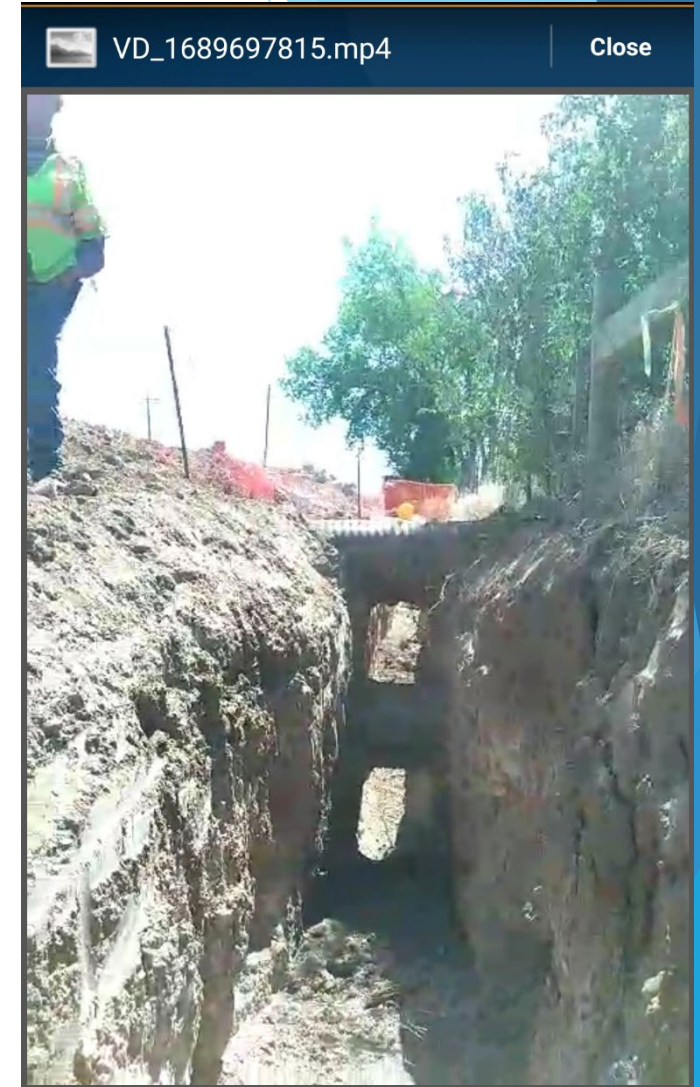
Pro\21415 Clifton Project New

**5950 - GEOLOGY Test hole**

	Send	Attach	Delete
Type:	5950 - GI	Form	
Comment:		Photo	
Code:	5950	Video	
		Sketch Map	
		Sketch Grid Map	
		Sketch Grid	
		File	
Utility Size:	1		
Depth measured to:	Bore head		
Observation location:	Direct observation -Top of Cond... ▾		
Test hole No:	<input type="text"/>		
Permit No:	<input type="text"/>		
Facility Owner:	<input type="text"/>		
Material Type:	4" conduit		
Note:	<input type="text"/>		
Pavement thickness:	<input type="text"/>		
Utility depth:	<input type="text"/>		
sub grade material:	<input type="text"/>		

OK Cancel





Permitting



# Digital As-builts Requirements

COLORADO DEPARTMENT OF TRANSPORTATION UTILITY PERMIT	
PERMITTEE Name: Emery Telecom	DEPARTMENT USE ONLY Date Issued: 5/31/2017
Mike Behling	Permit #: 3170176-U Milepost
Address: PO Box 629	S.H.# 070 A 00
Orangeville, UT 84537	Region 03 22.88
Telephone: (435) 749-1002	Section 02
	Patrol 05-2 Steve Preston
<p>NOTICE TO PERMITTEE: For underground facility location information, contact the Utility Notification Center of Colorado (UNCC). Pursuant to 9-1.5-103 C.R.S., you shall not make or begin excavation without first notifying the UNCC and if necessary, then notifying the tier two members having underground facilities in the area of such excavation. Notification shall also be given to the CDOT regional permitting office, or as otherwise directed by this Permit's Special Provisions. Notice of the commencement, extent and duration of the excavation work shall be given at least two business days prior thereto, not including the day of actual notice. The UNCC may be called at 1-800-922-1987. CDOT shall be called at (970) 683-6271.</p>	
ACTIVITY DESCRIPTION (Furnished by Permittee)	
PURPOSE: <input checked="" type="checkbox"/> Installation <input type="checkbox"/> Adjustment <input type="checkbox"/> Removal <input type="checkbox"/> Maintenance of existing Facility FACILITY (Type, size, class of transmission, design pressure or etc.): 4 way future path & 1.25" conduits, HH's, 144 count fiber DESCRIPTION OF WORK: Install conduits and fiber per CDOT ITS agreement. From Utah state line to 20 road overpass. I-70 Mainline as close to ROW line as possible. NATURE OF INSTALLATION: <input checked="" type="checkbox"/> Longitudinal (Parallel) <input checked="" type="checkbox"/> Transverse (Crossing) <input checked="" type="checkbox"/> Buried <input checked="" type="checkbox"/> Aerial/Ground-mounted <input type="checkbox"/> Attach. To Hwy. Str. No. _____ LOCATION: I-70 Utah State line to 20 road. County: Mesa City/Town: _____ Project Info: n/a ADDITIONAL REMARKS: Underground from State line, crossing from S to N I-70 at Loma interchange, going aerial from 19 road to 20 road overpass. Notify Joel Berschauer for any changes or variances.	
SPECIAL PROVISIONS (completed by the Department) The Special Provisions are terms and conditions of this permit.	
Any work shall only be in accordance with the approved plans and special provisions as set forth in this permit and its attachments. The CDOT inspector is <b>Joel Berschauer</b> Telephone: 970-250-3356 joel.berchauer@state.co.us Work is to be completed on or before: 5/31/2018 or within _____ days, (as applicable) Work time restrictions: <b>Daylight hours only. No weekends, holidays, or during special events</b> Designated minimum cover is <b>See Special Provisions #21</b> Designated overhead clearance is <b>N/A</b> (ALSO SEE ATTACHED STANDARD PROVISIONS, AND ADDITIONAL SPECIAL PROVISIONS). (TRAFFIC CONTROL MUST CONFORM TO THE MUTCD) Other: <b>The field Inspector shall be notified 48 hours prior to beginning work or permit if void, unless prior approval is obtained. Full Plan set will be available if needed.</b> Permittee is prohibited from commencing any work within highway ROW prior to issuance of a fully endorsed and validated permit. Permit, plan exhibit, insurance certificate(s), and traffic control plan must be available on site during work. High visibility vests are required at all times during working hours.	
1. Your request to use and/or occupy state highway system rights of way as described above is granted subject to the terms and conditions of this permit, including the Standard and Special Provisions as shown on the permit and all attachments hereto. 2. To the extent authorized by law, Permittee hereby assumes, releases and agrees to indemnify, defend, protect, and save the State of Colorado harmless from and against any loss and/or damages to the property of the State of Colorado, third parties or the Permittee's facilities, and all loss and/or damage on account of injury to or death of any person whatsoever, arising at any time, caused by or growing out of the occupation of Colorado State Highway rights of way by Permittee's facilities or any part thereof, including but not limited to installation, adjustment, relocation, maintenance or operation, or removal of existing facilities, unless such loss and/or damage arises from the sole negligence or willful conduct of the State of Colorado or its employees or agents. 3. Failure by the Permittee to comply with any of the included terms or conditions may subject this permit to suspension or cancellation, at the discretion of the Department of Transportation. 4. THIS PERMIT IS NOT VALID UNTIL FULLY ENDORSED BY ALL PARTIES, WITH DATE OF ISSUE AFFIXED BY AN AUTHORIZED REPRESENTATIVE OF THE DEPARTMENT. A FULLY EXECUTED COPY OF THIS PERMIT MUST BE ON FILE AT THE TRANSPORTATION REGION OFFICE. 5. In accepting this permit the undersigned, representing the Permittee, verifies that he or she has the authority to sign for and bind the Permittee, and that he or she has read, understands and accepts all the included conditions.	
Attested _____ Date _____	Signature _____ Date _____
Title _____	Title _____
Print Name: _____	Print Name: _____
COLORADO DEPARTMENT OF TRANSPORTATION Chief Engineer	By _____ Date _____ Regional Transportation Director or Designee
Distribution: Region File (Original) Permittee/Applicant Mica Patrol Supr. 05-2 Steve Preston	Mica Landscaping Supr Inspector Joel Berschauer CDOT Form # 0333 01/09 Previous versions are obsolete and should not be read.



## Special Provisions - Section 3.3.4.6.2 and 3.3.4.3



2829 W. Howard Place  
Denver, CO 80204-2305

### 1. Term #116 - Rule 3.3.4.6.2 - CDOT Utility as-constructed/Out of Service requirements, data content and accuracy

1.1. All utility installations and out of service lines, within CDOT Right of Way (ROW), shall be collected using CDOT's mobile application (PointMan). If required please contact CDOT at [cdotpointman@gmail.com](mailto:cdotpointman@gmail.com) to obtain login and password information. Download the PointMan mobile application through the Apple Store (iOS) or Google Play (Android). Finally, watch the following quick start guide, the video can be found at the following link: <https://youtu.be/X-tMvnK7vZw>

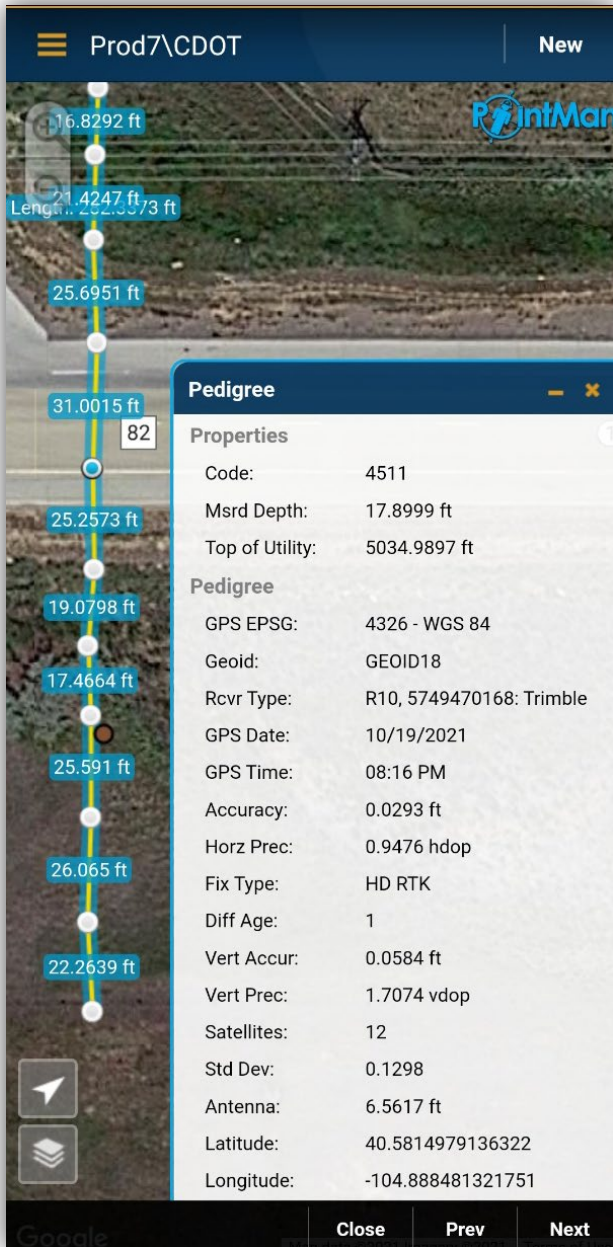
### 1.2. High accuracy equipment requirement:

Supported GNSS Receivers	Supported Software
Trimble DA1/DA2 (Catalyst)	
Trimble R2 (RTK)	
Trimble R8 (current version is R8s)	
Trimble R10	Android 8.0
Trimble R12	iOS 12 & Up
Trimble SPS985 (current version is SPS986)	HTML Web Browser
Blue Star RTK	
Bad Elf Flex RTK	
Leica RTK - Android only	
Emlid Reach RS2 RTK	

Version 6 - 10/5/2021

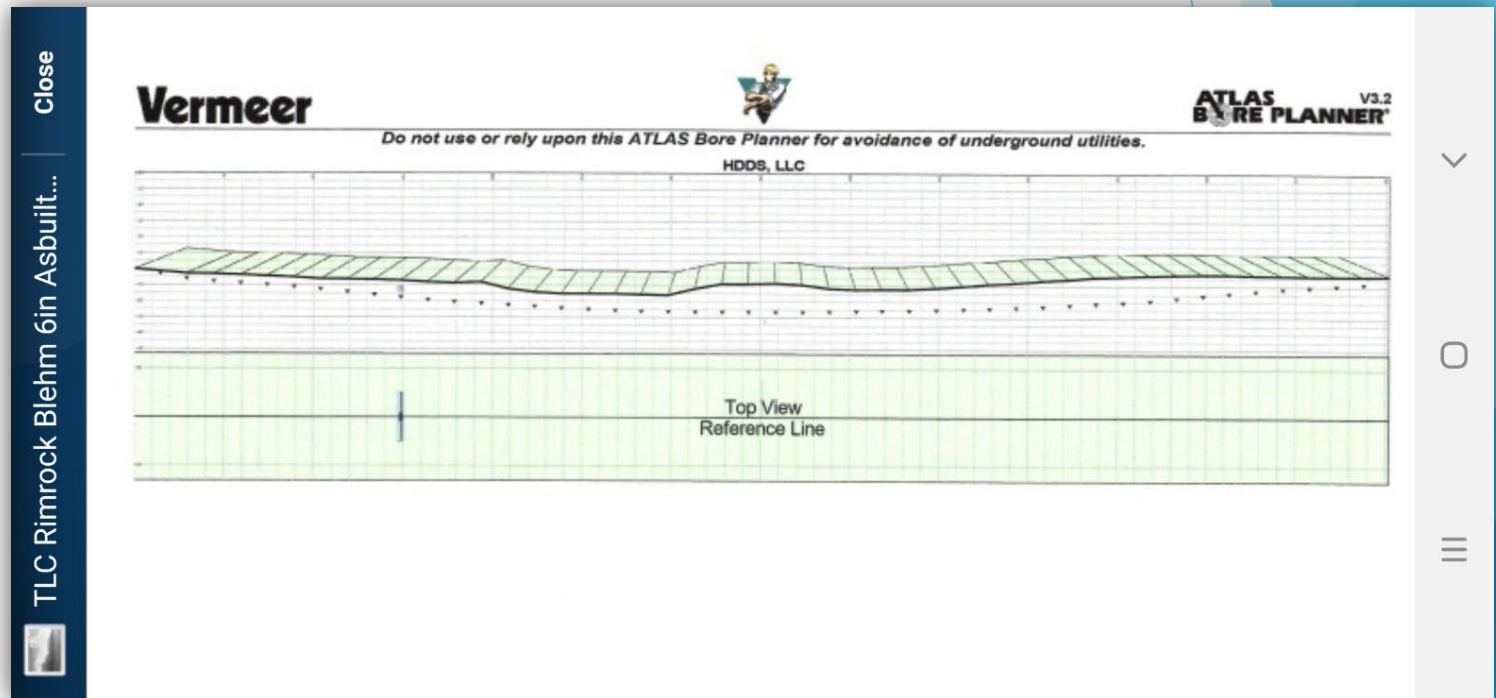


2829 W. Howard Place Denver, CO 80204-2305 P 970.210.5913 www.codot.gov

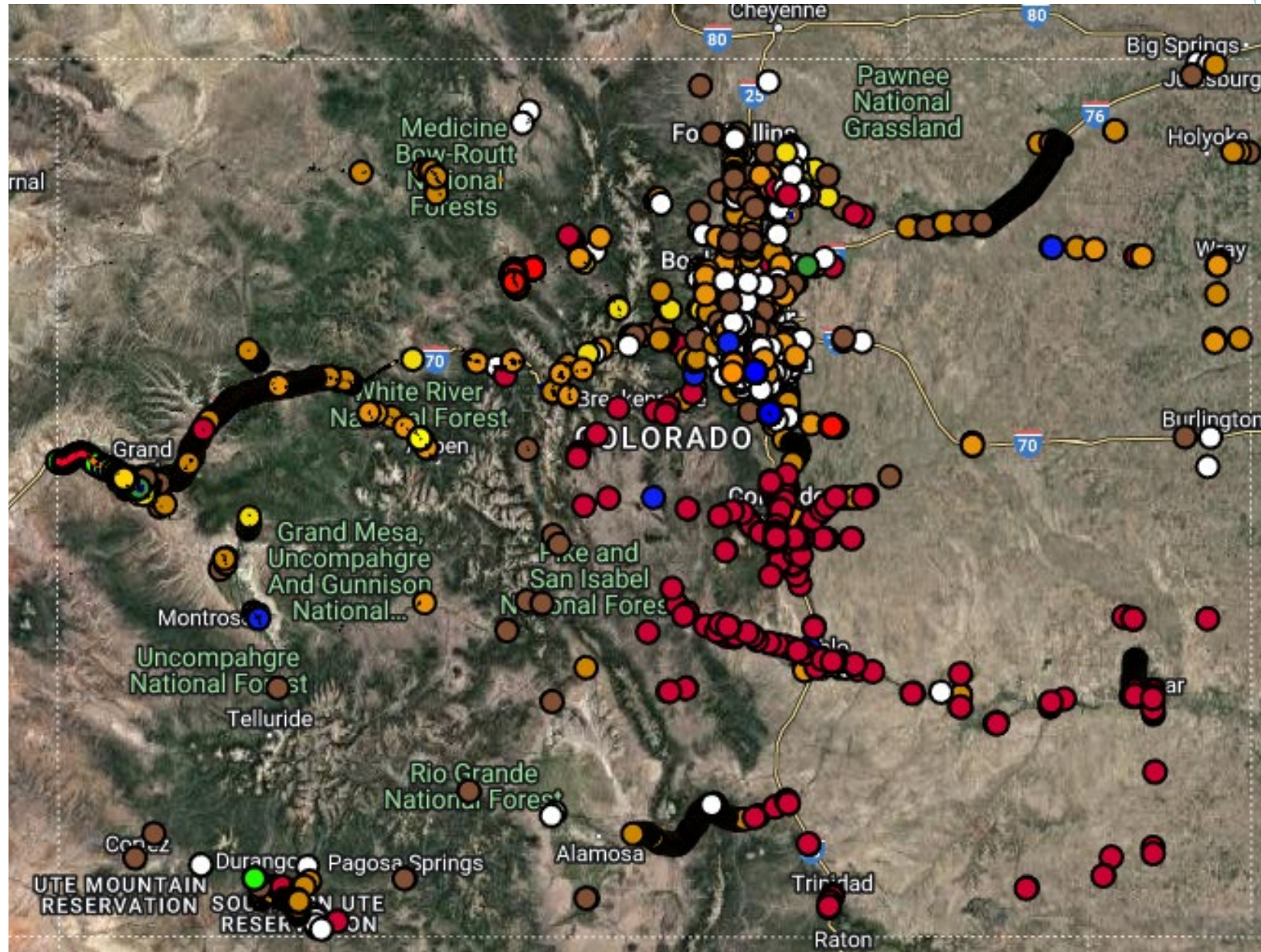


# Example of Horizontal Directional Drilled (HDD or Bored) installation

## Geospatial data



# Statewide Database





# MICHIGAN DEPARTMENT OF TRANSPORTATION (MDOT) DIGITAL VISION

ANDREW BLOCK - MDOT DESIGN SERVICES

Disruptive Change is  
**NOT** around the corner  
- it's here already



- ▶ Statewide Document Management System - 2003
- ▶ eProposal - 2005
- ▶ ArcGIS Enterprise geospatial database - 2008
- ▶ eConstruction - 2014
- ▶ Required 3D Design Models - 2015
- ▶ Automated Machine Guidance - 2016
- ▶ Digital Delivery Pilots - 2017
- ▶ Ancillary Asset Management System - 2020



# ANCILLARY ASSET MANAGEMENT SYSTEM

## Program Goals

- To minimize public safety risks due to deterioration of asset conditions
- To develop an asset management program for ancillary structures
- To develop and maintain an Ancillary Structures database framework
- To develop and maintain an ancillary structures program which results in consistency in managing the various ancillary structure types deployed by MDOT

# ANCILLARY ASSET MANAGEMENT SYSTEM

## Data Dictionary

- ▶ Living Document
- ▶ Data quality and understanding
- ▶ Continuous improvement as Inspectors and Program Staff identify areas

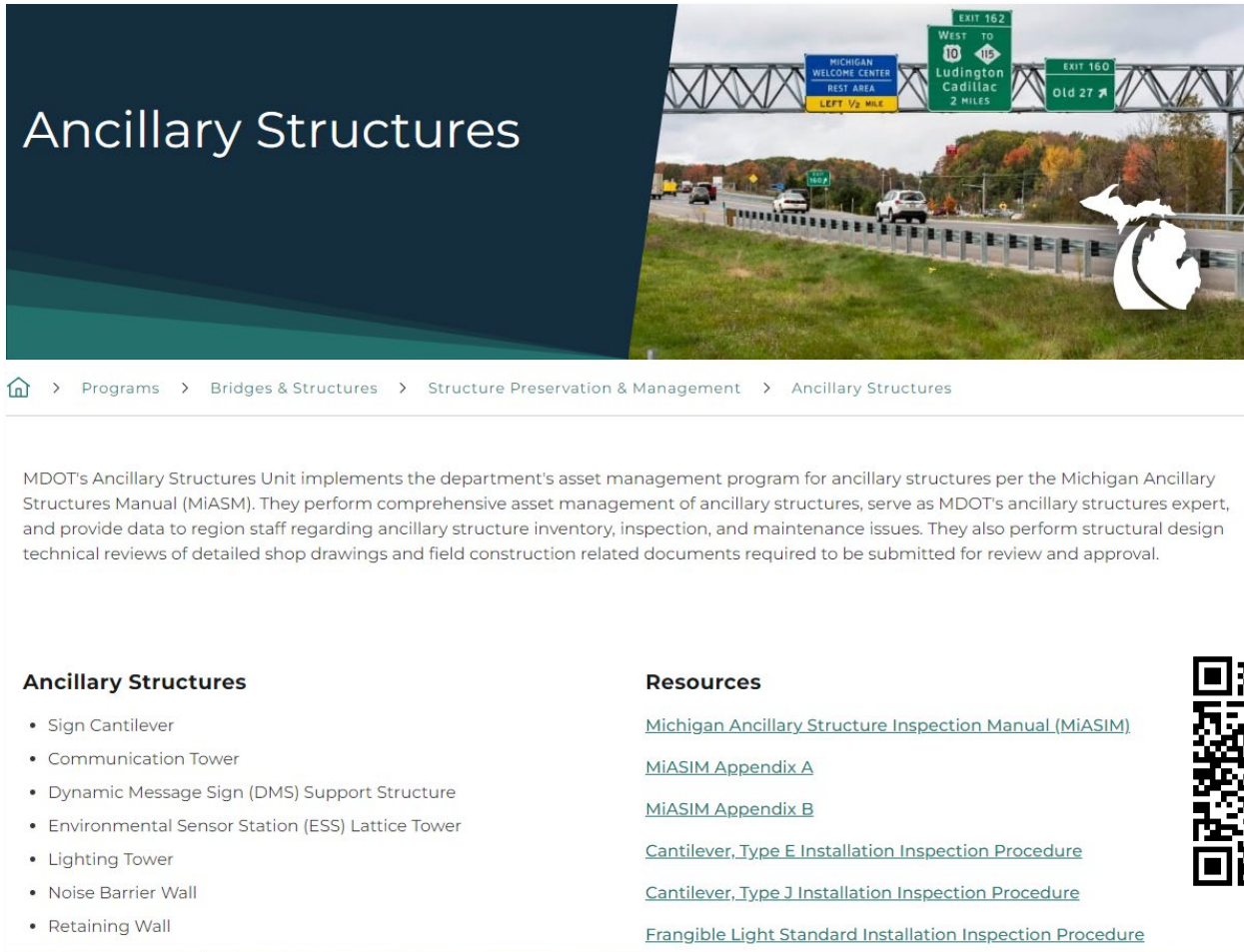


FieldName	Description/Definition	AliasName	DomainName	How to populate
GlobalID	Unique Global Asset ID (auto generated)	GlobalID		Auto
strc_num	Number uniquely identifies the structure and is a fixed ID within the AS program	Structure Number		Auto
strc_num_seq	Structure number sequence is the numeric component of strc_num. It will be calculated in a background, scripted process and concatenated with the prefix of the Ancillary Structure to create the strc_num field	Structure Number Sequence		Auto
serv_stat_cd	Service status of the asset. Options consist of Abandoned, Active, Proposed, Removed	Service Status	serv_stat_cdtb	Pre-populate, Inspector Verify
next_insp_freq	Inspection frequency in months	Next Inspection Frequency		Auto-populated from Inspection
insp_grp_cd	Inspection group assigned to inspect the structure. Coded-value where first four characters indicates the Inspection Company, and the second four characters indicates the group.	Inspection Group		Pre-populate, Inspector Verify
next_insp_date	Calculated field indicating the date of the next anticipated inspection based on date of previous inspection and the next_insp_freq value. (antep_insp_date = last_insp_date + next_insp_freq)	Anticipated Inspection Date		Auto
crewhrs	Number of crew hours required for a regular inspection for the structure. This field is intended for staff and budget planning purposes. This value will initially be left blank and populated after a sufficient number of representative inspections have been completed.	Crew Hours		Office-populated



# ANCILLARY ASSET MANAGEMENT SYSTEM

## Michigan Ancillary Structure Inspection Manual



### Ancillary Structures

Home > Programs > Bridges & Structures > Structure Preservation & Management > Ancillary Structures

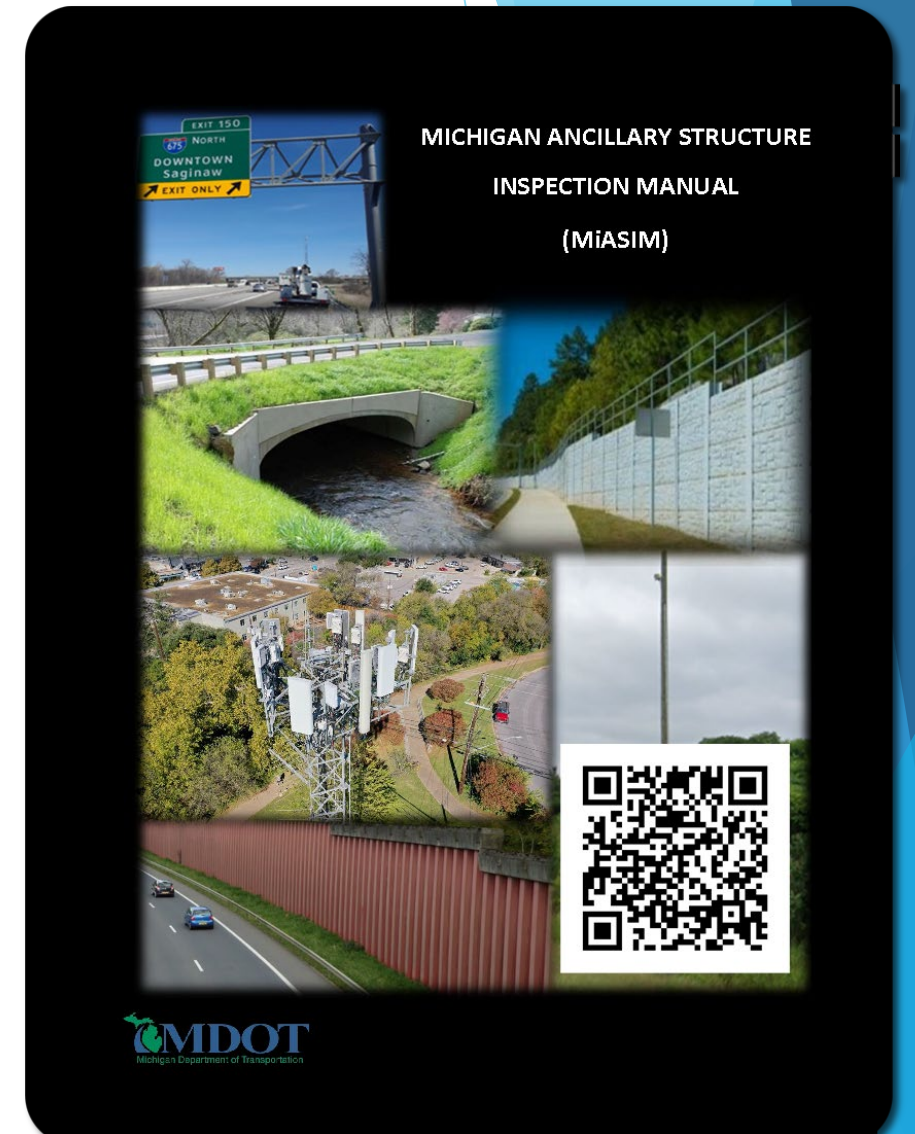

MDOT's Ancillary Structures Unit implements the department's asset management program for ancillary structures per the Michigan Ancillary Structures Manual (MiASM). They perform comprehensive asset management of ancillary structures, serve as MDOT's ancillary structures expert, and provide data to region staff regarding ancillary structure inventory, inspection, and maintenance issues. They also perform structural design technical reviews of detailed shop drawings and field construction related documents required to be submitted for review and approval.

#### Ancillary Structures



- Sign Cantilever
- Communication Tower
- Dynamic Message Sign (DMS) Support Structure
- Environmental Sensor Station (ESS) Lattice Tower
- Lighting Tower
- Noise Barrier Wall
- Retaining Wall

#### Resources

- [Michigan Ancillary Structure Inspection Manual \(MiASM\)](#)
- [MiASM Appendix A](#)
- [MiASM Appendix B](#)
- [Cantilever, Type E Installation Inspection Procedure](#)
- [Cantilever, Type J Installation Inspection Procedure](#)
- [Frangible Light Standard Installation Inspection Procedure](#)



### MICHIGAN ANCILLARY STRUCTURE INSPECTION MANUAL (MiASM)



# ANCILLARY ASSET MANAGEMENT SYSTEM

## Priority Assets Inspected

### Priority Assets Inspected To Date

Culverts Less than 10-Foot



10,000+ Inspected of 33,000  
**33% Complete**

Truss Structures



650+ Inspected of 840  
**80% Complete**

Cantilever Structures



700+ Inspected of 860  
**87% Complete**

Retaining Walls

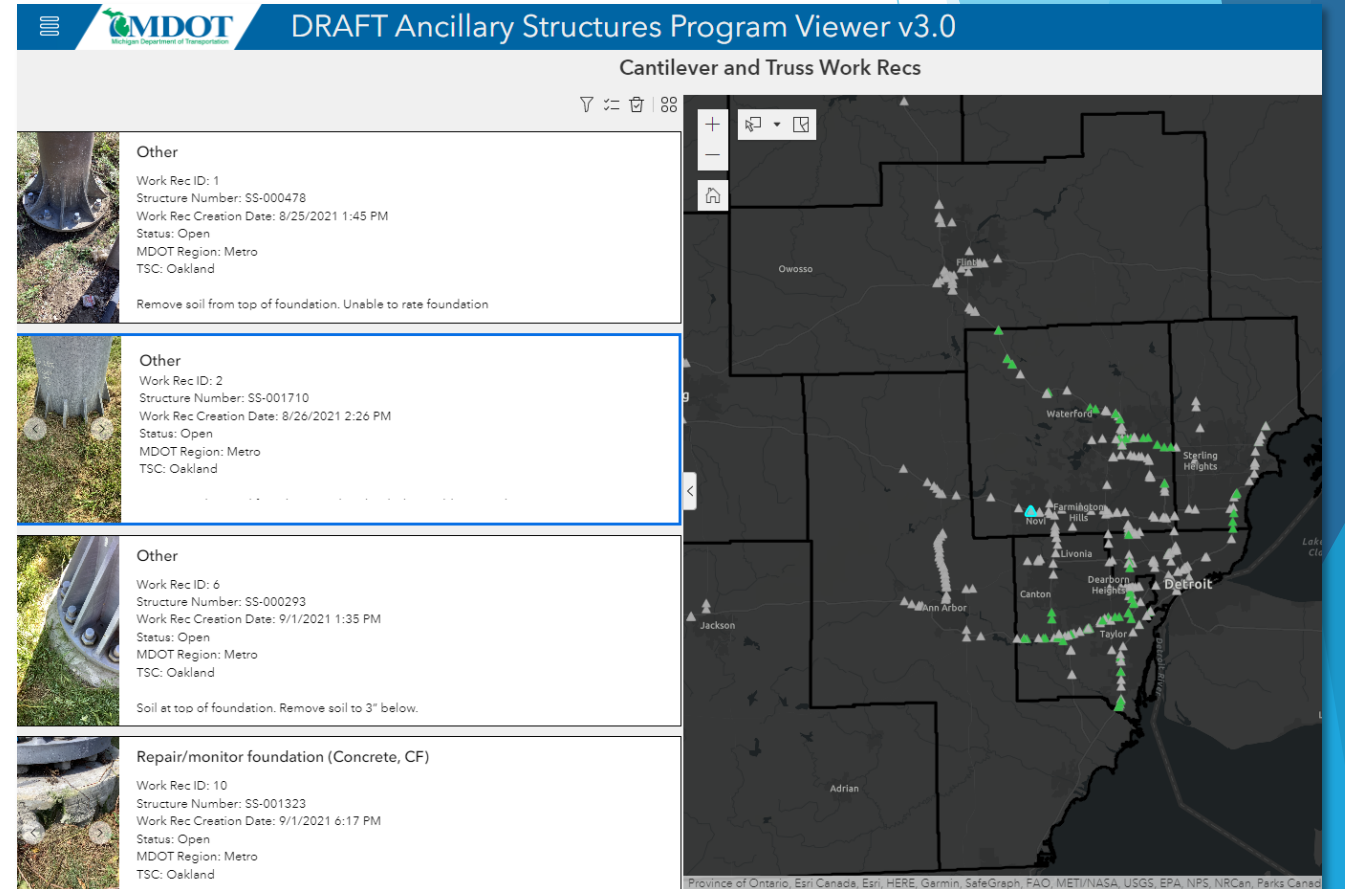
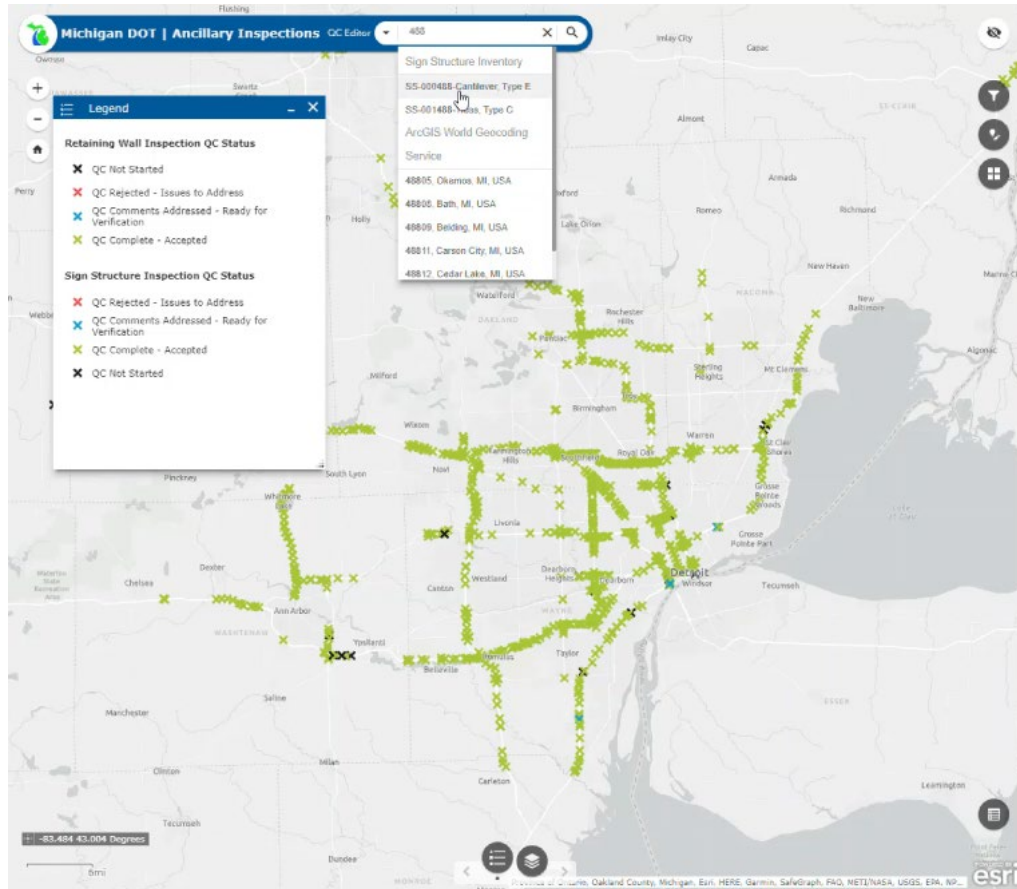


180,000+ ft. Inspected  
of 278,000+ ft.  
**66% Complete**



# ANCILLARY ASSET MANAGEMENT SYSTEM

## Work Recommendations



# ANCILLARY ASSET MANAGEMENT SYSTEM

## RFA Resolutions

Requests for Action (RFAs) for Sign Support Structures

**AVERAGE CLOSEOUT DURATION** (by number of days)



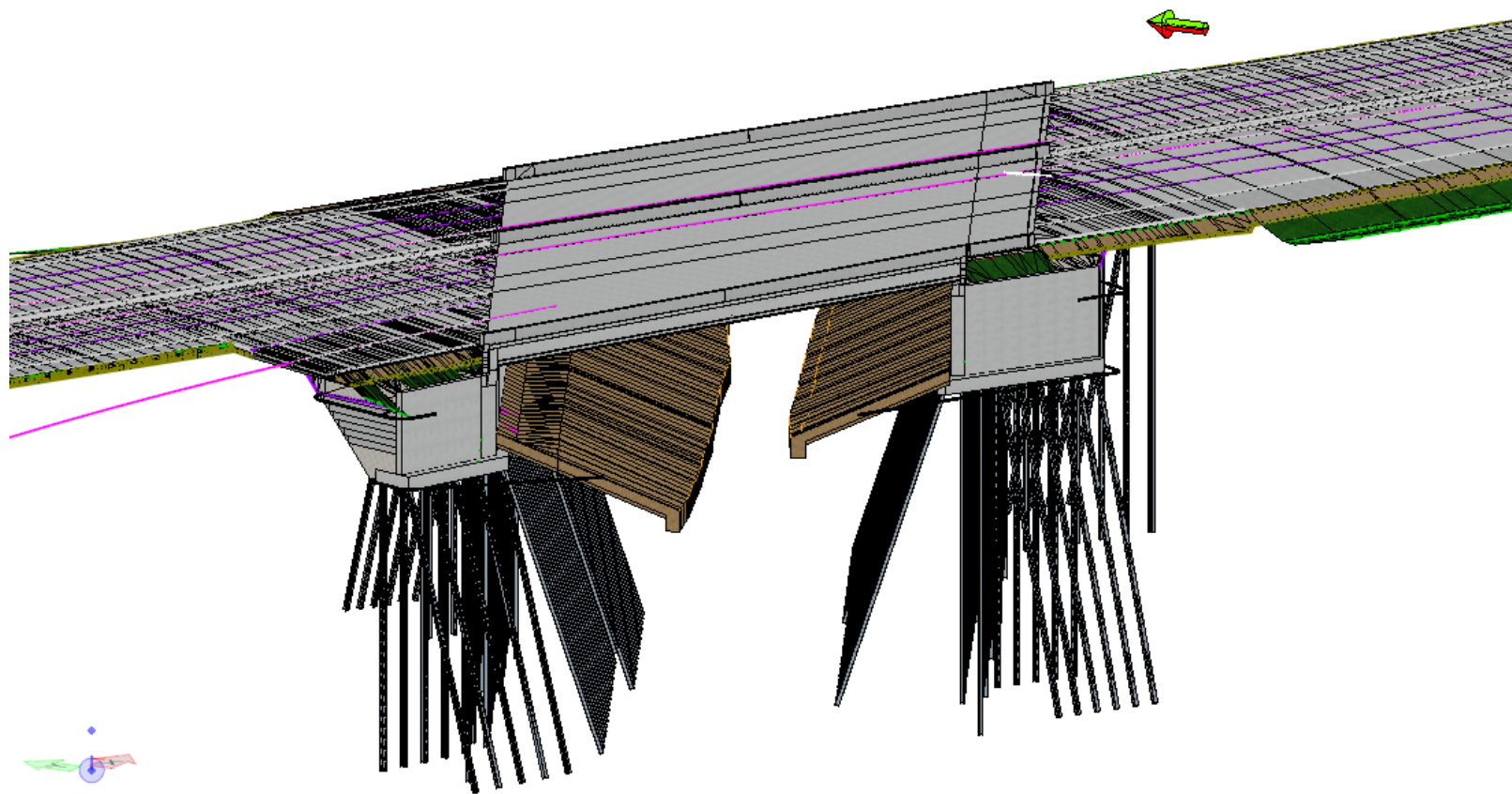
Following the implementation of MDOT's new asset management program, average closeout duration has been **reduced from 9 months to one month.**

Average closeout duration  
Q3 2021  
**293 days**

First Request for Action  
(RFA) closeout  
**March 2, 2022**

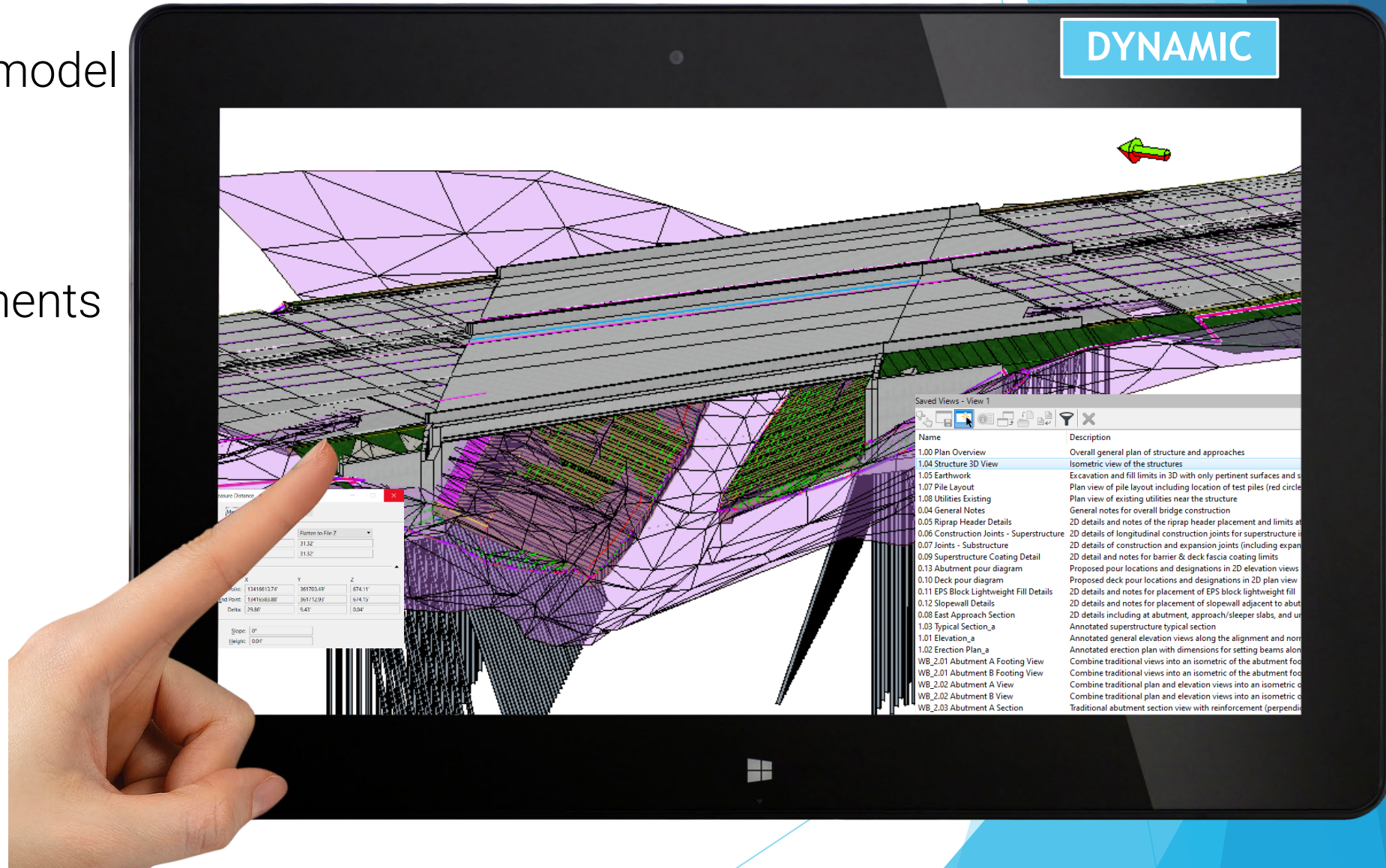
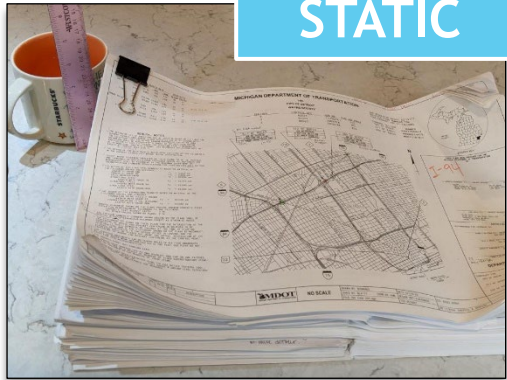
Average closeout duration after first  
RFA closeout  
**39 days**

# DIGITAL DELIVERY PILOT



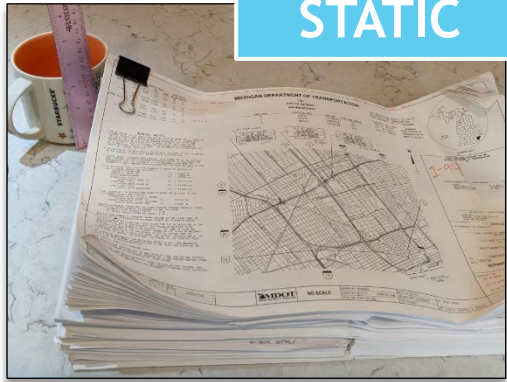
# CONTRACTUAL MODEL

- Access design from model
- Dynamic Digital File
- Saved Views
- Annotations
- Supplemental Documents



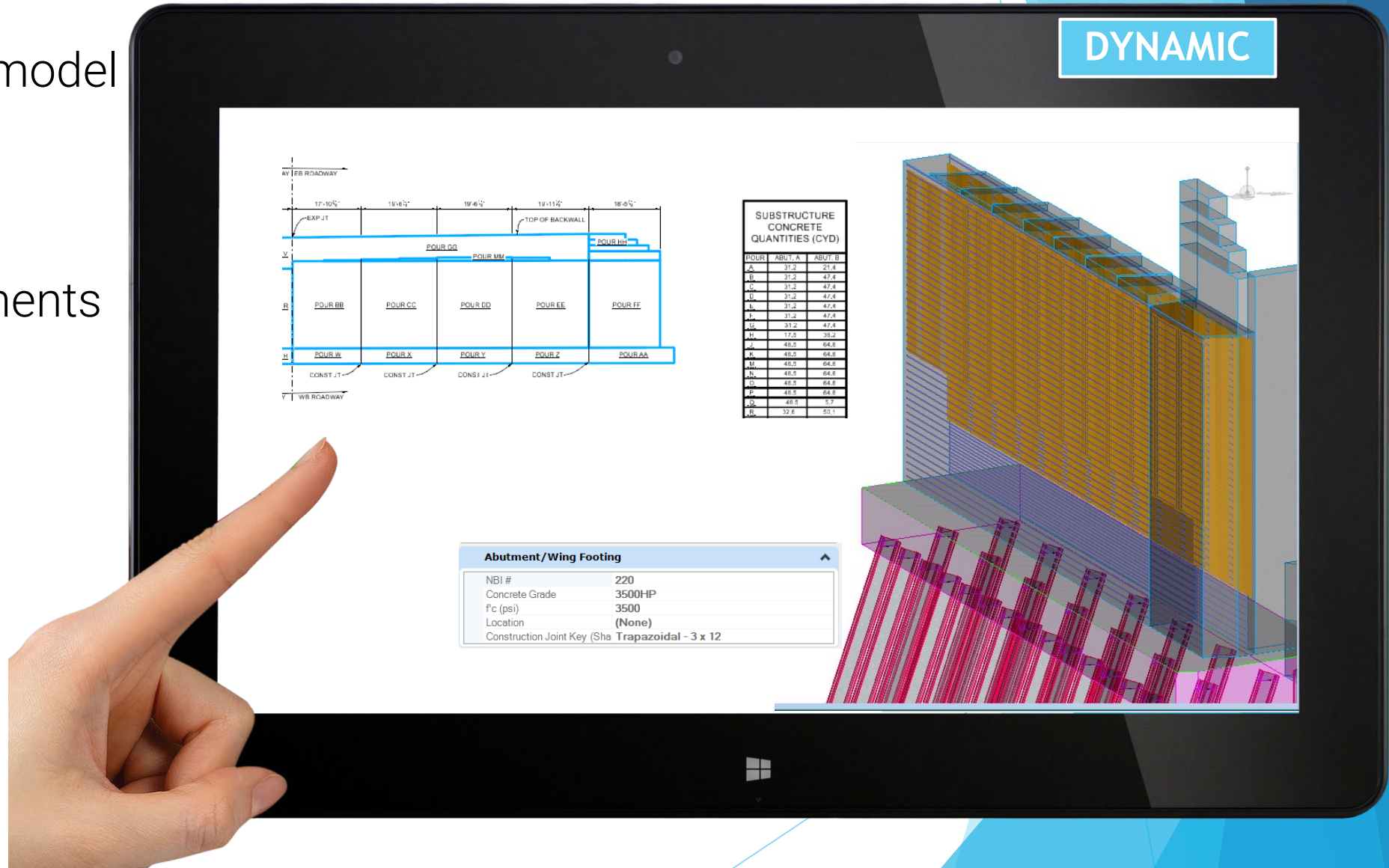
# CONTRACTUAL MODEL

- Access design from model
- Dynamic Digital File
- Saved Views
- Annotations
- Supplemental Documents



STATIC

DYNAMIC

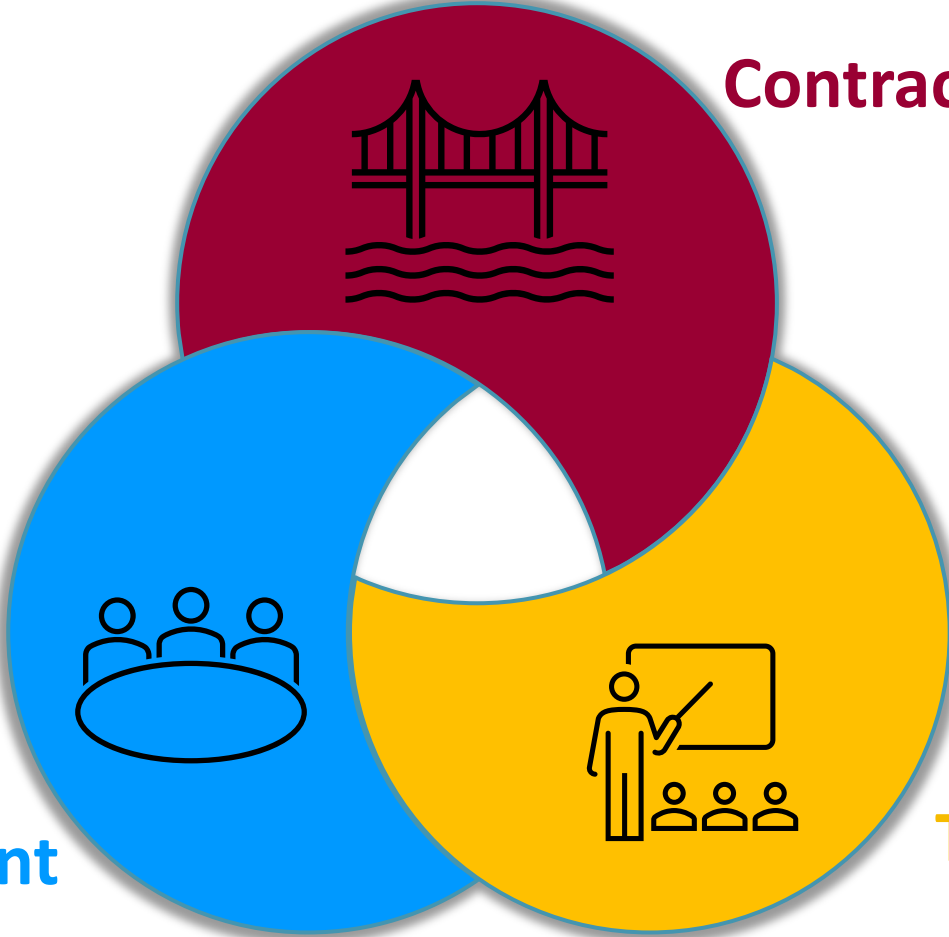


A hand is pointing at a tablet displaying a dynamic digital model of a bridge substructure. The model shows a cross-section of the bridge with various concrete pours and abutments. The tablet screen displays a technical drawing of the bridge substructure, a table of concrete quantities, and a detailed view of an abutment/wing footing.

POUR	ABUT. A	ABUT. B
A	31.2	23.4
B	31.2	47.4
C	31.2	47.4
D	31.2	47.4
E	31.2	47.4
F	31.2	47.4
G	31.2	47.4
H	17.0	36.2
J	46.5	64.6
K	46.5	64.6
M	46.5	64.6
N	46.5	64.6
O	46.5	64.6
P	46.5	64.6
Q	46.5	5.7
R	32.8	50.1

NBI #	220
Concrete Grade	3500HP
f'c (psi)	3500
Location	(None)
Construction Joint Key (Sha	Trapazoidal - 3 x 12

# Pilot Elements



**Contractual Model**

**Engagement**

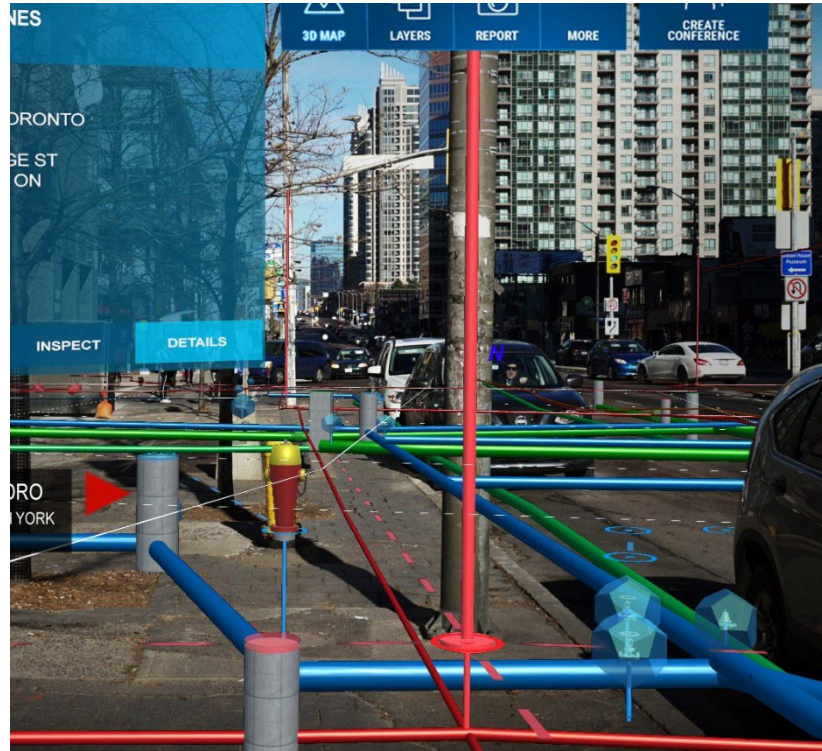
**Training**

A 3D CAD model of a sloped structure, possibly a retaining wall or abutment, with a software interface overlay. The interface includes a 'SLOPEWALL DETAILS' panel and a 'SPICE DETAILS' panel. The 'SLOPEWALL DETAILS' panel shows general information, geometry (Rotation-X: 00°00'00", Rotation-Y: 00°00'00", Rotation-Z: 00°00'00", Volume: 1626.299 Cu.°, Surface Area: 1150.58 Sq.°), material (Concrete), and abutment wall/stem properties (Concrete Grade: 3500H HP, f'c (psi): 3500, Location: WB, Abutment A, Facility: Expansion, NBI #: 219). The 'SPICE DETAILS' panel shows splice information (NH # 219, Concrete Grade 3500H HP, f'c (psi) 3500, Location WB, Abutment A, Details See "Slopewall Details" in B01\_63102\_Structures\_A). A technical drawing of an H PILE SPICE (FULL PENETRATION BUTT WELD) is also shown, with dimensions and labels like 'FILE EXTENSION', 'DETAIL A', 'DRIVEN PILE', and '5/8" DIA. MAX. HANDLING HOLE'.



Photo courtesy of Granite Construction





## Digital Reality

Physical/Digital World Interaction

Field Connection to Data

Virtual/Augmented/Mixed Reality

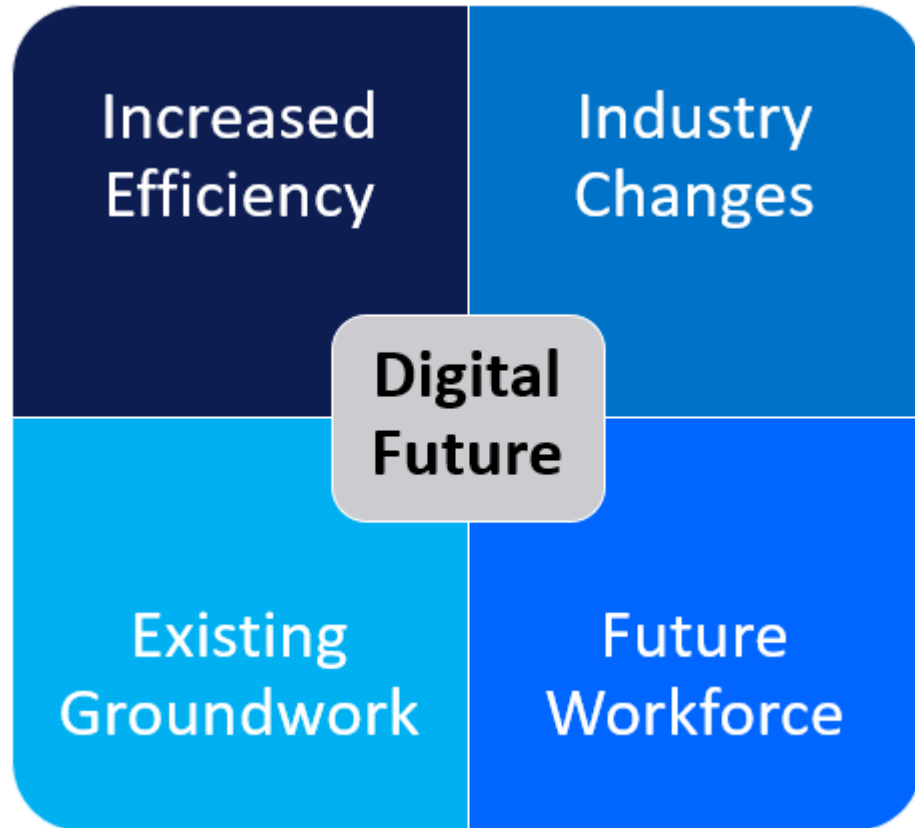
What is the purpose of  
Construction Plans?

Contractual Models?

GIS Asset Management  
Systems?

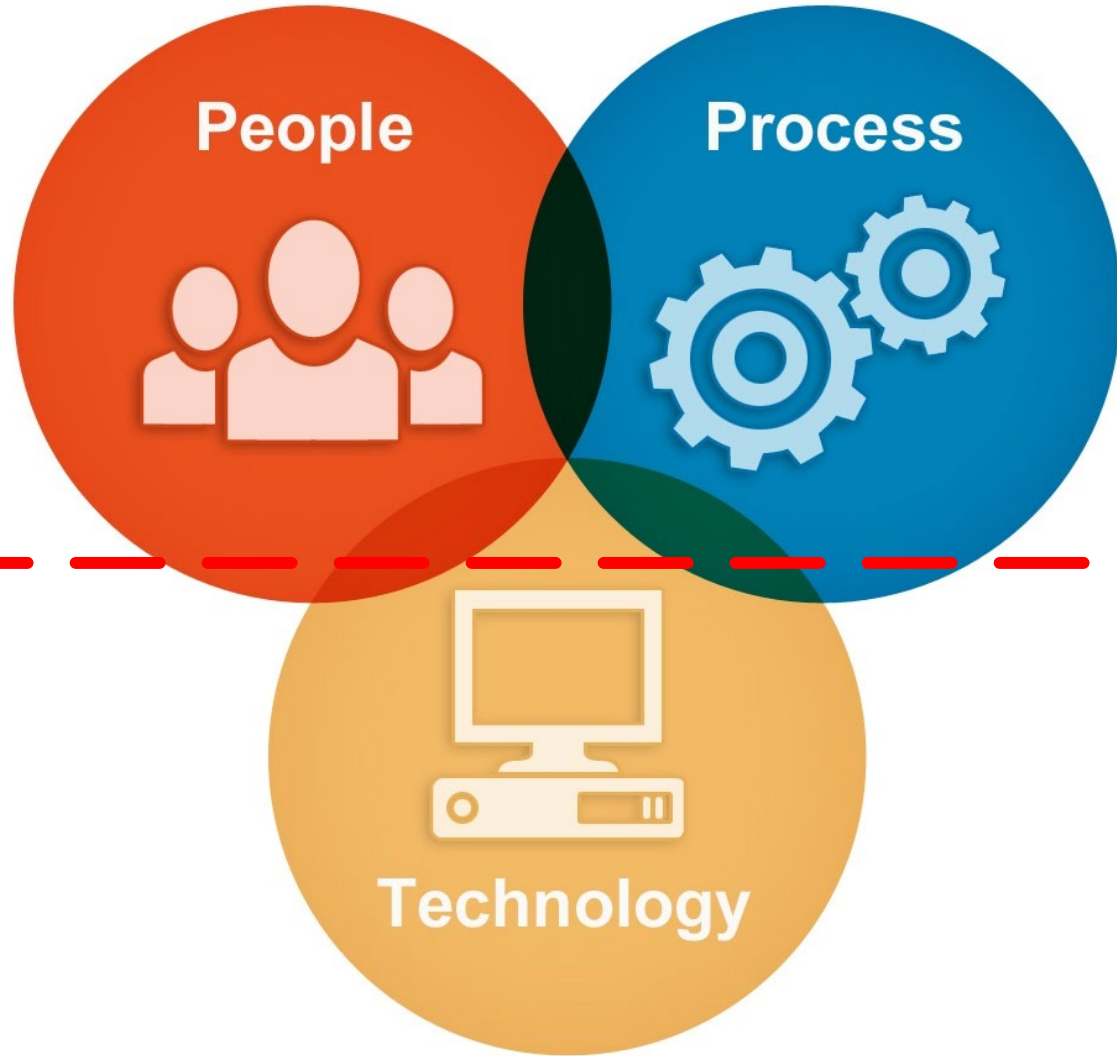


# Harnessing the Changes



**How does a DOT adapt  
& create a Digital Future  
that best meets their needs?**





- Strategic Plan
  - Buy-in
  - Priorities
  - Change Management
  - Training
- 
- Adequate Tools
  - Data Standards
  - Data Access



# MDOT's Digital Vision & Road Map Project

Share the Road Map/Implementation

Develop the Vision & Road Map

Understand the current state of practice & Vision for the future

Clearly define why a vision and roadmap for digital delivery is needed

# National Survey Results and Key Takeaways

Lance Parve, WSP

## Responding Agencies

Respondent Agencies ( Total 18)	
WV	West Virginia Department of Transportation
CO	Colorado Department of Transportation
IL	Illinois Department of Transportation
CA	California Department of Transportation
AR	Arkansas Department of Transportation
AZ	Arizona Department of Transportation
SC	South Carolina Department of Transportation
WI	Wisconsin Department of Transportation
VA	Virginia Department of Transportation
TX	Texas Department of Transportation
MA	Massachusetts Department of Transportation
OK	Oklahoma Department of Transportation
TN	Tennessee Department of Transportation
MN	Minnesota Department of Transportation
MD	Maryland Department of Transportation
RI	Rhode Island Department of Transportation
MI	Michigan Department of Transportation
ME	Maine Department of Transportation



# Survey Results: Hardware

P.5 What are the primary survey methods, tools and technologies used in your agency or contractors to geospatially locate, relocate, stakeout, inspect and verify transportation assets/facilities including utilities during design, construction and post-construction?

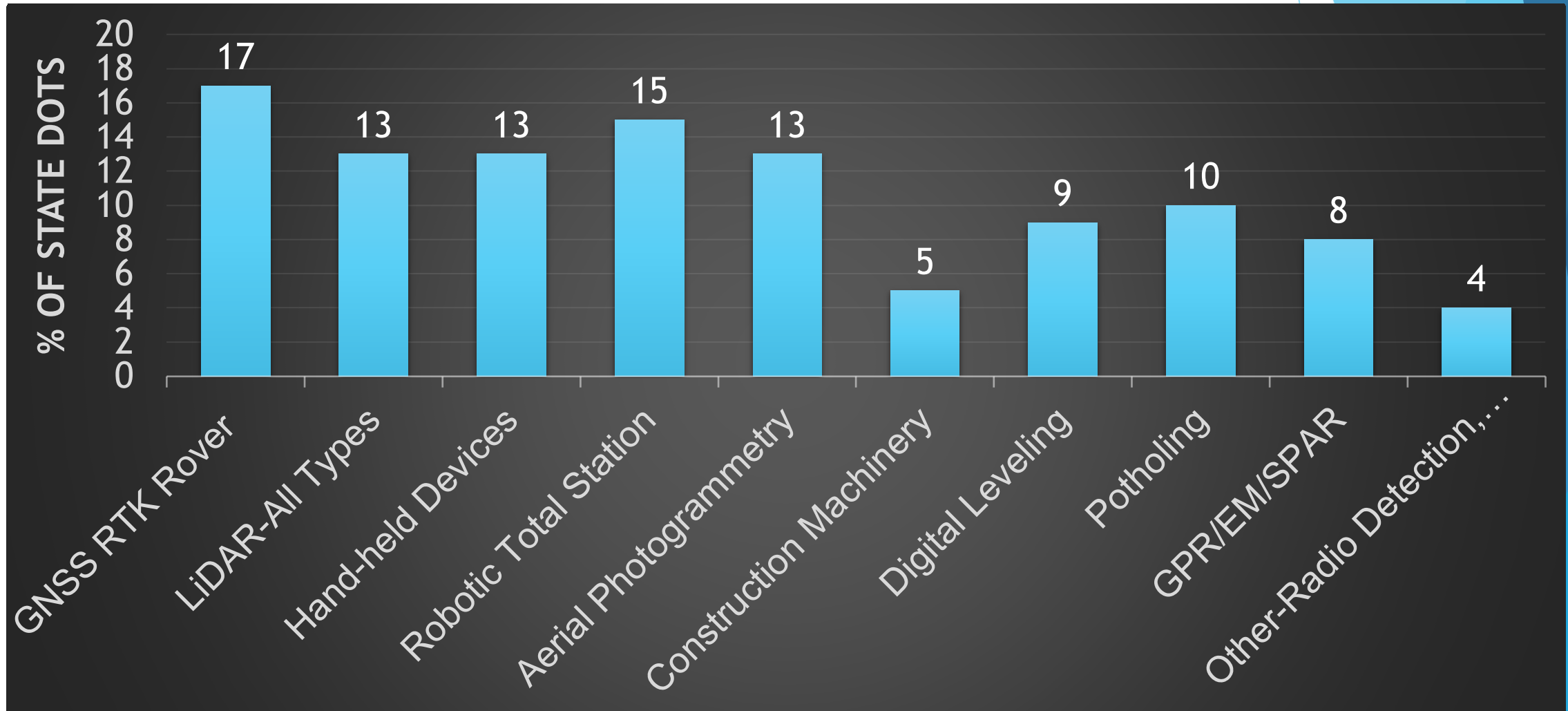
Survey Methods	Number of Responses	Percentage (out of 18 responses)
Robotic Total Station (RTS)	15	83.3%
Hand-held Survey Devices	13	72.2%
GNSS/GPS RTK Rovers	17	94.4%
Terrestrial Static LiDAR	13	72.2%
Terrestrial Mobile LiDAR	12	66.7%
Aerial UAS LiDAR	8	44.4%
Aerial UAS Imagery	8	44.4%
Aerial Digital Photogrammetry	13	72.2%
Construction Machinery	5	27.8%
Digital Leveling	9	50.0%
Subsurface Survey-GPR/EM/SPAR 300	8	44.4%
Potholing	10	55.6%
Other (Aerial LIDAR Fixed Wing and Multicopter, GIS mobile applications, Radio detection, Utilizing contractors when it comes to LiDAR)	4	22.2%

# Survey Results: Hardware

P.5 What are the primary survey methods, tools and technologies used in your agency or contractors to geospatially locate, relocate, stakeout, inspect and verify transportation assets/facilities including utilities during design, construction and post-construction?

Survey Methods	List of States
Robotic Total Station (RTS)	WV, CO, IL, CA, AR, AZ , SC ,WI, VA, MA, OK, TN, MN, MI, ME
Hand-held Survey Devices	CO, AR, AZ, SC, VA, TX, MA, OK, TN, MN, MD, RI, MI
GNSS/GPS RTK Rovers	WV, CO, IL, CA, AR, AZ, SC, WI, VA, MA, OK, TN, MN, MD, RI, MI, ME
Aerial Digital Photogrammetry	WV, CO, IL, AR, AZ, SC, WI, VA, TX, MA, OK, MN, MI
Terrestrial Static LiDAR	WV, CO, IL, CA, AR, SC, WI, VA, TX, MA, MN, MI, ME

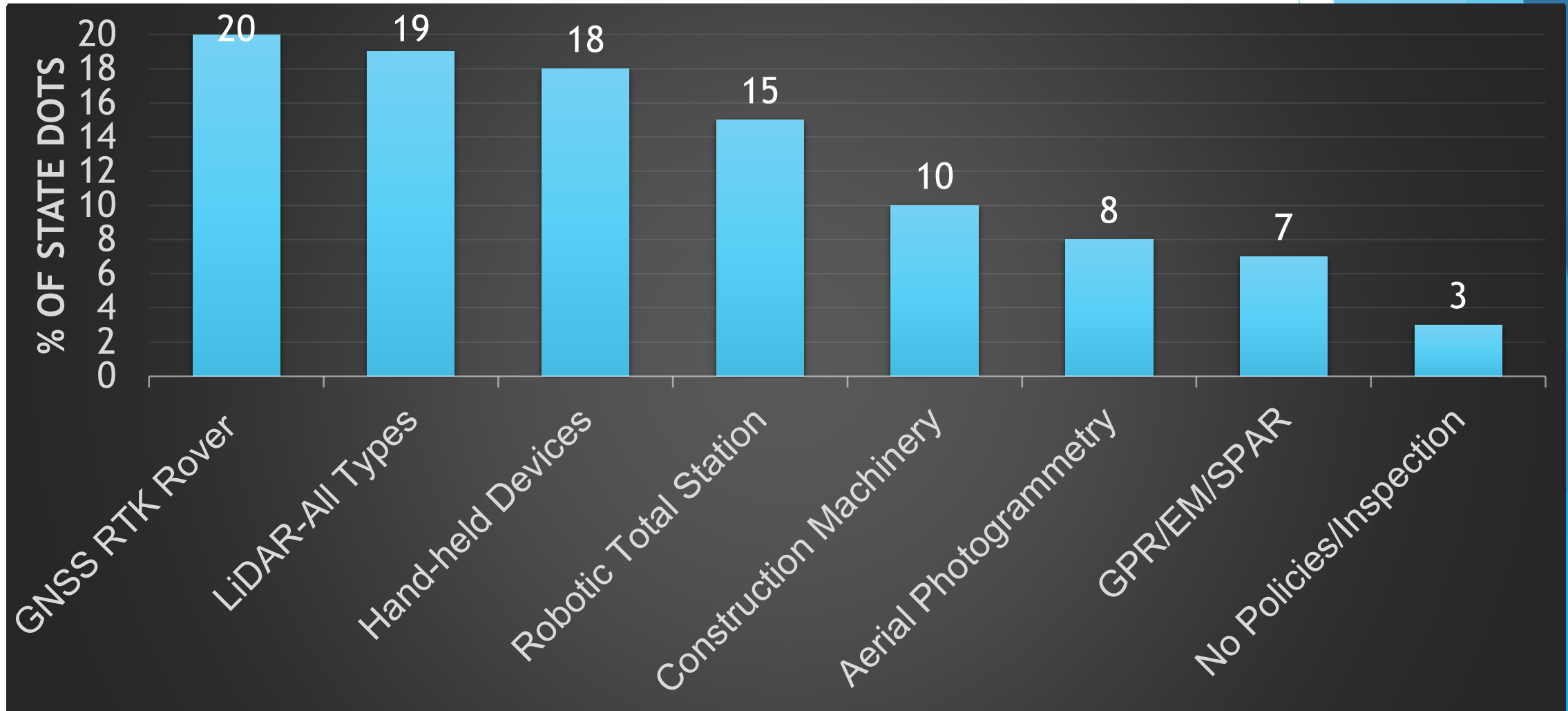
# Survey Findings: As-Built Tools/Technologies (18 DOTs)



Source: Research Data collected by Online Survey from 18 DOTs 2023; Suri Sadasivam, AASHTO Innovation Initiative-GIS and Survey (2023).

# As-Built Results: Hardware

## Comparative Findings: As-Built Tools/Technologies (20 DOTs)



Source: Research Data collected by Interviews/Web from 20 DOTs 3/2021; Mallela and Parve, *Transitioning to Digital As-Builts* (2022).

# Survey Results: Software

P.6 What are the primary geospatial mapping, surface/subsurface and visualization tools, technologies and application platforms used in the field, mobile, office, cloud and/or enterprise for asset data collection including utilities by your agency or contractors?

Primary Geospatial Mapping	Number of Responses	Percentage (out of 18 responses)
<b>ESRI ArcGIS Desktop, Pro and/or ArcGIS Online (AGO)</b>	<b>12</b>	<b>66.7%</b>
<b>ESRI ArcGIS Enterprise</b>	<b>10</b>	<b>55.6%</b>
ESRI ArcGIS Field Maps	5	27.8%
ESRI Collector/Survey 123	7	38.9%
Autodesk Civil 3D/Map3D	4	22.2%
Autodesk BIM360/ACC	0	0.0%
<b>Bentley OpenRoads Designer/Map</b>	<b>12</b>	<b>66.7%</b>
Bentley iTwins	2	11.1%
Bentley OpenGround (gINT/Keynetix)	2	11.1%
<b>Trimble Business Center (TBC)</b>	<b>9</b>	<b>50.0%</b>
Trimble SiteVision	2	11.1%
Topcon	5	27.8%
Leica	6	33.3%
Certainty 3D TopoDOT	4	22.2%
PointMan/Transparent Earth	2	11.1%
Exodigo	0	0.0%
Custom Application	1	5.6%
Other (Trimble Access, Carlson Surveying Software and Surveying Equipment, Summit DAT/EM, VrLiDAR)	3	16.7%

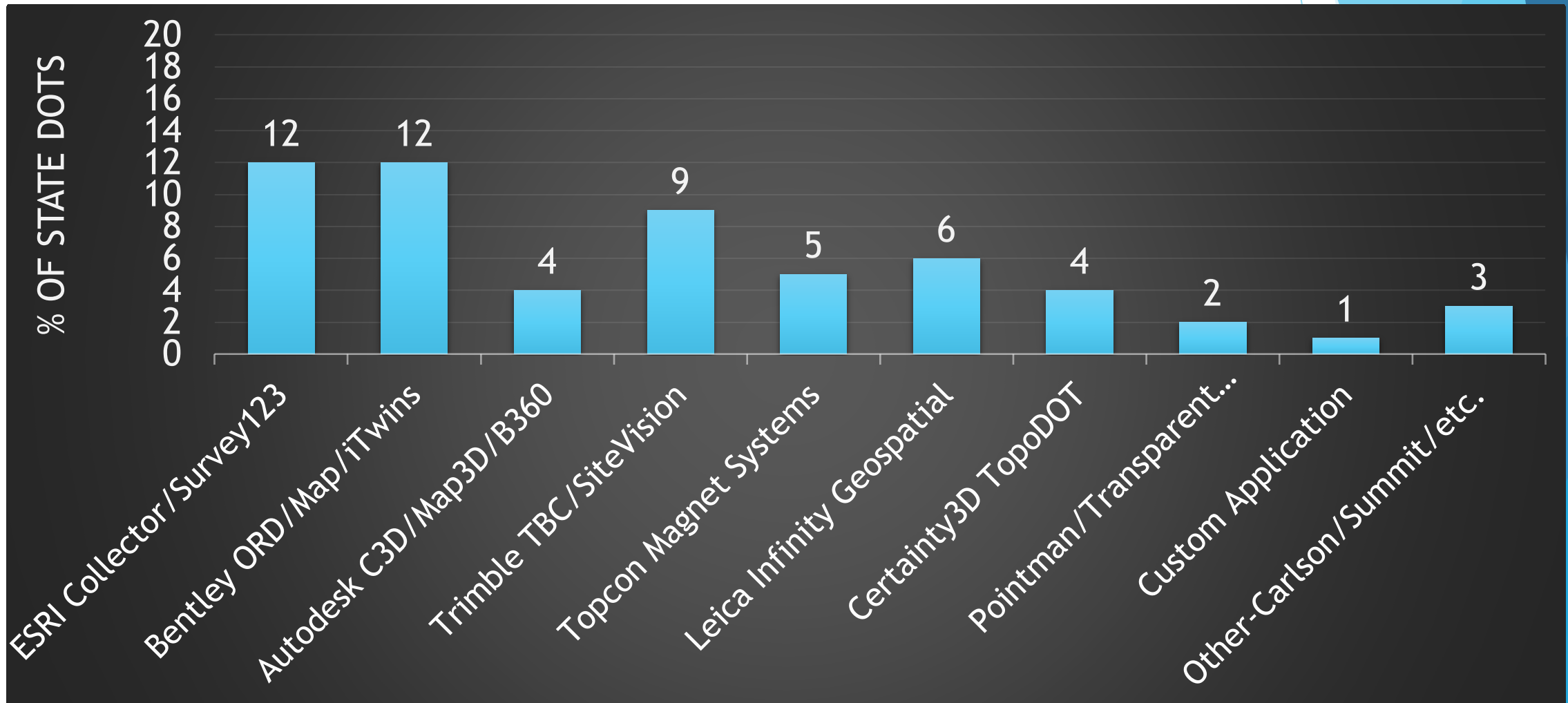
# Survey Results: Software

**P. 6 What are the primary geospatial mapping, surface/subsurface and visualization tools, technologies and application platforms used in the field, mobile, office, cloud and/or enterprise for asset data collection including utilities by your agency or contractors?**

Primary Geospatial Mapping	List of States
ESRI ArcGIS Desktop, Pro and/or ArcGIS Online (AGO)	WV, CO, IL, AR, SC, WI, VA, TX, OK, MN, RI, MI
ESRI ArcGIS Enterprise	CO, IL, AR, AZ, SC, VA, TX, OK, TN, MN
Bentley OpenRoads Designer/Map	CO, IL, AR, AZ, SC, VA, OK, TN, MN, MD, MI, ME
Trimble Business Center (TBC)	CO, IL, CA, AR, AZ, SC, WI, MN, MI

# Survey Results: Software

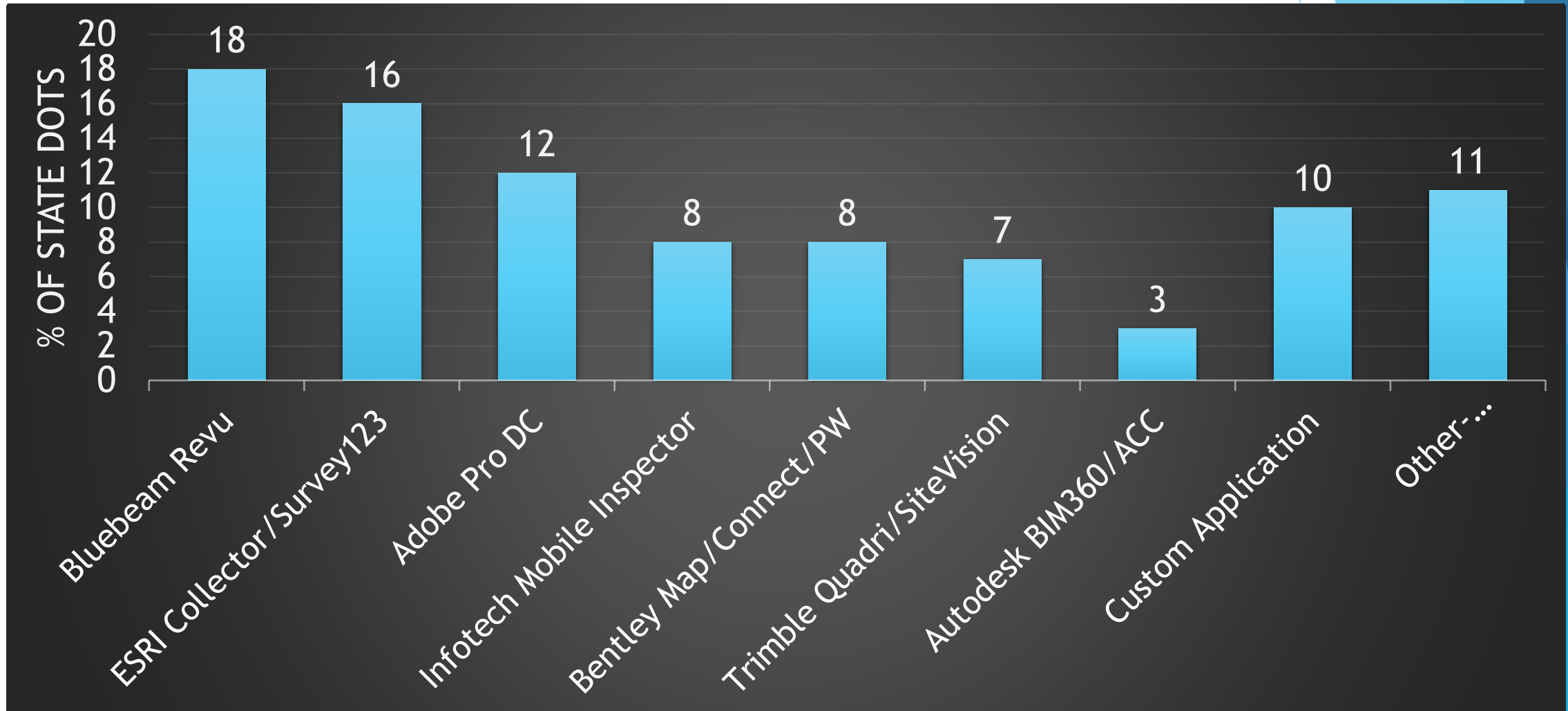
## Survey Findings: As-Built Tools/Technologies (18 DOTs)



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# As-Built Results: Software

## Comparative Findings: As-Built Tools/Technologies (20 DOTs)



Source: Research Data collected by Interviews/Web from 20 DOTs 3/2021; Mallela and Parve, *Transitioning to Digital As-Builts* (2022).



# Survey Results

P.7 What are the primary methods used in project delivery and information management in planning, survey/geospatial data collection, design and construction and post-construction operations/maintenance and asset management in your agency?

Project Delivery Method	Number of Responses	Percentage (out of 18 responses)
Paper/Mylar (Hard Copy)	9	50.0%
Image (Scan Copy)	9	50.0%
Document File/PDF (Electronic) to Electronic Document Management System (EDMS)	15	83.3%
Digital (Model/Asset Objects) to Database Management System/Data Warehouse	8	44.4%
Design CAD Model/Data to GIS/Asset Management Systems	9	50.0%
Construction Model/Data to GIS/Asset Management Systems	5	27.8%
Survey Model/Data to GIS/Asset Management Systems	4	22.2%
O&M Model/Data to GIS/Asset Management Systems	1	5.6%
CAD/BIM/GIS/Survey Data/Models to Cloud/Web/Hybrid On-premises-Cloud Systems	8	44.4%
Other (MicroStation)	1	5.6%

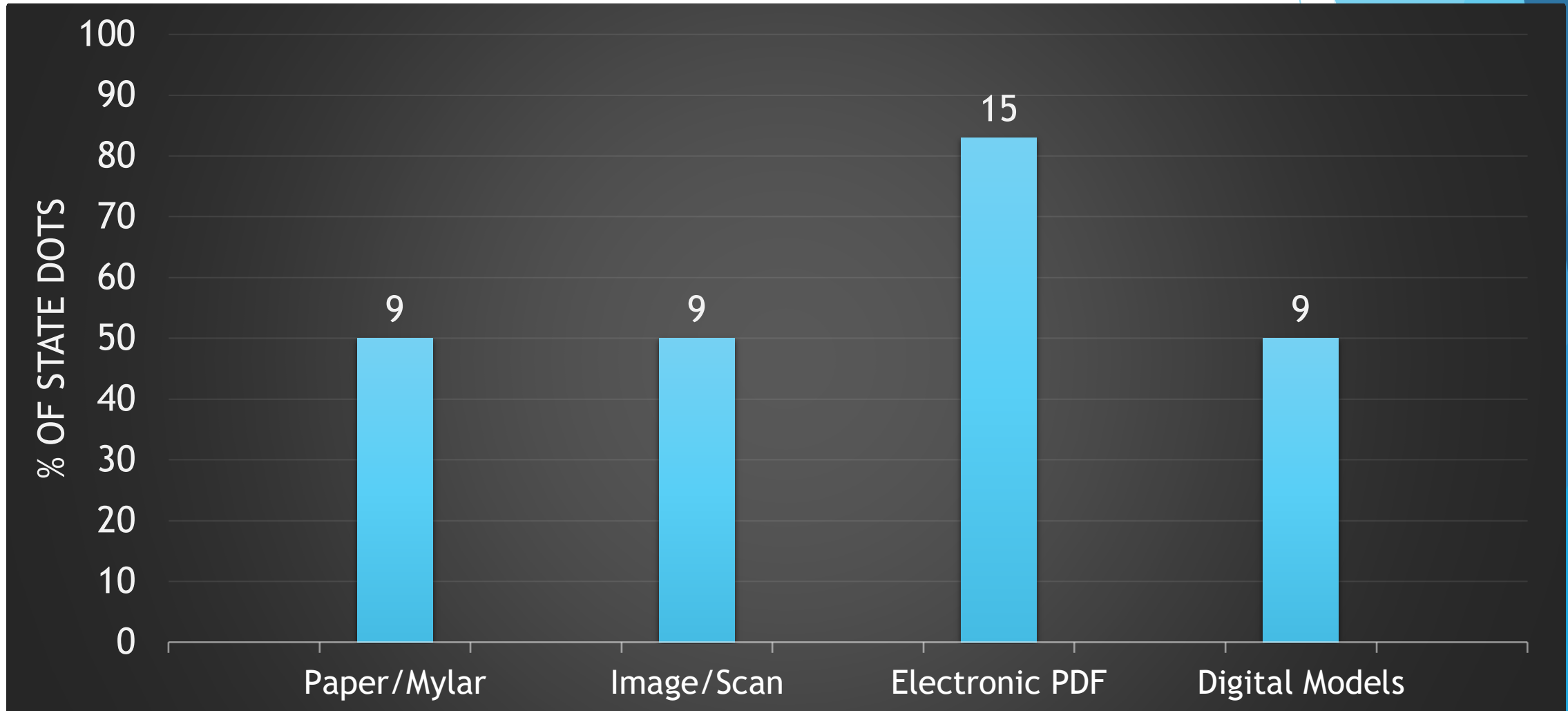
# Survey Results

P.7 What are the primary methods used in project delivery and information management in planning, survey/geospatial data collection, design and construction and post-construction operations/maintenance and asset management in your agency?

Project delivery Method	List of States
Paper/Mylar (Hard Copy)	IL, AR, AZ, SC, TX, MN, RI, ME, OK, ME
Image (Scan Copy)	CO, AR, AZ, SC, VA, TX, OK, TN, MN
Document File/PDF (Electronic) to Electronic Document Management System (EDMS)	WV, CO, AR, AZ, SC, WI, VA, TX, MA, OK TN, MN, MI, MD, RI
Design CAD Model/Data to GIS/Asset Management Systems	CO, AR, SC, WI, AZ, VA, MA, MN, MI, OK

# As-Built Results: SOP

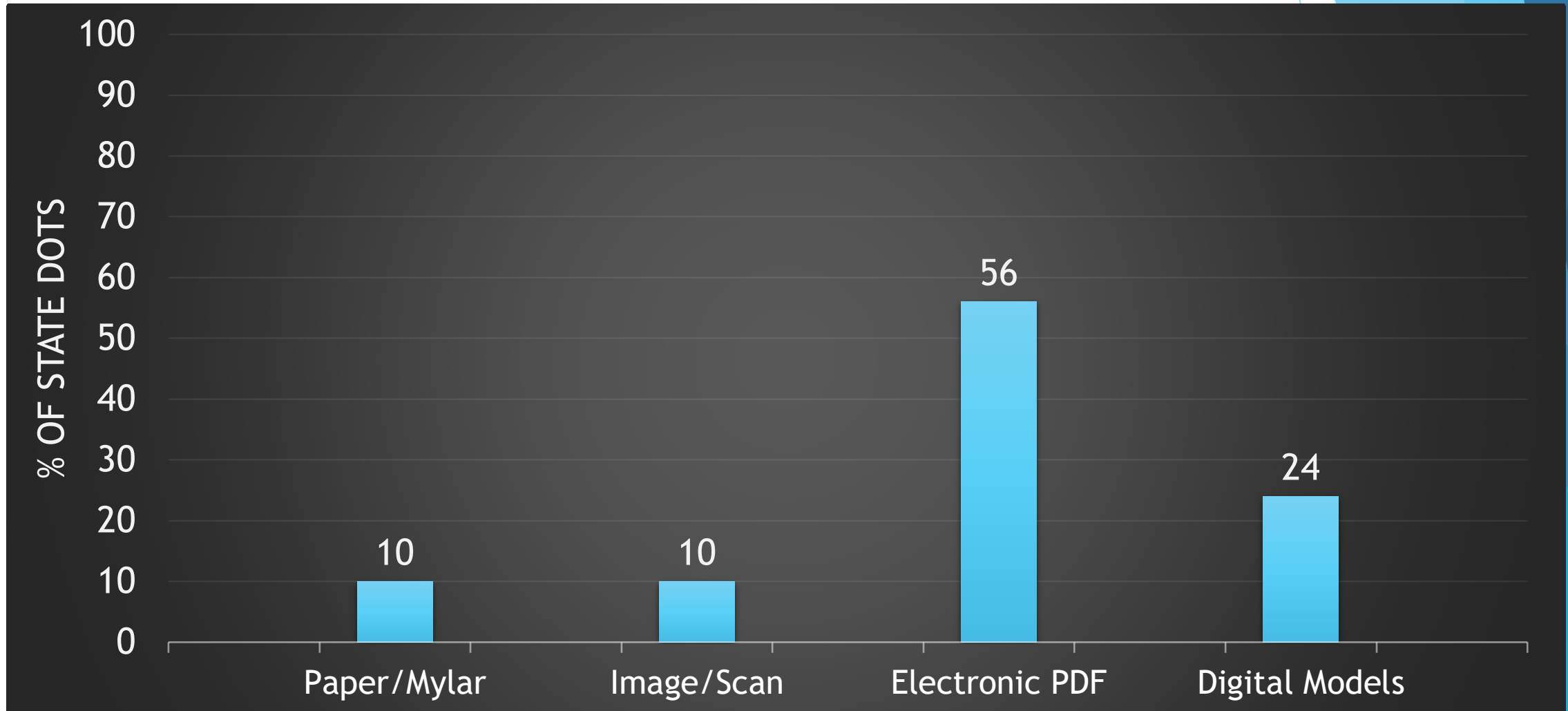
## Survey Findings: As-Built State of Practice (18 DOTs)



Source: Research Data collected by Online Survey from 18 DOTs 2023; Suri Sadasivam, *AASHTO Innovation Initiative-GIS and Survey (2023)*.

# As-Built Results: SOP

## Comparative Findings: As-Built State of Practice (50 DOTs)



Source: Research Data collected by Interviews/Web from 50 DOTs 3/2021-12/2022; Mallela and Parve, *Transitioning to Digital As-Builts* (2022).

# Survey Results

P.8 What are the key geospatial information deliverables for digital asset data collection involved to improve project delivery for design, roads, pavement, bridges, drainage, environmental, utilities, right-of-way, intelligent transportation systems, traffic/safety, construction, survey, operations/maintenance and asset management for your agency?

Geospatial deliverables	No of Responses	Percentage (out of 18 responses)
GIS data	15	83.3%
CAD/BIM data	14	77.8%
Georeferenced imagery (hi-res, 360, etc.) data	11	61.1%
LiDAR data	13	72.2%
Subsurface (GPR, SPAR, etc.) data	8	44.4%
Features data	7	38.9%
Materials/compaction data	7	38.9%
Sensor data	2	11.1%
Environmental data	9	50.0%
ROW data	11	61.1%
Traffic data	6	33.3%
Inspection data	8	44.4%
Digital maps	9	50.0%
Digital topography/surfaces (DTMs/DEMs)	11	61.1%
Digital subsurfaces (Utilities/Geotech)	8	44.4%
Reality meshes (combined surfaces/imagery)	5	27.8%
Digital as-builts	8	44.4%
Enterprise facility maps	3	16.7%

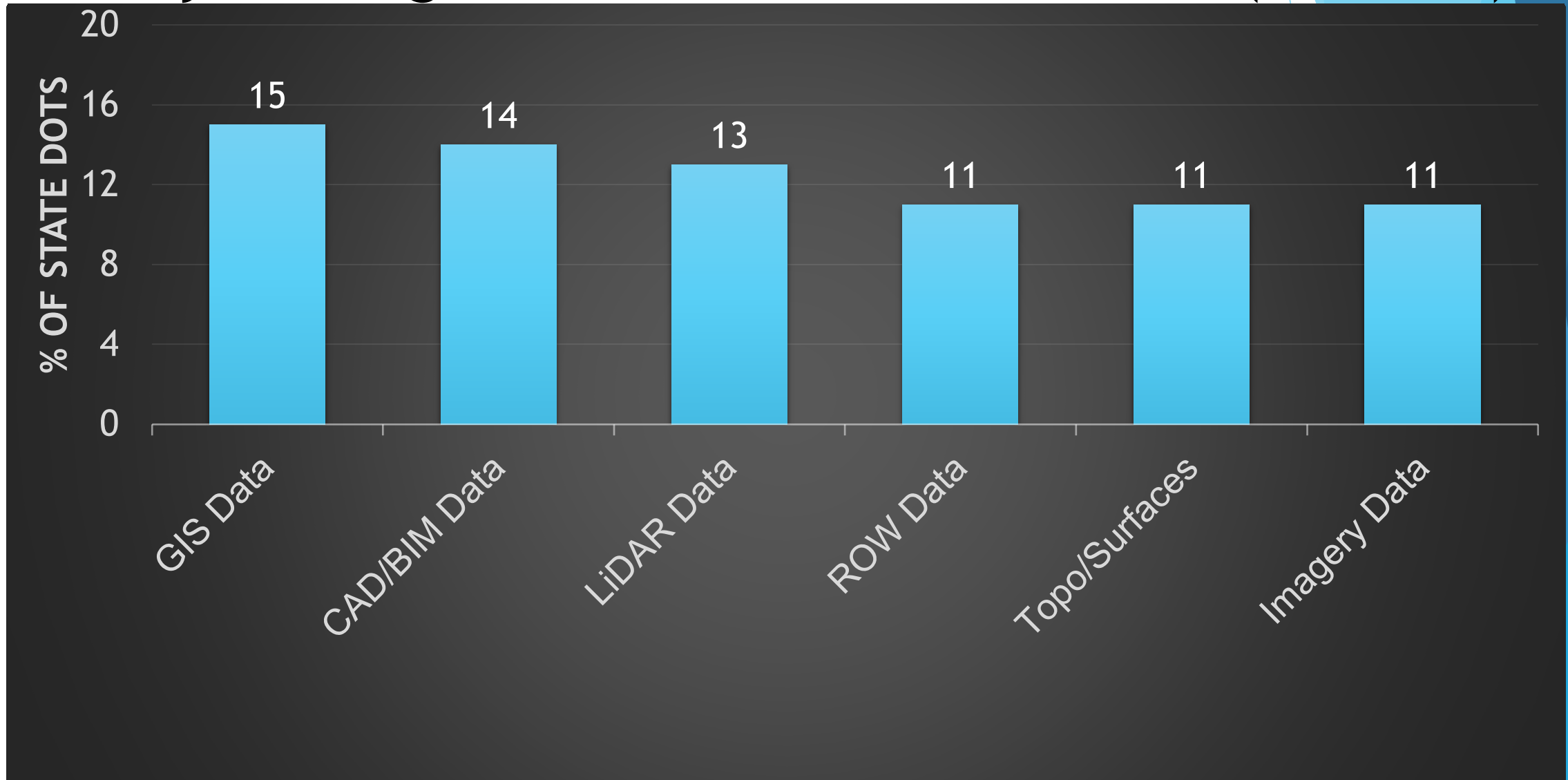
# Survey Results

**P.8 What are the key geospatial information deliverables for digital asset data collection involved to improve project delivery for design, roads, pavement, bridges, drainage, environmental, utilities, right-of-way, intelligent transportation systems, traffic/safety, construction, survey, operations/maintenance and asset management for your agency?**

Geospatial deliverables	List of States
GIS data	CO, IL, AR, AZ, SC, WI, VA, TX, TN, MN, MI, OK, MD, RI, MA
CAD/BIM data	WV, IL, AR, AZ, SC, WI, VA, TX, TN, MN, MI, ME, OK, MA
LiDAR data	WN, CO, IL, AR, SC, WI, VA, OK, TX, TN, MA, MN, MI
ROW data	CO, AR, AZ, SC, VA, TN, MN, MI, OK, MD, MA
Digital topography/surfaces (DTMs/DEMs)	WV, CO, IL, AR, SC, WI, VA, MA, TN, MN, MD, RI, MI, ME

# Survey Results: SOP

## Survey Findings: Information Deliverables (18 DOTs)



Source: Research Data collected by Online Survey from 18 DOTs 2023; Suri Sadasivam, *AASHTO Innovation Initiative-GIS and Survey* (2023).

# Survey Results

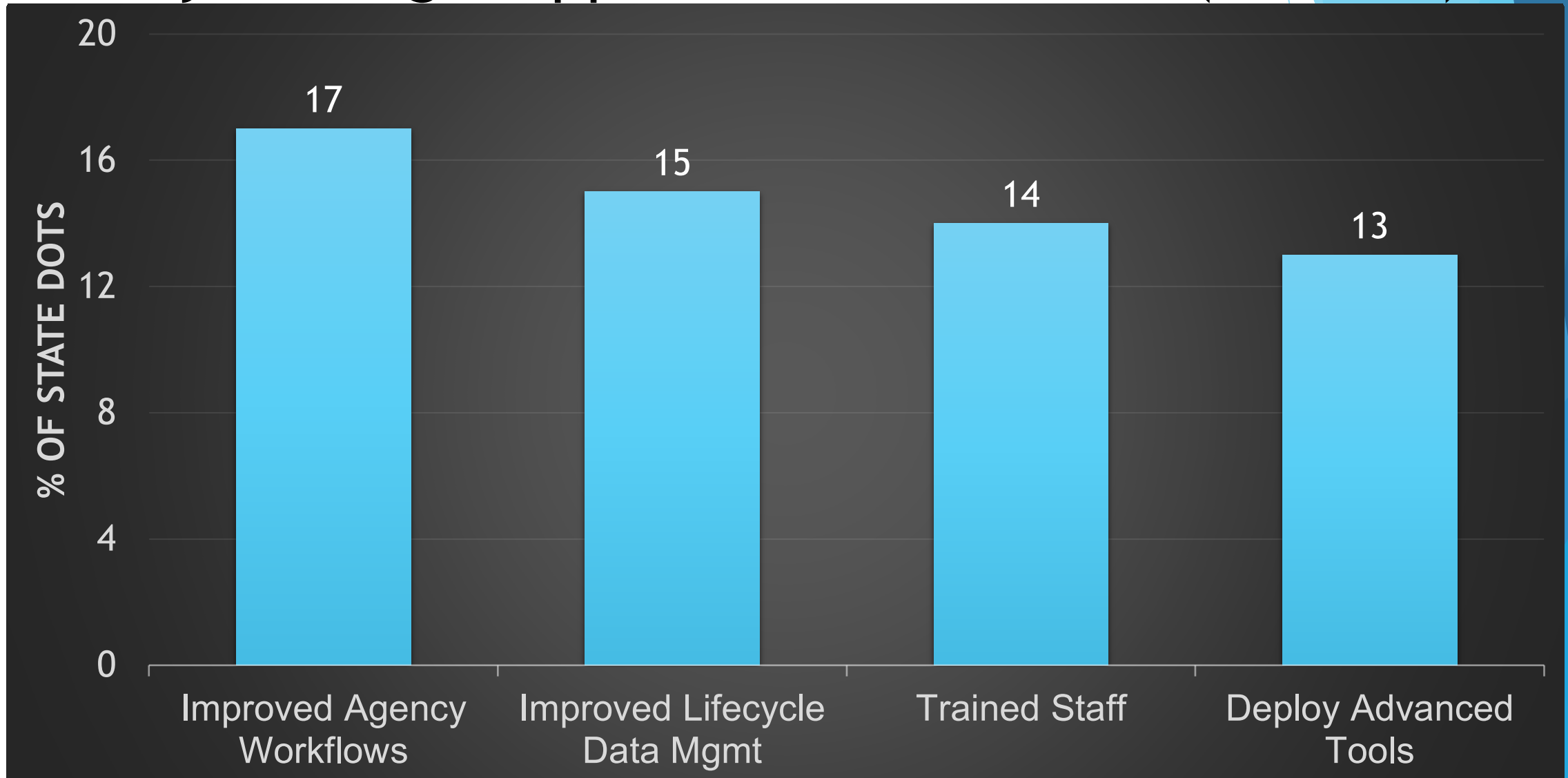
P.9 What are the greatest opportunities and benefits to improve digital project delivery and data management for your agency?

Benefits	List of States
Improved agency policies, procedures and workflows	WV, CO, IL, CA, AR, AZ, SC, WI, VA, TX, TN, MN, MI, OK, MD, RI, MA
Improved lifecycle information management	CO, IL, CA, AR, AZ, SC, WI, VA, TX, TN, MN, MI, OK, MD, MA
Experienced and trained staff	WV, CO, IL, AR, SC, VA, TX, TN, MN, MI, OK, MD, MA, ME
Deploying advanced modeling (GIS/CAD) tools/technologies	IL, CA, AR, AZ, SC, VA, TX, TN, MN, MI, OK, MD, MA



# Survey Results: SOP

## Survey Findings: Opportunities/Benefits (18 DOTs)



Source: Research Data collected by Online Survey from 18 DOTs 2023; Suri Sadasivam, *AASHTO Innovation Initiative-GIS and Survey (2023)*.

# Survey Results

P. 10 What are the biggest barriers, obstacles and challenges to improve digital project delivery and data management for your agency?

Barriers, obstacles and challenges	Number of Responses	Percentage (out of 18 responses)
<b>Lack of available agency resources and funding</b>	<b>10</b>	<b>55.6%</b>
Lack of priorities by business areas	6	33.3%
<b>Silos in agency organization business areas</b>	<b>9</b>	<b>50.0%</b>
Lack of management support	1	5.6%
Lack of clear policies, procedures, contracts and workflows	7	38.9%
<b>Lack of best practices guidance</b>	<b>8</b>	<b>44.4%</b>
<b>Shortage of technical and experienced staff</b>	<b>12</b>	<b>66.7%</b>
Lack of advanced survey technologies	4	22.2%
Lack of advanced modeling (GIS/CAD) tools and technologies	3	16.7%
Lack of enterprise information technologies support and cloud access	3	16.7%
Lack of software interoperability	6	33.3%
Lack of software vendor support	2	11.1%
Lack of training for staff	5	27.8%
<b>Lack of data standards</b>	<b>8</b>	<b>44.4%</b>
Lack of agency innovation	3	16.7%
Lack of tangible benefits and positive ROI	2	11.1%
Lack of agency adapting to change	5	27.8%
Lack of asset lifecycle data governance	4	22.2%

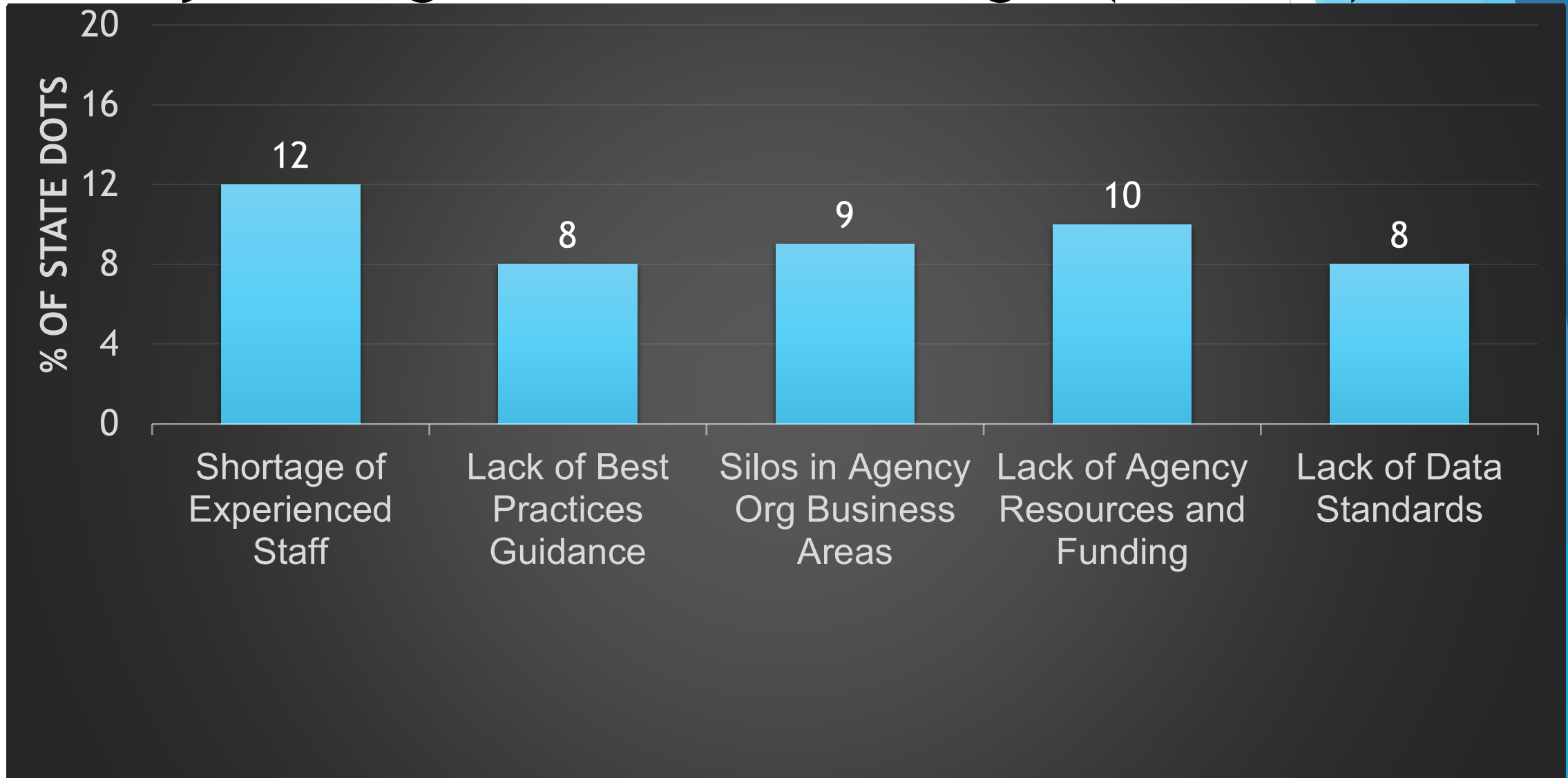
# Survey Results

**P. 10 What are the biggest barriers, obstacles and challenges to improve digital project delivery and data management for your agency?**

Barriers, obstacles and challenges	List of States
Shortage of technical and experienced staff	WV, IL, AZ, SC, VA, TN, MN, MI, OK, MD, RI, MA
Lack of best practices guidance	IL, AR, VA, MN, OK, MA, MN, ME
Silos in agency organization business areas	CO, CA, AZ, WI, TN, MA, MN, OK, MD
Lack of available agency resources and funding	CO, IL, AR, WI, VA, TX, MA, OK, TN, MN

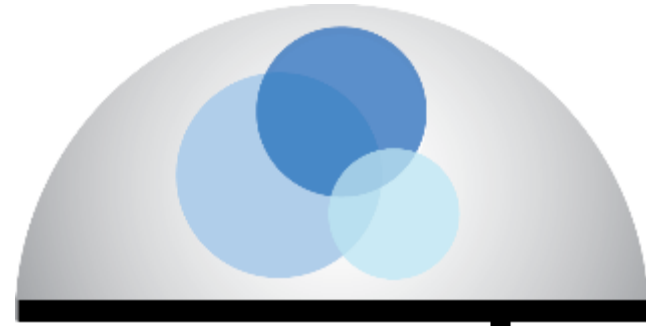
# Survey Results: SOP

## Survey Findings: Barriers/Challenges (18 DOTs)



Source: Research Data collected by Online Survey from 18 DOTs 2023; Suri Sadasivam, *AASHTO Innovation Initiative-GIS and Survey* (2023).

# Question and Answer Session



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**Thank you!**

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